Automated quality-assessment for UML models in open source projects

Master’s thesis in Software engineering

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CHALMERS UNIVERSITY OF TECHNOLOGY
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Gothenburg, Sweden 2019
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Abstract

Unified Modelling Language (UML) provides the facility for software engineers to specify, construct, visualize, and document the artifacts of a software system and to facilitate communication of ideas [1, 2]. It is shown in many studies [9, 14, 16] that the quality of UML models has an impact on the quality of software systems. It is not easy, and often a time-consuming task to maintain a good quality of UML models throughout the development process. For that reason, in many projects, UML models are left outdated as the projects go on. This will lead to a gap between the software design (reflected in UML models) and the actual implementation [4]. The goal of this thesis is to automate the process of assessing the quality of UML models in open source projects. We chose the design science research methodology to carry out this thesis to achieve the thesis goal. The result of this thesis is UML-Ninja, which is a web tool that can automatically assess the quality of UML models in open source projects based on metrics and rules. The resulted tool (UML-Ninja) was evaluated based on 15 interviews with researchers, students, and practitioners. Researchers, students, and practitioners found UML-Ninja and the automated approach behind it can help them to obtain a better assessment of UML models quality as well as improving the quality of UML models.

Keywords: UML, Quality metrics, Quality assessment, Automation, FOSS.
Acknowledgements

I would like to express my heartfelt gratitude to my supervisors Michel Chaudron and Truong Ho-Quang, for guiding me and supporting me throughout the thesis. The constant feedback that I received from them during the thesis helped me a lot. I would like to extend my gratitude to my examiner, Jennifer Horkoff, and her valuable comments. I would also like to thank all the participants that participated in the interviews. Their feedback was really valuable to and critical for this thesis.

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1 Introduction

The software architecture (SA) of a system is the set of structures needed to reason about the system, which comprises software elements, relations among them, and properties of both [17]. SA provides an overview of the whole system, and it contains the models and the design decisions that are made during the architecture design process. The SA design process is an essential and important part of the software development process. SA models are often represented by Unified Modelling Language (UML) [3]. UML provides the facility for software engineers to specify, construct, visualize and document the artifacts of a software system and to facilitate communication of ideas [1, 2].

Maintaining a good quality of software UML models throughout the development process is not easy and often a time-consuming task. For that reason, in many projects, UML models are left outdated as the projects go on [5, 18]. This often leads to a gap between the software design (reflected in UML models) and the actual implementation [4]. It is shown in many studies [9, 14, 16] that quality of software design (UML models) has an impact on the quality of the software system. Therefore, we need to assess the quality of UML models. Moreover, to make the process easier and feasible, the process could be automated by developing a service or a tool that performs the quality-assessment of the UML models automatically. The quality assessment process will help in keeping track of the UML models during the software development process. If the quality assessment process is automated, it can be easily integrated as a step in the continuous integration (CI) chain or as a part of DevOps. This will help to assess how well UML models are and how it can be improved through the development process.

The thesis aims to automatically assess the quality of the UML models in Free/Open Source Software (FOSS). For commercial software development, the use of UML models has been introduced and commonly accepted to be a part of the software development process. However, commercial projects are very reluctant to share models because they believe these reflect critical intellectual property and/or insight into their state of IT-affairs, which make it not easily accessible and challenging to study. In (FOSS) development, all the project artifacts are publicly accessible, which makes it more accessible and easier to study and collect data. (FOSS) Development characterized by dynamism and distributed workplaces, code remains the key development artifact [19]. Little is known about the use of UML in FOSS.
1. Introduction

Researches in the software modeling area have done some effort to collect examples of UML models from (FOSS) project that use modeling, but the results are often limited [21]. The work done by Hebig et al. [5] aims to systematically mine GitHub projects to answer the question when models if used, are created and updated throughout the whole project’s life-span. Hebig et al. [5] present a database that includes a list of 3 295 open source projects which include together 21 316 UML models. However, Hebig et al. [5] work aim to identify UML models if used in FOSS, and it doesn’t include assessing the quality of UML models.

We aim to build a system that automatically assesses the quality of the UML models. We will name the system UML-Ninja. Such a tool could assist different stakeholders in different cases of use in the software engineering field. By stakeholders, we mean potential users who will take advantage of UML-Ninja. For example:

- Practitioners: A practitioner can be a software developer, tester, or solution architect involved in a software project. As a practitioner, you would like to see the current status of the project’s UML models quality and be able to recognize and rectify any issues with UML models.
- Student: As a student, you would like to check the quality of your UML models in your course projects so that you can improve it.
- Researcher: As a researcher, you would like to collect data for further research and analysis or collect data regarding quality of UML models in software projects for empirical studies. A researcher could also be wanting to compare one project with another in terms of what UML models are used and the quality of UML models.

UML-Ninja will be a web tool that will allow the different stakeholders to assess the quality of UML models in (FOSS) by presenting and visualizing the data collected in a dashboard. This is done by crawling and analyzing data from GitHub repositories, and according to quality assessment metrics, the tool will display an overview of the project quality in terms of UML models. The quality check is on the model level and not code level.

1.1 Statement of the problem

Software documentation consists of three main parts, user documentation, requirements documentation, and software architecture design documentation (SAD), as shown in Figure 1.1. User documentation is about how the software is used, and it describes the software features and how it can be used to complete a specific task. Requirement documentation contains what the software does or shall do. It is produced and consumed by all the stakeholder. It is used more for communication purposes throughout the development process between all the stakeholders involved in the development process. Software architecture design documentation (SAD) describes how the software is structured [17], how the system is split into multiple components, and how these components are connected and communicating. SAD should also contain all the design decision made and patterns used to construct such software. Some practices can be used to create SAD such as the use of text
1. Introduction

documentation and UML models, updating and versioning, naming conventions and navigation.

![Software documentation]

**Figure 1.1**: Software documentation

This thesis focus will be the SAD and more specifically, the UML models. The study aims to automatically perform the quality assessment for UML models in open source projects. Our goal can be achieved by developing a system that can perform the quality assessment process automatically on a given project. To be able to develop the desired system, we shall have to tackle some problems on the way. Firstly, mining open sources projects repositories to extract UML models files is a difficult and complicated process. Extracting data from UML files to be able to evaluate it is a complex process. UML files can be stored in many different formats, e.g., images or XMI based files; these formats can also include other information than models. Research efforts [5, 20] have been made to tackle each problem separately, but they are not integrated into one system. Secondly, the quality assessment of UML models is a challenge itself. Some research efforts have been made to tackle this challenge, for example, the work done by Chaudron et al. [16], which presents a quality model for managing UML-based software development. This model enables identifying the need for actions for quality improvement already in the early stages of the life-cycle.

The first major challenge of this thesis is to build a system that integrates the works that have been done into one system and adding more functionality to make the automated quality assessment process possible. The second major challenge is to evaluate the usefulness of such a system to different stakeholders (e.g., researchers, students, practitioners). Since the system doesn’t exist, so there was no evaluation done before.
1.2 Research questions

This section presents the research questions (RQ) this thesis is answering. The research questions consist of three main questions; the first RQ is divided into three sub-questions (SQ). The research questions are formulated as follows:

- **RQ1**: How to automatically assess the quality of UML modelling in open source projects?
  - SQ1.1: How to assess the quality of UML models?
  - SQ1.2: How to assess the quality of use of UML models in software development processes?
  - SQ1.3: How to visualize feedback to different stakeholders with a given result of quality metrics?
- **RQ2**: Can metrics and feedback provided by UML-Ninja help the stakeholders (e.g., researchers, students, practitioners) to obtain a better assessment of the quality of UML models?
- **RQ3**: What are stakeholders (e.g., researchers, students, practitioners) perceptions of the use of UML-Ninja in improving the quality of UML models?

The scope of RQ1 refers to the automated process of assessing the quality of UML models. RQ1 is complemented by three SQ. The first SQ is scoped towards how can we assess the quality of UML models using metrics and rules that can be automatically calculated given the data collected from FOSS repositories. The second SQ is scoped towards assessing the quality of the UML process in software projects. UML process includes e.g., contribution ratio to see how many people actively contribute to UML. The third SQ is scoped towards the feedback that can be provided to different stakeholders using the results calculated from the metrics and rules that are automatically calculated.

In RQ2, we aim to answer if the feedback provided by the system could help stakeholders to make a better assessment of the quality of UML models.

The scope of RQ2 refers to stakeholders perceptions of UML-Ninja as a tool that can help to improve the quality of UML models given the feedback provided by the system.

1.3 Purpose of the study

The purpose of the study is making the quality assessments process of UML models easier and more feasible by developing online service for performing the quality-assessment process (UML-Ninja).

The study will also report the usefulness of the UML-Ninja for different stakeholders (e.g., researchers, students, practitioners) by allowing them to evaluate the usefulness and the usability of tools.
1.4 Disposition

This document provides the reader with a comprehensive description of the thesis research. In the remainder of this document, we present the background and related work related to the subject of the thesis in chapter 2. Following that in chapter 3, we present the methodology we used to conduct the research. Next, we present the results for the design science iterations in chapter 5. Following that chapter, we present the design and implementation of the system (UML-Ninja) developed as a part of the thesis in chapter 4. The discussion and reflection of the research questions and the relevant threats to validity are presented in chapter 6 — finally, the conclusion and future work in chapter 7.
2

Background and related work

This chapter discusses the background and related work about the quality assessment of UML models. The problem of automatically performing quality assessment for UML models is divided into sub-problems in this section; we will list each identified related work for each sub-problem.

2.1 Identifying UML models from open source project

Identification and comprehension of UML models in (FOSS), Reggio et al. [7] investigated the types of UML diagrams used based on diverse available resources such as online books, university courses, tutorials, or modeling tools but this work was done mainly manually. On the other hand, Karasneh et al. [7] use a crawling approach to fill an online repository with model images automatically. But the work mentioned above focused on repositories that include just UML models these repositories were created for teaching purposes, so these repositories seldom include other artifacts than the models, making it impossible to study the models in the environment of actual projects.

The work done by Hebig et al. [5], is the closest one towards an automated system to identify UML models in (FOSS) by systematically mining GitHub projects to extract UML models (if used) and find when they were created and updated throughout the whole project’s life-cycle. As a result of their work, they created a database called Lindholmen DB [15]. Lindholmen DB includes a list of all projects with a summary per project, including the number of identified UML files and the file format (.xmi, .uml, .jpg, .jpeg, .svg, .bmp, .gif, or .png) of the UML files in each project. It also includes a list of links to all identified UML files. This work will be used as a part of UML-Ninja to retrieve UML models from (FOSS) repositories to be able to perform the quality assessment process. The UML retrieval process in Lindholmen DB is not completely automated; some parts of the retrieval process are done manually. However, our aim with UML-Ninja is to make the retrieval process automatically.

2.2 Classifying and extracting data from UML models

UML models can be stored in many different formats, e.g. images or XMI based files. Classifying and extracting data from XMI or UML formats is not a big challenge
2. Background and related work

since they are XML based formats. However, classifying and extracting data from images is a difficult and complicated process to perform. Firstly regarding classifying UML models from image formats, Ho-Quang et al. [22] investigate image features that can be effectively used to classify images as class diagrams. Ho-Quang et al. [22] use an automatic learning approach with a training set of 1300 images, and with a success rate (90%-95%). Ho-Quang et al. [22] work will be used as a part of the UML-Ninja tool to classify UML models from images.

Secondly, regarding extracting UML models data from image formats, Karasneh et al. [20] have published research to tackle this problem. They created a tool called Img2UML [20] that can extract UML class models from pixmap images and exports them into XMI files that can be read by a commercial CASE tool. Karasneh et al. [20] reported that the accuracy of the "Img2UML" system is: 95% for rectangles classes, 80% for relationships and 92% for text recognition.

However, they do not use an automatic learning approach, but a fixed set of classification criteria. In this sense, the "IMG2UML" tool will be a part of the desired system to make the quality assessment process possible.

2.3 UML models quality

The quality of UML models has an impact on the quality of software systems, and it is shown in many studies. For example, the work of Ariadi (Chapter 6) [14] explained the link between the level of details (LOD) in UML and the defect density. Ariadi used two types of UML models, which is class diagrams and sequence diagram in the study because they are the most commonly used. Ariadi concluded that there is a significant correlation between (LOD) in UML and the defect density of the associated implementation classes, the classes that have higher LOD tend to have a lower defect density in their implementation. The work of Ariadi et al. [9] also prove that the use of UML modeling potentially reduces the defect density in the software system.

The impact of UML models on the quality of software systems is the main motivation to perform a quality assessment on UML models. Therefore, metrics and rules have to be applied to UML models to make it possible to determine the quality of UML models. The work of Chaudron et al. [16] proposed a quality model for UML models. This model considers the different uses of models in a project as well as the phase in which a model is used as shown in Figure 2.1. This model enables identifying the need for actions for quality improvement, by analyzing the UML models using metrics/rules suggested by the quality model. Actions can be identified to improve the UML model quality according to the results of applying the UML models metrics/rules. The quality model is divided into a three-level decompositional structure as shown in Figure 2.1. The first level of the quality model is the primary use of the artifact either in the development phase or in the maintenance phase. The second level of the quality model contains the purposes of the artifact it describes why the artifact is used. These purposes are related to different phases in the life-cycle of the product. The third level of the quality model contains the inherent characteristics of the artifact. The characteristics concepts of the qual-
2. Background and related work

<table>
<thead>
<tr>
<th>Name</th>
<th>Description</th>
<th>Ref</th>
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<tbody>
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<td>Ratios</td>
<td>Ratios between number of elements (e.g. number of methods per class)</td>
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</tr>
<tr>
<td>DIT</td>
<td>Depth of Inheritance Tree</td>
<td>[11, 12]</td>
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<tr>
<td>Coupling</td>
<td>The number of other classes a class is related to.</td>
<td>[11]</td>
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<tr>
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<td>Measures the extent to which parts of a class are needed to perform a single task</td>
<td>[11]</td>
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<tr>
<td>Class Complexity</td>
<td>The effort required to understand a class</td>
<td>[10]</td>
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<tr>
<td>Fan-In</td>
<td>The number of incoming association relations of a class.</td>
<td>[16]</td>
</tr>
<tr>
<td>Fan-Out</td>
<td>Measures the extent to which other classes use the class provided services.</td>
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<td>Number of crossing lines in a diagram</td>
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<tr>
<td>Comment</td>
<td>Measures the extent to which the model contains comment.</td>
<td>[16]</td>
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Table 2.1: Metrics and Rules [16]

ity model cannot be measured directly from the artifact. The characteristics can be measured by a set of metrics/rules that are related to each characteristic. The quality model relates the third level that present characteristics to a set metrics and rules as shown in Figure 2.2.

Several efforts have been made to produce meaningful metrics and rules that could measure the quality of UML models. Figure 2.2 list some of these metrics and rules in relation to relevant quality characteristics of UML models. The metrics and rules used in Ninja-UML should be quantifiable. Table 2.1 shows the list of metrics/rules, their definition and prior works that have provided a way to calculate the metrics/rules. The availability of these metrics in UML-Ninja, however, depends on the type of data that Lindholmen DB [5] (the database we shall build our system upon) can provide. Some of these metrics/rules that are listed in Table 2.1 can be hard to measure with the data provided by Lindholmen DB. For example, quantifying number of cross lines in a diagram might be challenging, as Lindholmen DB does not offer any information about this. Design pattern might be difficult to quantify as well, since Lindholmen DB seems not to store multiplicity of association.

Regarding the automatic assessment of UML models, SDMetrics [23] is an object-oriented design measurement tool used to measure the structural properties of UML models. It provides a big catalog of UML metrics and rules. We believe that SDMetrics is a powerful tool, but it has some limitations. SDMetrics only support UML files in XMI format. It only presents the metrics information of a UML file in the form of tables and histograms. Furthermore, it does not allow the user to visualize multiple UML files simultaneously to make it easier for the user to compare them. It does not facilitate the process of automatically identifying UML files; the
user is required to pick the desired file for the tool to analyze explicitly. Additionally, SDMetrics is only focused on the content of UML models; it does not focus on the UML process. SDMetrics does not provide metrics for UML process, such as UML commit ratio or contributor ratio. With UML-Ninja we will try to tackle the identified limitations for SDMetrics. UML-Ninja aims to automate the process of identifying and assessing the quality of UML models with minimal interaction from the user. Furthermore, we aim to support more UML formats not only XMI as well as allowing the user to work with multiple UML files simultaneously. With UML-Ninja we will focus on both UML content and UML process metrics.

Figure 2.1: Quality Model [16]
2. Background and related work

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<tr>
<td>Naming Conventions</td>
<td></td>
<td></td>
<td></td>
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<td></td>
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<td>✓</td>
<td></td>
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<td></td>
</tr>
<tr>
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<td>✓</td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Layout-Guidelines</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>✓</td>
<td>✓</td>
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<tr>
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<td></td>
<td></td>
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<td></td>
<td></td>
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<td></td>
</tr>
<tr>
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<td></td>
<td></td>
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<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Message needs Method</td>
<td>✓</td>
<td>✓</td>
<td></td>
<td></td>
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<td>Code Matching</td>
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<td>✓</td>
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<tr>
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<td></td>
<td></td>
<td>✓</td>
<td>✓</td>
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<td></td>
</tr>
</tbody>
</table>

**Figure 2.2:** Relations between metrics and rules and characteristics [16]
This chapter explains the methodology of this research. Along with the research methodology, we also discuss how we obtained the research questions and how this research is carried out to address them.

The research methodology that we follow in this thesis is the design science research methodology [6]. The design science methodology helps in addressing unsolved and important problems in new and innovative ways. Therefore, we chose the design science research methodology for this thesis research, which enables us to develop and study the approach of the automated quality assessments of UML models. This design science research method consists of the following activities: awareness of the problem suggested design, development, evaluation, and conclusion, as shown in Figure 3.1. In this thesis, we followed the steps presented in Figure 3.1 in three iterations. After the evaluation step for each iteration, the feedback collected were analyzed, and we started a new iteration by identifying new problems and enhancements from the feedback received. In the first iteration, we investigated possible
implementations for the automated process of assessing the quality of UML models. A prototype was developed that integrates the resulted tools form [5, 20, 22], followed by an internal evaluation with the supervisors. The intention behind this evaluation was to determine if such a system is possible to implement using the existing tools and the data and metadata that can be retrieved from FOSS repositories. In the second iteration, we aimed to implement quality metrics and rules. Additionally, Developing a dashboard for visualizing the calculated metrics and rules to support stakeholders in assessing the quality of UML models. The evaluation in this iteration was done by conducting user studies with 6 participants (2 of each stakeholder group). The feedback collected is analyzed, and we started a new iteration by identifying new problems and enhancements from the feedback received. The feedback collected from the previous iteration was used as an input for the third iteration. The evaluation in this iteration was done by conducting user studies with 9 participants (3 of each stakeholder group). It should be noted that no participants were reused in this evaluation; we chose 9 different participants. By analyzing the data collected from this evaluation, we wanted to study and understand the areas of improvement, which could be useful for future research works.

3.1 Awareness of the problem

In this thesis, the problem identification is done using an extensive literature review, as discussed in Chapter 2. This process resulted in a need for a new system that could facilitate the automated process of UML models quality assessment. The automated process of UML models quality assessment problem contains subproblems that we also identified as follows:

- Identifying UML models files in project repositories.
- Designing quality assessments code for UML models files, using the quality model and the metrics/rules mentioned in the related work section.
- Implementing indicators/measures for UML models files and to able to do that we need to be able to recognize UML files found and taking into consideration the changes history.

In this step, the research questions were formulated to address the aim and the intended contribution of this study. This study aims to answer the identified research questions. The research questions are formulated as follows:

- RQ1: How to automatically assess the quality of UML models in open source projects?
  - SQ1.1: How to assess the quality of UML models?
  - SQ1.2: How to assess the quality of use of UML models in software development processes?
  - SQ1.3: How to visualize feedback to different stakeholders with a given result of quality metrics?
- RQ2: Can metrics and feedback provided by UML-Ninja help the stakeholders (e.g., researchers, students, practitioners) to obtain a better assessment of the
quality of UML models?

• RQ3: What are stakeholders (e.g., researchers, students, practitioners) perceptions of the use of UML-Ninja in improving the quality of UML models?

3.2 Suggested design

In this thesis, the suggested solution is to develop a system that can automatically perform the process of quality assessment for UML models. The intention of developing the proposed system is to automate the quality assessment of UML models in a given project, by collecting data and metadata from GitHub repositories. Furthermore, identify all the UML model files, as well as helping stakeholders in assessing the quality of UML models by calculating meaningful metrics from the data collected.

3.3 Development

To answer RQ1, we had to analyze how such a system can be implemented. Firstly we implemented a prototype that integrates the resulted tools from previous researches that are discussed in the related work chapter 2 as one automated system. The main purpose of this prototype is to answer SQ1.1 and SQ1.2 by automatically collecting the data needed for calculating the quality metrics to make the quality assessment process of UML models possible. Secondly, we built a visualization component to able to provide the desired feedback to stakeholders. The main purpose of the visualization component is to answer SQ1.3. The UML-Ninja chapter 4 contains a complete description of the development process of UML-Ninja.

The development process consisted of several iterations, as several iterations have to be conducted to tweak UML-Ninja to the initial requirements. Every iteration will contribute to the knowledge contributions of the project as shown in Figure 3.1.

3.4 Evaluation

In this step we aim to answer RQ2 and RQ3 and thereby to find out how stakeholders perceive the new artifact and the new automated technique proposed. To obtain their perception, we decided to perform qualitative user studies. The qualitative user studies would help us in exploring participants views, their understanding and experiences of the artifact (UML-Ninja). Furthermore, we wanted to know how participants perceive the system (UML-Ninja) and the feedback that the system (UML-Ninja) provides. Results from such evaluations typically include opinions and suggestions. Moreover, we wanted to recognize areas of improvement, which could be useful for future research works. Additionally, we wanted to know if the system (UML-Ninja) could help participants to obtain a better assessment of the quality of UML models. Therefore, as we are concerned about stakeholders views on such a system as well as the metrics and rules that the system offers, we wanted to achieve the following evaluation goals.
3. Research methodology

- Evaluate whether participants could understand and use the system (UML-Ninja).
- Identify whether the participants could use the system (UML-Ninja) to obtain a better assessment of the quality of UML models.
- What are the advantages and limitations of such a system?
- Identify whether the participants could use the system to improve the quality of UML models.

Since such a system and the automated approach used are new, the participants had no prior experience with such a system. That will make it difficult to understand the participant’s perception of the system. This leads us to perform a user study. The user study involves tasks or scenarios that the user has to perform during the study. In other words, tasks are activities the participants of a study should perform as a part of an evaluation. Using the task in the user study made it possible for us to evaluate whether participants could understand and use this system. Moreover, through the evaluation task, we wanted to understand if the system can help participants to achieve a better quality assessment of UML models as well as improving the quality of UML models. After knowing whether the participants could understand the technique, we wanted to identify other outcomes such as advantages and limitations of the system (UML-Ninja). By identifying the advantages of the results, it would help us to emphasize the importance and contribution of the newly proposed system. Knowing the limitations would help in improving the system. The outcomes of the evaluation, such as advantages and weakness of the system are studied qualitatively after conducting the user studies.

3.4.1 Procedure

The design of the user study involves the following four activities.

- **Choosing participants:** In this user study, we targeted participants who are to some degree experienced with UML models and software development. We sent an invitation for voluntary participation to the user study via personal networks of the supervisors and the author. In the invitation, the following information was clearly mentioned:
  - Date and time for the interview
  - Description of the system (UML-Ninja) and feedback that it offers.
  - A short description of the study.
  - expected time and duration of the study as well as the expected amount of work from the participants.

We tried to choose participants that are representative to be able to get the most realistic feedback about the tool. We chose participants from the three stakeholder categories: students, developers, and practitioners. All participated in the study have good English knowledge, and this made us carry out the process in the English language. They have also had the needed knowledge about UML models and the impact of the UML models on software quality.
3. Research methodology

- **Evaluation task:** In this study, we made use of prescribed tasks, because the system (UML-Ninja) and the automated technique behind it are rather new to the participants. The evaluation task was steered towards answering if the system (UML-Ninja) helps participants to achieve a better quality assessment of UML models, hence helping them to improve the quality of UML models. A preprocessed (by UML-Ninja) repository was used in the task. The project contains UML models (class diagrams); some of these UML models have some quality issues. The evaluation task is split into five steps, as follows:

1. Each participant were given the repository’s UML models (Class diagrams).
2. Each participant were asked to assess the quality of the given UML models using their current method of assessing the quality. This can be done either using another software or by doing a manual review of the UML model.
3. We introduced UML-Ninja to the participant, and we made sure that we go through all the functions and features of UML-Ninja. Furthermore, we made sure that all the participants received the same information about UML-Ninja.
4. After introducing the UML-Ninja, each participant were asked to use UML-Ninja to assess the quality of the same UML models.
5. Furthermore, each participant were asked if they can improve the quality of UML models that has quality issues, using the feedback provided by UML-Ninja.

- **Data collection:** For data collection, we used interviews. To elicit the opinions and feelings about using the system, we made use of standardized, structured, open-ended questions for the interview questions. The interview questions consist of three parts, as follows:

  **Interview questions part 1:** Part 1 consists of 6 questions concerning the participant’s background of UML models, how they use it, and what types of UML models they often use.

  1. How would you describe yourself as (Developer, Student, Researcher, other)?
  2. How often do you use UML models in software projects?
     (a) Very often
     (b) Moderate
     (c) Rarely
     (d) Not at all
  3. If you are using UML models at all, can you describe in your words what do you use it for?
  4. In which stage of the project do you use UML models?
  5. What types of UML models do you often use?
6. How do you see the impact of UML models on the quality of software systems? and why?

**Interview questions part 2:** Part 2 consists of 8 open-ended questions concerning the usefulness of the system (UML-Ninja). The participants will be asked if and how the tool could help them in accomplishing their tasks in terms of assessing the quality of UML models in a project. They will also be asked questions regarding the limitations of the tool and possible indicators and features that could be implemented in UML-Ninja. The questions of part 2 are as follows:

1. Do you assess the quality of UML models? if yes, How often and for what reason?
2. Do you think the UML-Ninja tools can help you accomplish your task of assessing the quality of UML models?
3. If yes how do you compare UML-Ninja with your current way of checking the UML models quality in terms of ease of use?
4. Does the tool motivate you to perform model quality check more often? How would this benefit the modeling practices in your project(s)?
5. Do you think such a tool will motivate you to improve the quality of UML models?
6. What are your thoughts about the automated quality assessment of UML models approach taken by UML-Ninja?
7. What are your thoughts about the indicators (Metrics and rules) that UML-Ninja offers, in terms of relevance?
8. Do you have any ideas for indicators or features that can be implemented in UML-Ninja?
3. Research methodology

Figure 3.2: SUS standard questions [29]

Interview questions part 3: Part 3 of the questions are focused on evaluating the usability of UML-Ninja using the System Usability Scale (SUS) [29] standard questions. SUS is one of the standards and reliable ways to evaluate usability [30]; it consists of 10 questions with five response options for respondents. The choices are based on a 5-point scale, ranging from 'Strongly agree' to 'Strongly disagree'. The SUS questions form used in the interviews is shown in Figure 3.2. Evaluating usability is important because we want UML-Ninja to be user-friendly and easy to use.

The structure of the evaluation interviews was divided as follows:

- **Introduction**: (3 min) A verbal introduction of the research and UML-Ninja was given to the participant. The participant was informed on the procedure and had the right to discontinue the interview at any time they wished to.
- **Interview questions part 1**: (5 min) The participant was introduced
to the list of prospective stakeholders of UML-Ninja along with the interview questions part 1.

- **Evaluation task part 1: (10 min)** The participant was introduced to the evaluation task. Furthermore, the participant was asked to assess the quality of chosen UML models using their current method of assessing the quality. Their current method can be using a computer software (SDMertcis) or manually.

- **Hands-on Tutorial: (7 min)** A hands-on tutorial was given to the participant while explaining various functions and elements of UML-Ninja.

- **Exploration: (10 min)** The participant was requested to freely explore the tool and clarify any issues he/she experienced when operating it.

- **Evaluation task part 2: (10 min)** The participant was asked to assess the quality of chosen UML models using UML-Ninja.

- **Interview questions part 2 and 3: (15 min)** The participant was then posed with open-ended questions regarding the usefulness of the tool followed by SUS standard questions for usability.

- **Data analysis:** After the data from the interviews were collected. The interview data were then analyzed using the coding [31] method. Coding is one of the methods used in the qualitative data analysis, especially the analysis of interview data. It is the process of capturing essential words or phrases from a set of data that give the same ideas, themes, and categories [31]. Before starting the coding process, a list of codes was created based on the motivation of the evaluation that was discussed earlier. Once we had the list of codes, we began coding the interviews data.

As mentioned earlier in the procedure activity, we are using SUS standard questions [29] to evaluate the usability of the system (UML-Ninja). SUS calculations produce a single number that represents a composition measure of the overall usability of the tool being evaluated. SUS score values have a range of 0 to 100; it should be noted that it is not a percentage value. The interpretation of SUS score according to [32] is shown in Figure 3.3.

![Figure 3.3: SUS score ranking [32]](image-url)
3.5 Conclusion

The conclusion step is the last step in the design science research methodology. This phase could be the end of a research cycle, or it can lead to starting new research iteration. The final step of a research effort is typically the result, in this step the results need to be communicated to practitioners and researcher so that it will contribute in the design science knowledge and contributions as shown in Figure 3.1.
Design and Implementation of UML-Ninja

In this chapter, the design and implementation of UML-Ninja will be covered. The main intention of building UML-Ninja is to answer RQ1. The main functionality of UML-Ninja is to automatically assess the quality of UML models to help stakeholders to obtain a better quality assessment. The main quality attributes that drive the design and development of UML-Ninja are usefulness, usability, and flexibility.

- Usefulness: Checking if the tool does what it is supposed to as well as helping stakeholders to accomplish their tasks more efficiently. Furthermore, checking if the tool can help to accomplish tasks more efficiently.
- Usability: Checking if the tool is easy to use and understand.
- Flexibility: Checking if the tool is flexible enough to modify. Adaptable to other products as well as easy to integrate with other standard 3rd party components.

The development of UML-Ninja consists of four main components: data collection, data analysis, RESTful API interface component, and data presentation, as shown in figure 4.1.

4.1 Data collection

This component is mainly based on the work done by Hebig et al. [5]. However, UML-Ninja automates the whole process. The main functionality of this component is collecting data needed for the quality assessment process. The data required is obtained from GitHub using GitHub API. The data collection component is divided into two steps as following:

4.1.1 Identifying potential UML files

To understand how UML-Ninja searched for UML files, it is important to understand how these files are created and stored. Based on Hebig et al. [5] work Figure 4.2 illustrates the different sources of UML files (at the bottom in green). Furthermore, UML models can be created manually as manual drawing (sketching). It can also be created using tools that have drawing functionality, or dedicated modeling tools,
Figure 4.1: UML-Ninja components and connectors diagram
4. Design and Implementation of UML-Ninja

Figure 4.2: Formats for storing UML models [5]

such as StarUML or Argo UML. It is possible as well to generate UML models based on the source code. This large variety of tools lead to a wide range of ways in which UML models are represented by files, as shown in 4.2 in blue.

Manual sketches are sometimes digitized, thus lead to image files of diverse formats. Tools with drawing capabilities can either store the UML models as images, such as .jpeg and .png or .bmp, or may have tool specific formats, e.g., "pptx". Dedicated modeling tools work with tool specific file formats, e.g., the Enterprise Architect tool stores files with a "eap" extension. Other tools store UML files in a 'standard' formats such as ".UML" and ".XMI".

As a consequence, when searching for UML files, many different file types need to be considered. UML-Ninja search for potential UML files using heuristic filters based on the creation and storage nature of UML files. However, UML-Ninja only detects UML files standard formats, as well as image formats.

4.1.2 Filtering UML files

Not every image, XMI or .UML file is UML. Therefore, the filtering process is needed to check whether the collected files are UML files or not. Standard UML formats (.XMI and .UML) and images formats each has its own filtration process as following: Filtering UML images All identified image is download to the UML-Ninja server. Unreadable images were eliminated from the process. Duplicate images were automatically detected, and representative images were added to the candidate list. To detect duplicate images, an open source .NET library "Similar images finder" were used to calculates differences between their RGB projections to say how similar they are. The similarity threshold is set at 95% since it gave the best detection rate, according to Hebig et al. [5]. It’s almost impossible to find reasonable UML content in icon-size images; thus, images with less than 128 x 128 were excluded from the candidate list. The final images candidate list were classified as UML or non-UML images by using a UML classifier created by Ho-Quang et al. [22].
However, this classifier is only able to classify class diagrams from images therefore all UML images are classified as a class diagram. This classifier is using a machine learning algorithm that was trained by a set of 1,300 images. Filtering Standard UML formats (.XMI and .UML) Firstly UML-Ninja runs a duplicate detection on .XMI and .UML files by comparing hash values of the file contents. Standard UML formats (.XMI and .UML) are special form of XML format. XMI is a standard format that should enable the exchange of models between different tools. In theory, it should be simple to identify whether an XML file. We can determine if the XML file contains UML model or not based on the schema reference in the XML for example, the following three schema references to the UML: "org.omg/UML", "omg.org/spec/UML", and "http://schema.omg.org/spec/UML". Therefore UML-Ninja search with a simple search function for these schema references in all XMI and UML files detected and if found the file will be classified as UML file. Each type of UML type has different XML representation according to the schema references. UML-Ninja can automatically classify class diagrams, sequence diagrams, use case diagrams, and activity diagrams from standard UML formats.

4.2 Data analysis

After the process of identifying UML files is done, a list of UML files in different formats is produced as discussed in the section before. The data analysis component takes this list of UML as an input, and it extracts data needed for calculating quality metrics. The data analysis process is divided into two steps as following:

4.2.1 Data extraction

In this step, UML-Ninja will extract all the data and metadata needed for each identified UML file to be able to calculate the quality metrics. Firstly UML-Ninja downloads all the metadata from GitHub such as commits, contributors and some other metadata about the repository itself (for example: first and last commit, founder of the repository, ... ). This process is done using a python script that downloads all metadata needed in a JSON format from GitHub. Then UML-Ninja saves all metadata to a local database. Secondly, UML-Ninja extracts data from the UML file content. Each UML formats (images, .XMI and .UML) has its own process. For .XMI and .UML files, UML-Ninja has a parser component that parses the content of these files and then saves it to the local database. For image formats, UML-Ninja converts class diagram images to XMI format using IMG2UML [8]. The produced XMI file is sent to the XMI parser to parse the content of the XMI and save it to the database.

4.2.2 Quality Metrics calculator

After the data extraction step is done, now it is time to calculate all the quality metrics that UML-Ninja support. The quality metrics are based on the quality model by Chaudron et al. [16] and SDMetrics [23]. Table 4.1 shows the list of metrics, their level, their type, definition, and prior works that have provided a way
to calculate the metrics.

The Quality Metrics calculator component does not support all metrics and rules suggested by Chaudron et al. [16] due to limitations on the collected data in the "Data extraction" step. As a result, some of the metrics and rules couldn't be calculated with the given data. For example, quantifying the number of cross lines in a diagram (NCL) is challenging, as the tools used and data collected does not provide any data about the multiplicity of the associations. Moreover, Design pattern metrics is difficult to quantify as well, since the tools used and data collected does not provide any data about that. The quality model introduces by Chaudron et al. [16], doesn't always explain how the metrics can be calculated, for example, complexity, cohesion, naming conventions, and level of details metrics. SDMetrics [23] provides a big metrics catalog that includes the mentioned metrics. Therefore, SDMetrics [23] metrics definition is used to be able to calculate these those metrics. Several research efforts [33, 34] have been made to identify a meaningful threshold for quality metrics. The work done by Filó et al. [33] used an empirical method to identify identifying thresholds for 17 object-oriented software metrics using 111 system. Filó et al. [33] suggested three levels for the thresholds: Good/Common, Regular/Casual, and Bad/Uncommon. The UML-Ninja metrics calculator uses the suggested Bad/Uncommon level as metrics threshold for the following metrics.

- For the Depth of inheritance tree (DIT), the threshold is 4.
- For the number of classes (NOC), the threshold is 28.
- For the number of methods (NOM) per class, the threshold is 14.
- For the number of fields (NOF) per class, the threshold is 8.

Furthermore, other metrics have a threshold of 1, such as the number of unused classes, number of god classes, and the number of classes with long parameter list operations. If the calculated value if a specific metric is higher than or equal the threshold, UML-Ninja will display a warning, as will be discussed in Section 4.3.

### 4.2.3 RESTful API interface

The RESTful API interface component is an interface between UML-Ninja and other systems or components that uses HTTP protocol to retrieve data from UML-Ninja in JSON format. This component can be used by any external system, hence this will make UML-Ninja fixable and scalable. As shown in Figure 4.1, the data presentation component is using the RESTful API interface component to retrieve the data it needs. The RESTful API interface component function as the communication channel between the UML-Ninja back-end and front-end.

### 4.3 Data presentation

The Data presentation component aims to present the data and metadata collected about the UML models. Furthermore, displaying the calculated values for the quality metrics and rules. This will allow stakeholders to easily assess the UML quality, as well as getting an indication on how to improve the quality of UML models. The Data presentation component presents data and metadata in a visually appealing
### Table 4.1: Metrics and rules supported by UML-Ninja

<table>
<thead>
<tr>
<th>Metric</th>
<th>Level</th>
<th>Type</th>
<th>Description</th>
<th>Ref</th>
</tr>
</thead>
<tbody>
<tr>
<td>UML commit ratio</td>
<td>Repository</td>
<td>UML process</td>
<td>Ratio between UML files commits and all commits</td>
<td></td>
</tr>
<tr>
<td>Editable UML ratio</td>
<td>Repository</td>
<td>UML process</td>
<td>Ratio between editable UML files and all UML files</td>
<td></td>
</tr>
<tr>
<td>UML contributor ratio</td>
<td>Repository</td>
<td>UML process</td>
<td>Ratio between UML contributors and all contributors</td>
<td></td>
</tr>
<tr>
<td>Number of diagrams</td>
<td>Repository</td>
<td>UML content</td>
<td>Total number of each identified diagram (class diagram, use case diagram, ...)</td>
<td></td>
</tr>
<tr>
<td>Unused classes</td>
<td>Diagram</td>
<td>UML content</td>
<td>Number of classes that have no child classes, dependencies, or associations</td>
<td>[25]</td>
</tr>
<tr>
<td>DIT</td>
<td>Diagram</td>
<td>UML content</td>
<td>The maximum length of a path from a class to a root class in the inheritance structure of the class diagram</td>
<td>[11, 12]</td>
</tr>
<tr>
<td>Number of classes</td>
<td>Diagram</td>
<td>UML content</td>
<td>Total number of classes</td>
<td>[35]</td>
</tr>
<tr>
<td>Coupling</td>
<td>Diagram</td>
<td>UML content</td>
<td>The number of other classes a class is related to.</td>
<td>[11]</td>
</tr>
<tr>
<td>Complexity</td>
<td>Diagram</td>
<td>UML content</td>
<td>The number of relationships between classes and interfaces in the class diagram.</td>
<td>[23, 28]</td>
</tr>
<tr>
<td>LOD</td>
<td>Diagram</td>
<td>UML content</td>
<td>The ratio of attributes with signature to the total number of attributes of a class + the ratio of operations with parameters to the total number of operations of a class</td>
<td>[14]</td>
</tr>
<tr>
<td>Cohesion</td>
<td>Diagram</td>
<td>UML content</td>
<td>This is the average number of internal relationships per class/interface, and is calculated as the ratio of Complexity+1 to the number of classes and interfaces in the class diagram.</td>
<td>[23, 28]</td>
</tr>
<tr>
<td>Multi-defs</td>
<td>Diagram, Class</td>
<td>UML content</td>
<td>Multiple definitions of an element (e.g. class) under the same name.</td>
<td>[16]</td>
</tr>
<tr>
<td>Naming Conventions</td>
<td>Class</td>
<td>UML content</td>
<td>Adherence to naming conventions recommended by the guideline in the UML standards</td>
<td>[24]</td>
</tr>
<tr>
<td>Number of attributes</td>
<td>Class</td>
<td>UML content</td>
<td>Total number of attributes</td>
<td>[35]</td>
</tr>
<tr>
<td>Number of operations</td>
<td>Class</td>
<td>UML content</td>
<td>Total number of operations</td>
<td>[35]</td>
</tr>
<tr>
<td>Fan-in</td>
<td>Class</td>
<td>UML content</td>
<td>The number of incoming association relations of a class.</td>
<td>[16]</td>
</tr>
<tr>
<td>Fan-out</td>
<td>Class</td>
<td>UML content</td>
<td>The number of outgoing association relations of a class.</td>
<td>[16]</td>
</tr>
<tr>
<td>God classes</td>
<td>Class</td>
<td>UML content</td>
<td>The class has more than 60 attributes and operations.</td>
<td>[26, 27]</td>
</tr>
<tr>
<td>LongParList Operation</td>
<td>Class</td>
<td>UML content</td>
<td>The operation has a long parameter list with five or more parameters.</td>
<td>[23, 27]</td>
</tr>
</tbody>
</table>

manner to support stakeholders in making decisions. Furthermore, it structures and displays the information from high to low abstraction.

The Data presentation component is divided into five pages as shown in the Figure 4.3.

- Repositories list page.
- Repository page.
- UML (class diagram) page.
- Compare page.
- Metrics definition.
4.3.1 Repositories list page

The Repositories list page is the home page of UML-Ninja. From this page, the user can access the two main functions of UML-Ninja, which are:

- Automatically assesses the quality of UML model from a GitHub repository.
- Displaying the data collected about the repository and it’s identified UML models, as well as displaying the quality assessment information of an already proceed repository.

The first function is implemented as shown on the top box of Figure 4.4. This box contains an input field as well as a clickable button named process. The user enters the desired GitHub repository URL in the input field and clicks on the process button. UML-Ninja will send the user request to its back-end to start processing the repository. The user will be provided by progress feedback. As soon as the processing is finished, the user will be notified.

The second main function of the repositories page is represented as a list of repositories (projects) shown as cards for all processed repositories. The cards are shown under the top box in Figure 4.4. Each repository card show some metadata about the repository such as name, creator, first commit, the last commit, the number of contributors, and the number of identified UML files. The user has the possibility to search with the repository name or the repository creator name as well. There is also a possibility to sort repositories by the following field:

- Number of UML files
- Number of Editable UML files
- Number of contributors
- Number of UML files contributors
4. Design and Implementation of UML-Ninja

- Number of commits
- First commit
- Last commit

Each repository card could have one or more badge from the following badges:

- **Editable UML**: If the repository contains UML files in editable format (.XMI or .UML).
- **UML naming conversions**: If all identified UML files follow UML naming conventions [24] in a given repository.
- **Correctness**: If each UML files in a given repository follow the following two rules:
  - The UML file doesn’t contain multiple definitions of an element (e.g. class) under the same name.
  - The UML file doesn’t contain unused classes.

The main intention behind developing these badges is to motivate stakeholders to enhance the quality of UML models. There is also a possibility to filter repositories with badges, as shown in Figure 4.4. Moreover, each card has two clickable buttons located on the bottom of the card. The first button allows the user to navigate to the repository page on GitHub; the second one is for showing more details about the repository by navigating to the Repository page.

![Figure 4.4: Repositories list page](image)

### 4.3.2 Repository page

The user will be navigated to the repository page, as soon as the view button located on each repository card on the repositories page 4.4 is clicked. The main functionality of this page is to provide an overview of the selected repository, and it’s identified UML files. The repository page is divided into six different views:
repository information, commit history chart, 'Issues to watch out for' box, UML process, UML content, and UML files as shown in Figure 4.5.

![Figure 4.5: Repository page](image)

### 4.3.2.1 Repository information

This box shows metadata about the repository such as name, creator, first commit, the last commit, total number of commits, number of editable UML files identified (.XMI and .UML), the total number of identified UML files and a button that links to the repository page on GitHub.

### 4.3.2.2 Commit history box:

Is a multi-line chart that represents the repository commit history as shown in Figure 4.6. The x-axis represents the commit date and time, and the y-axis represents the number of committed files. The multi-line chart contains two lines; the red one is representing the commits that contain identified UML files, and the blue one is representing the commits that contain other files than UML files (e.g., source code). This chart gives the user an idea about the development methodology of the project. For example, if the project follows the Waterfall software development methodology, it could be that all the UML files are committed at the start of the project and the UML files are not updated throughout the process. On the other hand, if the software development methodology is Agile, the UML files might be updated more frequently.
4.3.2.3 "Issues to watch out for" box

In this box, UML-Ninja presents indicators that need attention from the user. These indicators can be on the repository level or the Identified UML files level, for example:

- The repository contains class diagrams with unused classes.
- The repository contains class diagrams with multi-defined items (classes or attributes under the same class).
- The repository contains class diagrams with DIT value higher than the threshold.

4.3.2.4 UML process

In this view, UML-Ninja presents qualitative features regarding the process of UML in the selected repository, for example, UML commits ratio, editable UML ratio, and UML contributors ratio. As shown in Figure 4.5, the data are presented as three progress bars. The UML process indicators are implemented because of several reasons, including the importance of the indicators and value they add to the assessment of UML models, the type of available data about the repositories, time constraints and the level of complexity of indicator. Data regarding repositories is different than a local software project. For example, data about every change in a GitHub repository is stored under GitHub’s version control system, whereas, this does not apply to a local software project. UML-Ninja uses the data that was accessible and stored during the data analysis process discussed earlier, to implement the respective indicators. For example, to calculate the UML contributor ratio metrics, UML-Ninja stores the data about the number of people who added and updated the UML models as well as the total number of project contributors. The same for the UML commit ratio UML-Ninja stores all the commit history retrieved from GitHub and flag the commits that contain UML files.

4.3.2.5 UML content

This view presents an overview of the types of the identified UML models as well as the count of each type. As shown in Figure 4.5. UML-Ninja can identify (class diagram, sequence diagram, use-case diagram, and activity diagram). However, UML-Ninja has some limitation on identifying UML models. UML-Ninja can identify class diagrams from image formats, .XMI format, and .UML format. On the other hand, UML-Ninja can’t identify sequence diagrams, use-case diagrams, and
activity diagrams from image formats, but it can only identify them from .XMI format and .UML format.

4.3.2.6 UML files

This view lists all identified UML files as cards, as shown in Figure 4.7. Each card contains information about the UML file such as UML type, UML file name, creator, created date, format (image format, XMI or UML). For UML files in image format, the UML file image will be displayed on each card. Moreover, each card shows some of the overviews of the quality metrics that UML-Ninja calculates for each identified UML class diagram. These metrics are number of classes, depth of inheritance tree (DIT), number of multi-defined objects, number of unused classes, and max coupling. These metrics are represented as a radar chart, as shown in Figure 4.7. Each card has two buttons; the first button is for adding the file to the compare list. The other is for navigating to "UML (class diagram) page" to show more information about the selected UML file.

4.3.3 UML (class diagram) page

UML-Ninja only supports class diagrams quality metrics as discussed earlier; hence, the primary purpose of the UML page is to show information and quality metrics for class diagrams. The user will be navigated to the UML page when the view button on the class diagram card on the UML files view is clicked. The UML page is split into three views: class diagram information, metrics, and classes. The user can navigate between these views by clicking on the tab menu bar located on the top of Figure 4.8.

4.3.3.1 class diagram information

The class diagram information view displays metadata about the class diagram as well as class diagram image if the class diagram is in image format. These metadata
are: class diagram name, commit date and time, and creator as well as two buttons. The first button is for navigating to the GitHub page for this class diagram, and the other is for adding the class diagram to the compare list. Moreover, if the class diagram is in image format, the class diagram information view allows the user to download the XMI file generated from the IMG2UML [20] tool discussed in the data extraction step discussed earlier in this chapter.

![Class diagram information view](image)

**Figure 4.8:** Class diagram information view

### 4.3.3.2 Metrics

In this view, the class diagrams metrics are displayed. The motivation behind the class diagrams metrics that are currently implemented is based on the quality model introduced by Chaudron et al. [16] and SDMetrics [23]. The supported metrics for the UML class diagrams shown in Figure 4.9 are:

- Number of classes
- Fan-in
- Fan-out
- Number of unused classes
- Coupling
- Complexity
- Cohesion
- Number of multi-defined objects
- Depth of inheritance tree
- Number of god classes
- Level of details
- Number of classes follow UML naming conventions that are recommended by the guideline in the UML standards [24]
- Number of classes with long parameter list operations

According to Chaudron et al. [16] quality model, each metrics is connected to characteristics. Therefore we implemented a filter function that allows the user to filter metrics by characteristic as shown on the top of Figure 4.9. These characteristics are purposed by Chaudron et al. [16] quality model.
4. Design and Implementation of UML-Ninja

Additionally, if the calculated value of a specific metric is higher than or equal to the threshold, UML-Ninja will highlight the value of the metric in a red circle as shown in Figure 4.9.

![Figure 4.9: Class diagram metrics view](image)

### 4.3.3.3 Classes

This view shows a list of all the classes exists in the selected class diagram. Each class is represented as a card that contains information and indicators about the class, as shown in Figure 4.10. The information displayed for each class are: class name, number of attributes, number of operations, Fan-in, Fan-out, and coupling. Moreover, four indicators can be displayed for each class. Those indicators are:

- If the class is following naming conventions or not.
- If the class is a god class.
- If the class is unused.
- If the class has a long parameter list operation.

This view provides a sorting function, as shown in Figure 4.10. The user can sort classes by all supported class level metrics: Number of attributes, Number of operations, Fan-in, Fan-out, and coupling. This view also presents a histogram for the value distribution for the chosen metrics.
4.3.4 Compare page

As discussed in the UML files view 4.3.2.6 and class diagram information view 4.3.3.1, UML-Ninja allows users to add class diagrams to the compare list. The class diagrams can be from the same project or a different project. This function enables the user to compare the quality of two or more class diagram using the results calculated by the quality metrics. All the metrics that UML-Ninja support (shown on Table 4.1) will be displayed on this page. Each class diagram is represented as a column in the compare page, as shown in Figure 4.11. There is also a possibility to remove file by file from the compare list by clicking on the red remove button on the top right side of each UML file card.

4.3.5 Metrics definition page

This page display all metrics and rules supported by UML-Ninja. Furthermore, this page describes each metrics, how it is calculated and related work, as shown in Figure 4.12.

Technology choices

UML-Ninja is developed using modern web technologies. The back-end is written in C# using Microsoft’s latest framework .NET Core 2.2 [36]. The front-end is developed using the Angular 7 [37]. For creating charts, two chart libraries were used: Plotly [38] and Chartjs [39].
4. Design and Implementation of UML-Ninja

Figure 4.11: Compare page
### Metrics

<table>
<thead>
<tr>
<th>Metric</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number Of classes</td>
<td>Total number of classes in the class diagram</td>
</tr>
<tr>
<td>Number Of unused classes</td>
<td>Total number of classes that do not have any reference to another class (orphan classes)</td>
</tr>
<tr>
<td>Depth of inheritance tree</td>
<td>The maximum length of path from a class to its root class in the inheritance structure of the class diagram</td>
</tr>
<tr>
<td>Multi defs</td>
<td>Multiple definitions of an inherited class, methods, attributes under the same name</td>
</tr>
<tr>
<td>Fan In</td>
<td>The number of incoming association relations of a class</td>
</tr>
<tr>
<td>Fan Out</td>
<td>The number of outgoing association relations of a class</td>
</tr>
<tr>
<td>Coupling</td>
<td>The number of other classes a class is related to</td>
</tr>
<tr>
<td>Number of attributes</td>
<td>Total number of class attributes</td>
</tr>
<tr>
<td>Number of methods</td>
<td>Total number of class methods</td>
</tr>
<tr>
<td>Number of class operations</td>
<td>Total number of class operations</td>
</tr>
<tr>
<td>Level of details</td>
<td>The level of details metric for UML models is defined as follows:</td>
</tr>
<tr>
<td></td>
<td>1. Size of the class: Measures the size of the class in terms of the number of attributes of a class.</td>
</tr>
<tr>
<td></td>
<td>2. Size of the method: Measures the size of operations with parameters to the total number of operations of a class.</td>
</tr>
<tr>
<td></td>
<td>3. Size of the class: Measures the size of modules of a class, including methods and attributes.</td>
</tr>
<tr>
<td></td>
<td>4. Size of the class: Measures the size of operations of a class, including methods and attributes.</td>
</tr>
<tr>
<td></td>
<td>5. Size of the class: Measures the size of dependencies of a class, including methods and attributes.</td>
</tr>
<tr>
<td></td>
<td>6. Size of the class: Measures the size of interactions of a class, including methods and attributes.</td>
</tr>
<tr>
<td></td>
<td>7. Size of the class: Measures the size of attributes of a class, including methods and attributes.</td>
</tr>
<tr>
<td></td>
<td>8. Size of the class: Measures the size of operations of a class, including methods and attributes.</td>
</tr>
<tr>
<td></td>
<td>9. Size of the class: Measures the size of dependencies of a class, including methods and attributes.</td>
</tr>
<tr>
<td></td>
<td>10. Size of the class: Measures the size of interactions of a class, including methods and attributes.</td>
</tr>
</tbody>
</table>

### Figure 4.12: Metrics definition page
5

Results

In this chapter, the implementation of the research methodology will be discussed. As discussed earlier in the methodology chapter 3, we follow the design science research methodology in three iterations. This chapter discusses the three iterations in details.

5.1 Iteration 0

In this section iteration 0 of the design science methodology will be discussed. This iteration is the first step towards answering RQ1.

5.1.1 Awareness of the problem

In this iteration we aim to solve the following problems:

- Identifying UML models files in FOSS repositories.
- Extracting data and metadata from UML models files.

Research efforts [5, 20] have been made to tackle each problem separately, but they are not integrated into one system. This iteration aims to integrate the resulted tools [5, 20] into one system.

5.1.2 Suggested design

To be able to solve the identified problems in the previous step, the data collection and the data analysis components were designed, as shown in Figure 5.1. These two components are designed to integrate the work mentioned earlier [5, 20]. Furthermore, saving the data collected to a local database.

- **Data collection:** The main functionality of this component is collecting data needed for the quality assessment process. The data required is obtained from GitHub using GitHub API. The output of this step is a list of UML files in different formats.
- **Data analysis:** The data analysis component takes this list of UML as an input, and it extracts the data needed for calculating quality metrics.
5.1.3 Development

The aim for the development in this iteration is to automate the process of identifying UML models from GitHub repositories. Additionally, Extract data and metadata needed for the quality assessment process. The development in this iteration is mainly based on the work done by Hebig et al. [5]. However, we aim to automate the process. Therefore, resulted tools form [5, 20, 22] needs to be integrated into one system to make the automated process possible. The development in this iteration involved modifications to the existing tools. For example, we modified the "img2uml" tool create by Karasneh et al. [20] from a windows desktop application to a command line script. Additionally, modifications were done to the GitHub crawler (python script) that obtains potential UML files from GitHub repositories. Some other tools were rewritten completely such as "UMLDetect". "UMLDetect" is a textual UML identifier (for .uml, .xmi files). Furthermore, developing the data collection component and data analysis component, as discussed in the design section 5.1.2. Moreover, the core development of the back-end and the database were done.
5. Results

There is always a certain rate of inaccuracy in the existing automated systems/tools used to identify and extract from images. Thus this will directly affect UML-Ninja accuracy rate. For example, the class diagram image classifier accuracy rate is between (90%-95%). Moreover, the reported accuracy of the "Img2UML" system is: 95% for rectangles classes, 80% for relationships and 92% for text recognition.

5.1.4 Evaluation

The Zero evaluation iteration was conducted approximately one month after the starting of the project. This evaluation iteration was done internally with the supervisors. The intention behind this evaluation was to determine whether implement of such a tools is feasible using the existing tools and whether the data and metadata can be retrieved from FOSS repositories. Furthermore, we aimed to find out which metrics and rules can be automatically calculated given the data collected. Most importantly, getting early feedback is essential to steer the project in the right direction. It should be noted that throughout the project time frame, weekly evaluation meetings were performed with the supervisors on updates and progress. One recurring theme in the feedback sessions was the design of the User Interface and the shape of the graphs and dashboard.

5.2 Iteration 1

In this section iteration 1 of the design science methodology will be discussed. The rationale behind this iteration is to answer the research questions.

5.2.1 Awareness of the problem

To answer RQ1 and the three sub-question: SQ1.1, SQ1.2 and SQ1.3, we have to solve the following problems:

- Implementing quality metrics and rules for UML models.
- Developing a dashboard for visualizing the calculated metrics and rules in a visually appealing manner to support stakeholders in assessing the quality if UML models.

To answer RQ2 and RQ3, UML-Ninja has to be evaluated. As mentioned in the research methodology chapter 3, the evaluation will be done as user studies. Details of the evaluation are discussed in the evaluation section 5.2.4.

5.2.2 Design

To be able to solve the identified problems, new components were added to the UML-Ninja components and connectors diagram, as shown in Figure 4.1. The component "quality metrics calculator" was added to the data analysis component. The metrics and rules supported by UML-Ninja are implemented and calculated in the "quality metrics calculator" component. Table 4.1 represents a list of metrics and rules supported by UML-Ninja. The 'RESTful API interface' component is another main component that was added. The 'RESTful API interface' component is an
interface between UML-Ninja and other systems or components that uses HTTP protocol to retrieve data from UML-Ninja in JSON format. This component function as the communication channel between the UML-Ninja back-end and front-end. In addition to 'RESTful API interface' component, the 'Data presentation' component was added, this component function as the UML-Ninja front-end. The primary purpose of the 'Data presentation' component is to present the data and metadata collected. Furthermore, display the calculated values for the supported quality metrics and rules.

5.2.3 Development

The development in this iteration is split into three main parts.

- Modifying the data analysis component by adding the Quality metrics calculator.
- Developing the 'RESTful API interface' component that works as a communication channel between UML-Ninja back-end and the front-end.
- Developing the 'Data presentation' component, which is the UML-Ninja front-end.

5.2.4 Evaluation

Halfway through the project timeframe, the first evaluation iteration was conducted. This evaluation iteration aims to collect early feedback about the usability and usefulness of the system (UML-Ninja). As mentioned in the research methodology chapter 3, this evaluation iteration was done by conducting user studies. The data collected were analyzed and then used to identify problems and new features. As discussed in the research methodology chapter, the data collection method used is conducting interviews. In this iteration, we conducted interviews with 6 participants (2 of each stakeholder group). Two students were studying software engineering master program at the University of Gothenburg. The two researchers are Ph.D. students at the department of software engineering at the University of Gothenburg. Furthermore, two practitioners with about ten years of experience in the field of software development. The two practitioners are software developers working at two different consulting companies located in Gothenburg.

The collected data from the interviews were then analyzed using the coding method. After the interviews data were collected, the data were read twice to get familiar with the data. The next step after that was to perform the coding on the interview data to capture the key phrases/sentences. At the beginning of the process, we created a list of codes and divided them into five categories corresponding to the goals of the interview questions, as follow:

1. Category: Use of UML
   (a) FREQUENCY
   (b) STAGE
   (c) IMPACT ON SOFTWARE QUALITY
   (d) TYPE
5. Results

(e) REASON

2. Category: Assessing the quality of UML
   (a) METHOD
   (b) FREQUENCY

3. Category: Use of UML-Ninja
   (a) BETTER QUALITY ASSESSMENT
   (b) IMPROVE UML
   (c) MOTIVATE TO CHECK UML QUALITY

4. Category: Advantages
   (a) USABILITY AND EFFICIENCY
   (b) METRICS AND RULES

5. Category: Limitations
   (a) NEW FEATURES
   (b) BUGS
   (c) POSSIBILITY FOR IMPROVEMENT

The first category consists of four codes emphasizing the use of UML. It covers how often the interviewees use UML, in which stage of the project, type of UML they use, the reason they use it for, and how they see the impact of using UML on the quality of software. The second category has two codes. The codes aim to inquire if the interviewees assess the quality of UML or not when they do it and how. The third category consists of three codes, capturing the interviewee's opinions on the use of UML-Ninja. This category is mainly about if UML-Ninja helps the interviewees to assess the quality of UML, improve the quality of UML, and if UML-Ninja motivates them to check the quality of UML. The fourth category consists of two codes: USABILITY AND EFFICIENCY and METRICS AND RULES. The fifth category has three codes. The codes aim to capture the limitations of UML-Ninja as bugs, Possibility for improvement, and feature requests.

5.2.5 Evaluation results

The data collected from the interviews were analyzed using the coding method, as explained in Section 5.2.4. In this section, the results from the coding are presented by mind maps for five coding categories in the following sub-sections. Each mind map consists of three objects: the blue rectangle represents the coding category, the yellow rectangle represents code, and the gray rectangle represents coded data from the interview data. The gray rectangle has a number in parentheses representing the number of times it appears in the interview data.

5.2.5.1 Category: Use of UML

Figure 5.2 illustrate the codes and their coded data under the category 'Use of UML'. The category has four codes: FREQUENCY is for the data that provides information on the frequency of using UML. STAGE is used for the data that provides information about in which stage of the project UML is used. TYPE is for the data that provides information about which type of UML stakeholders often use.
5. Results

REASON to represent the reason why they use UML. IMPACT ON SOFTWARE QUALITY explains how stakeholders see the impact of using UML on software quality.

As we observed after coding the interviews data, the interviewees use UML differently. As shown in Figure 5.2, we noted three different levels of the frequency of using UML: very often, rarely and moderate. Moreover, interviewees use UML mainly for communication purposes as well as to get a broader overview of the system they develop, as represented with the REASON code. Furthermore, we found that the stage that UML is used at varying between interviewees. As shown in Figure 5.2, the STAGE code has three different coded data: Design, Analysis, and Testing. One interviewee (Researcher) mentioned that they use UML in the testing phase, as quoted below.

“We use UML in the testing phase, if the application we develop use UML models as input”

Another interviewee (Practitioner) mentioned that they use UML models in the design phase, as quoted below.

“We use UML early in the design phase for reasoning and getting an overview.”

Figure 5.2: Iteration 1: Coding results for category: Use of UML

We found that the types of UML often used are: Class diagrams, sequence diagrams, and use case diagrams represented as TYPE code shown in Figure 5.2. Finally,
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Interviewees expressed their opinion about the impact of UML on software quality represented as IMPACT ON SOFTWARE QUALITY code shown in Figure 5.2. Interviewees see the impact between moderate and high.

5.2.5.2 Category: Assessing the quality of UML

The codes and coded data for category 'Assessing the quality of UML' are shown in Figure 5.3. The 'Assessing the quality of UML' category has two codes: METHOD and FREQUENCY. METHOD is for the data that provides information about the current method used to assess the quality of UML. FREQUENCY is for the data that gives information about the frequency of assessing the quality of UML.

As observed for the 'Assessing the quality of UML', the interviewees don’t use any computer software to assess the quality of UML, they do it manually. Furthermore, we found that not all interviewees do assess the quality of UML and if they do they do it manually. The interviewees that assess the quality of UML often do it after making changes to the UML to make sure that it is correct after the change is done.

5.2.5.3 Category: Use of UML-Ninja

Figure 5.4 illustrate the coding results for 'Use of UML-Ninja' category. The category 'Use of UML-Ninja' has three codes: BETTER QUALITY ASSESSMENT, IMPROVE UML, and MOTIVATE TO CHECK UML QUALITY. BETTER QUALITY ASSESSMENT is for the data obtained about if UML-Ninja provides a better quality assessment over the method that the interviewees currently use. IMPROVE UML is for the data collected about if UML-Ninja help in improving the quality of UML. MOTIVATE TO CHECK UML QUALITY is for the data that provides information about if UML-Ninja could be a motivation to check the quality of UML.
5. Results

Figure 5.4: Iteration 1: Coding results for category: Use of UML-Ninja

All interviewees found that UML-Ninja has the potential to help them in assessing the quality of UML. Additionally, Interviewees prefer UML-Ninja over their current way, for example, an interviewee (Practitioner) mentioned in the quote below.

“My current way of assessing the quality is manual review. I prefer UML-Ninja because it offers the facility to assess the quality of UML and represent that as numbers (Metrics)”

Furthermore, Interviewees (Student) do find that UML-Ninja will help them to improve the quality of UML models, for example an interviewee mentioned in the quote below.

“The tool automates the process; it guides me on how can I improve my UML. It also gives me indications about problems that I might not know about or see”

Moreover, we found that UML-Ninja is perceived as a motivation to perform UML quality assessment, for example an interviewee (Practitioner) mentioned in the quote below.

“yes, the tool motivate me. Especially when I want to ensure a good quality of a model for code generation purposes. ”

5.2.5.4 Category: Advantages

Figure 5.5, shows that the codes and the coded data for the category "Advantages". The "Advantages" category has two codes: USABILITY AND EFFICIENCY, METRICS AND RULES. The code USABILITY AND EFFICIENCY is for data related how the interviewees perceive UML-Ninja in terms of usability and efficiency. METRICS AND RULES is for the data related to the advantages of the metrics and rules provided by UML-Ninja.
5. Results

Figure 5.5: Iteration 1: Coding results for category: Advantages

As noted from the coded data, interviewees found the metrics and rules provided by UML-Ninja are relevant, enough, and useful as interviewees (Practitioner, Student) mentioned in the quotes below.

“It is good and relevant. it makes it easy to detect problems.”

“I think they are relevant for me. Moreover, they are enough.”

Interviewees (Practitioner, Researcher) found UML-Ninja and the automated approach behind it, make the quality assessment easier and time efficient as mentioned in the quotes below:

“I think a fully automated approach to assess the quality of UML models is very needed and it reduce a lot of effort.”

“It is a good approach, and the tool is going in the right direction”

Another advantage for using UML-Ninja, according to interviewees (Researcher) that UML-Ninja makes it easy to compare UML as quoted below.

“Adds numeric measure to model. Makes it comparable.”
Furthermore, interviewees found that the feedback provided by UML-Ninja could help them in improving the quality of UML. UML-Ninja makes it easier to assess the quality of UML models as well as detecting quality issues. UML-Ninja makes it easy for them to find what needs to be improved in the UML.

5.2.5.5 Category: Limitations

![Figure 5.6: Iteration 1: Coding results for category: Limitations](image)

Figure 5.6, illustrate the codes and the coded data for the category 'Limitations'. The 'Limitations' category has three codes: BUGS, POSSIBILITY FOR IMPROVEMENT, and NEW FEATURES. BUGS is for data related to the bugs detected in UML-Ninja by the interviewees. POSSIBILITY FOR IMPROVEMENT is used for the data that provides Information about UML-Ninja functions that can be improved. NEW FEATURES is for the possible new features that can be implemented in UML-Ninja to make a better system. Interviewees identified list of bugs,
new features and enhancements as shown in Table 5.1

<table>
<thead>
<tr>
<th>Limitation</th>
<th>Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Redesigning the look and feel</td>
<td>New feature</td>
</tr>
<tr>
<td>Sort and filter function for the repositories list page</td>
<td>New feature</td>
</tr>
<tr>
<td>Possibility to sort classes with class level metrics (fan-in, fan-out, .. )</td>
<td>New feature</td>
</tr>
<tr>
<td>Display detected issues for each class in the class list view</td>
<td>New feature</td>
</tr>
<tr>
<td>Rewards (badges), for example, if all UML models in a project follow the U</td>
<td>New feature</td>
</tr>
<tr>
<td>ML naming conventions, this project will get the 'naming conventions' badge.</td>
<td></td>
</tr>
<tr>
<td>A summary for identified issues in the repository dashboard</td>
<td>New feature</td>
</tr>
<tr>
<td>Filter metrics with characteristics</td>
<td>New feature</td>
</tr>
<tr>
<td>Replace complicated charts with easy to understand ones</td>
<td>Enhancement</td>
</tr>
<tr>
<td>Making pages more compact by removing tabs when it is not needed</td>
<td>Enhancement</td>
</tr>
<tr>
<td>Make the navigation more accessible by implementing breadcrumb navigation</td>
<td>Enhancement</td>
</tr>
<tr>
<td>Help function to describe metrics and how it’s calculated</td>
<td>Enhancement</td>
</tr>
<tr>
<td>The date and time are displayed wrongly</td>
<td>Bug</td>
</tr>
<tr>
<td>Navigating between pages is slow</td>
<td>Bug</td>
</tr>
<tr>
<td>Compare page is not working</td>
<td>Bug</td>
</tr>
<tr>
<td>Information displayed twice in the repository list page</td>
<td>Bug</td>
</tr>
</tbody>
</table>

Table 5.1: Iteration 1 identified limitations

5.2.6 Usability of UML-Ninja

<table>
<thead>
<tr>
<th>Usability measurement</th>
<th>Mean</th>
</tr>
</thead>
<tbody>
<tr>
<td>Q1: Willing to use the system</td>
<td>4.50</td>
</tr>
<tr>
<td>Q2: Complexity of the system</td>
<td>1.67</td>
</tr>
<tr>
<td>Q3: Ease of use</td>
<td>4.33</td>
</tr>
<tr>
<td>Q4: Need of support to use</td>
<td>2.00</td>
</tr>
<tr>
<td>Q5: Integrity of functions</td>
<td>4.33</td>
</tr>
<tr>
<td>Q6: Inconsistency</td>
<td>1.67</td>
</tr>
<tr>
<td>Q7: Intuitiveness</td>
<td>3.50</td>
</tr>
<tr>
<td>Q8: Cumbersome to use</td>
<td>1.17</td>
</tr>
<tr>
<td>Q9: Feeling confident to use</td>
<td>3.67</td>
</tr>
<tr>
<td>Q10: Required learning-effort</td>
<td>2.83</td>
</tr>
<tr>
<td>Total SUS Score</td>
<td>77.50</td>
</tr>
</tbody>
</table>

Table 5.2: Iteration 1: Average SUS scores

As mentioned in the methodology chapter 3, we are using SUS standard questions to evaluate the usability of UML-Ninja. Each of the individual scores on the question ranges from 0 to 4. Questions 1, 3, 5, 7, and 9, representing the positive aspects of usability. The score contribution is the value of choice minus 1. For example, for question 1, if the value of the choice is 3, the score is 2. Questions 2, 4, 6, 8, and 10, representing the negative aspects of usability. The score contribution is 5, minus the value of the choice. For example, for question 2, if the value of the choice is 3, the score is 2. Table 5.2 show the mean for each question as well as the Total SUS
5. Results

score. Total SUS score values have a range of 0 to 100; it should be noted that it is not a percentage value. The Total SUS score we achieved for UML-Ninja’s usability in this iteration was: 77.50. According to the SUS scale shown in Figure 3.3 is a solid grade C, which is good but not excellent.

5.3 Iteration 2

In this section iteration 2 of the design science methodology will be discussed. This iteration intends to enhance UML-Ninja according to the feedback collected from iteration 1 as well as conducting a new evaluation iteration.

5.3.1 Awareness of the problem

The feedback collected from the previous iteration is used as an input for this iteration. The limitations 5.2.5.5 discussed in the evaluation of iteration 1 are the challenges for this iteration.

5.3.2 Design

The Design of UML-Ninja will remain the same as shown in Figure 4.1, however, new features, enhancements, and bug fixes will be done to enhance UML-Ninja according to the feedback collected.

5.3.3 Development

The development in this iteration involved enhancement, adding new features and bug fixing as shown in Table 5.1

5.3.4 Evaluation

After the new developments were finished, a new evaluation iteration was conducted. The intention behind this evaluation iteration is to get more feedback about the system usability and usefulness from different stakeholders. By evaluating the data collected from this iteration, we wanted to study and understand the areas of improvement, which could be useful for future research works.

In this iteration, we conducted interviews with 9 participants (3 of each stakeholder group). It should be noted that none of the participants from Iteration 1 were reused in this evaluation. Three students were studying software engineering master program at the University of Gothenburg/Chalmers University of technology. Three practitioners, one is working as a software architect and two software developers working for different consulting companies located in Gothenburg. The practitioner’s experiences are between 5 and 12 years of experience. Moreover, both software developed holds a Bachelor degree in computer science, and the software architect holds a Master degree in software engineering. Furthermore, our choice of researchers was much broader. We chose three researchers
from three different countries, and their primary research field is software engineering. The first researcher is working as Associate Professor at the University of Chile, and the second is working as Associate Professor at Universidad Rey Juan Carlos, the third researcher is a Post Doc Researcher at the Technical University of Munich. It should be noted that the last three user studies with researchers were conducted via Skype.

5.3.5 Evaluation results

In this section, we will present the evaluation results for iteration 2. The same procedures were performed as in iteration 1. Moreover, the same categories and codes were reused in iteration 2 as well.

5.3.5.1 Category: Use of UML

Figure 5.7: Iteration 2: Coding results for category: Use of UML

Figure 5.7 illustrate codes and coded data for the 'Use of UML' category. The stage UML is used at is different from interviewee to another. As shown in Figure 5.7, the STAGE code has four different coded data. UML is used in the Design, implementation, maintenance, or at any stage of the project life cycle as reported by the interviewees. An interviewee (Practitioner) mentioned that they use UML
both in the Design phase and implementation phase, as quoted below.

“We use UML in the entire of design stage and some in implementation stage.”

Another interviewee (researcher) mentioned that they use UML in any stage of the project as quoted below.

“We use UML in any stage.”

We observed that the frequency of using UML is between moderate and rarely as represented by the code FREQUENCY shown in Figure 5.7. The primary reasons of using UML are represented with the REASON code shown in Figure 5.7. As observed, interviewees use UML primarily for communication purposes and to get an overview about the system architecture before they start developing it as well as getting a better understanding of the system, as quoted below.

“We use UML to design the system and for communication purposes.”

“We use UML To get an overview of the solution and the code.”

“Viewing architecture components and the relationship between the components.”

One interviewee (Researcher) mentioned that they use UML for reverse engineering purposes, as quoted below.

“We use UML for Reverse engineering for software quality assessment.”

For the types of UML used, interviewees often use the following types:

- Class diagram
- Component and connectors diagram
- Sequence diagram
- Use case diagram
- State machine.

Finally, interviewees see the impact of UML on the software quality between moderate and high as represented with code IMPACT ON SOFTWARE QUALITY in Figure 5.7.

For the "Use of UML" category, We didn’t observe significant differences in the results between iteration 1 and iteration 2. We noted minor differences such as interviewees use more types of UML, and they use UML at any stage of the project.
5. Results

5.3.5.2 Category: Assessing the quality of UML

Figure 5.8: Iteration 2: Coding results for category: Assessing the quality of UML

Figure 5.8 shows codes and coded data for category 'Assessing the quality of UML'. Not all interviewees do assess the quality of UML. But, when they do, they manually review the UML to check the quality as represented with METHOD code shown in Figure 5.8. However, one interviewee (Researcher) is using SDMetrics [23] to assess the quality of UML. Furthermore, we observed that interviewees do assess the quality of UML, after doing modifications to the UML, as quoted below.

“I do assess the quality of UML when I intend to do changes within the UML models. It is for ensuring the quality of the software system.”

Moreover, interviewees assess the quality of UML when they implement a complex feature, to make sure that the UML is correct and with good quality before they start the implementation of the feature as quoted below.

“I do assess the quality of UML, every time I have to implement a complex feature that requires to make sure it is correct and with good quality.”

Additionally, We found that interviewees do assess the quality of UML when they want to do a quality inspection. We observed differences in the results between iteration 1 and iteration 2 for the 'Assessing the quality' category such as, one interviewee use SDMetrics to assess the quality of UML. Furthermore, interviewees assess the quality of UML for quality inspection purposes as well as before implementing a complex feature.
5.3.5.3 Category: Use of UML-Ninja

Figure 5.9: Iteration 2: Coding results for category: Use of UML-Ninja

Figure 5.9 illustrate the coding results for "Use of UML-Ninja' category. Interviewees found that UML-Ninja could help them in assessing the quality of UML. Additionally, interviewees prefer UML-Ninja over reviewing the UML manually, as interviewees (Practitioner, Student) mentioned in the quotes below.

“UML Ninja is quicker and more consistent than the manual check. Applying the metrics as rules manually would take too much time.”

“UML-Ninja is doing the process in a systematical way and with more precision. In terms of ease of use, it is straightforward to use and indicative.”

“Now I use manual review, UML-Ninja allows for further possibilities.”

Moreover, an interviewee (Researcher) that uses SDMetrics [23] mentioned that he could use UML-Ninja beside using SDMetrics [23]. UML-Ninja is easier to use, but SDMetrics [23] provides much more metrics than UML-Ninja as quoted below.

“I’m more convenience with SDMetrics because it provided a bigger list of metrics and rules. However, I could use UML-Ninja as a part of my assessment tools, because, UML-Ninja is easier to use and understand. UML-Ninja gives an intuitive graphical representation for the metrics and rules. UML-Ninja is automated, with SDMetrics I have to choose file by file manually to assess the quality. UML-Ninja also takes into consideration the process of creating UML.”

Furthermore, Interviewees do find that UML-Ninja could help them to improve the quality of UML models, as interviewees (Student, Practitioner) mentioned in the quotes below.
5. Results

“Yes, it will motivate you. It will increase the quality of UML, and finding the problem earlier when it simple, and it will decrease the tech. dept.”

“Yes. It would help me improve the quality of the models I create and ensure that I am following standards.”

For the 'Use of UML-Ninja' category, We did not observe differences in the results between iteration 1 and iteration 2.

5.3.5.4 Category: Advantages

Figure 5.10: Iteration 2: Coding results for category: Advantages

Figure 5.10, represent the observed advantage of UML-Ninja. Interviewees perceive the metrics and rules provided by UML-Ninja as relevant and useful, as represented by the code METRICS AND RULES shown in Figure 5.10. One of the Interviewees (Researcher) reported that the metrics and rules provided by UML-Ninja are not enough. The interviewee (Researcher) uses SDMetrics to assess the quality of UML. SDMetrics provides a bigger catalog of metrics and rules than UML-Ninja. Interviewees found UML-Ninja and the automated approach behind it, make the quality assessment easier, effective, and time efficient as mentioned in the quotes below:

“It makes it easier to assess the quality if it is manual, people will not do it. And it is error born because it is a human activity, it will also be useful to see the feedback from the tool in the sprint review.”
5. Results

“The automating the process of quality assurance is positive because it saves time and ensures consistency.”

“The automated quality assessment (UML-Ninja) seems to be reasonable, intuitive, and easy to use.”

For the "Advantages" category, We didn’t observe significant differences in the results between iteration 1 and iteration 2. The only noted difference was that one of the interviewees reports that the metrics and rules provided by UML-Ninja are not enough for his workflow.

5.3.5.5 Category: Limitations

Figure 5.11: Iteration 2: Coding results for category: Limitations

Figure 5.11, illustrate the codes and the coded data for the category 'Limitations’. The 'Limitations' category has two codes: POSSIBILITY FOR IMPROVEMENT, and NEW FEATURES. Interviewees identified list of enhancements and new features as shown in Table 5.3.

The quotes below represent the limitations mentioned by the interviewees.

“UML-Ninja needs to support some metrics for visual indicators (e.g., how many colors are used in the model? How many crossing lines?).”
5. Results

<table>
<thead>
<tr>
<th>Limitation</th>
<th>Type</th>
</tr>
</thead>
<tbody>
<tr>
<td>Easier integration with GitHub</td>
<td>Enhancement</td>
</tr>
<tr>
<td>ToDos should be first (Possible issues to watch out for)</td>
<td>Enhancement</td>
</tr>
<tr>
<td>Less info at first, more information on demand.</td>
<td>Enhancement</td>
</tr>
<tr>
<td>Different dashboard layout for different stakeholder category.</td>
<td>New feature</td>
</tr>
<tr>
<td>Code correlation metrics.</td>
<td>New feature</td>
</tr>
<tr>
<td>Warning for not updated UML files.</td>
<td>New feature</td>
</tr>
<tr>
<td>User-defined Metrics</td>
<td>New feature</td>
</tr>
<tr>
<td>Show repository contributors as a list.</td>
<td>New feature</td>
</tr>
<tr>
<td>Commit history for each UML</td>
<td>New feature</td>
</tr>
<tr>
<td>Continuous quality monitoring</td>
<td>New feature</td>
</tr>
<tr>
<td>Metrics for visual indicators (how many colors? How many crossing lines?)</td>
<td>New feature</td>
</tr>
<tr>
<td>User-defined metrics threshold</td>
<td>New feature</td>
</tr>
<tr>
<td>Extract metrics values as JSON</td>
<td>New feature</td>
</tr>
<tr>
<td>Support for more metrics (SDMetrics)</td>
<td>New feature</td>
</tr>
</tbody>
</table>

Table 5.3: Iteration 2 identified limitations

“A feature that I would like to see in UML-Ninja would be to relate the content of the diagram to the actual code and indicate how good the diagrams are in the context of the code.”

“Continuous monitoring will be helpful and will add more value to UML-Ninja.”

“User-defined Threshold, more metrics (SDMetrics provide more info) will be my feature request.”

We observed that none of the limitations that were found in iteration 1 were repeated by the interviewees in iteration 2. In fact during the interviews the issues found in UML-Ninja in the first iteration were fixed.

5.3.6 Usability of UML-Ninja

Table 5.4 show the mean for each question as well as the Total SUS score. Total SUS score values have a range of 0 to 100; it should be noted that it is not a percentage value. The Total SUS score we achieved for UML-Ninja’s usability in iteration 2 was: 85.71. According to the SUS scale shown in Figure 3.3 is a solid grade B, which is an excellent score. The calculated SUS score is significantly higher compared to the value of iteration 1, which is 77.50. That indicates that the usability of the tool has been improved since the iteration 1.
5. Results

Usability measurement | Mean
--- | ---
Q1: Willing to use the system | 4.14
Q2: Complexity of the system | 1.71
Q3: Ease of use | 4.57
Q4: Need of support to use | 1.43
Q5: Integrity of functions | 4.29
Q6: Inconsistency | 1.43
Q7: Intuitiveness | 4.43
Q8: Cumbersomeness to use | 1.00
Q9: Feeling confident to use | 4.14
Q10: Required learning-effort | 1.71

Total SUS Score | 85.71

Table 5.4: Iteration 2: Average SUS scores
6
Discussion

In this chapter, we present four sections. The first section presents answers to the research questions. The second section discusses different validity threats that we have encountered and how we mitigated them. The third section discusses research ethics.

6.1 Research questions

RQ1: How to automatically assess the quality of UML models in open source projects?

RQ1 is mainly scoped to how can we build a system that automates the process of assessing the quality of UML models. In this thesis, we introduced an approach to automate the process of assessing the quality of UML models in FOSS projects. As a result, we built a tool (UML-Ninja) that follows this approach, as discussed in Chapter 4. The implementation of the tool indicates that the automated approach of the quality assessment is technically possible and applicable.

However, to be able to develop such a system, we faced some challenges. To be able to identify and extract data from GitHub repositories resulted tools form [5, 20, 22] had to be integrated into one system. The integration process was challenging since the mentioned tools were written in different programming languages (Python, C++, and Java). Additionally, the integration process involved modifications to the existing tools. For example, we modified the "img2uml" tool create by Karasneh et al. 20 from a windows desktop application to a command line script. Additionally, modifications were made to the GitHub crawler (python script) that obtains potential UML files from GitHub repositories. Some other tools were rewritten completely such as "UMLDetect". "UMLDetect" is a textual UML identifier (for .uml, .xmi files).

There is always a certain rate of inaccuracy in the existing automated systems/tools used to identify and extract from images. Thus, this will directly affect UML-Ninja accuracy rate. For example, the class diagram image classifier accuracy rate is between (90%-95%). The accuracy of the 'Img2UML' system is 95% for rectangles classes, 80% for relationships and 92% for text recognition. This inaccuracy rate made really difficult to accurately extract form low-resolution images. We faced this challenge in two directions. Firstly, we added the 'Filtering UML files' step in the
'Data collection', to filter all low resolution and small image from the identification process as discussed in Chapter 4. Secondly, We implemented UML-Ninja using plug-in mechanisms, making it a flexible system to make it easy to replace the current tools and services with others that have a higher accuracy rate.

In comparison with SDMetrics, UML-Ninja automates the process of identifying and assessing the quality of UML models. However, with SDMetrics, the user is required to pick the desired file for the tool to analyze explicitly.

**SQ1.1: How to assess the quality of UML models?**

To be able to answer this question we had to investigate what UML metrics and rules that can be automatically calculated using the data collected from FOSS repositories. We based our metrics choice on the work done by Chaudron et al. [16] and SDMetrics [22]. From Chaudron et al. [16] and SDMetrics [22] suggested metrics, we could implement 16 metrics, as shown in Table 4.1. However, some remaining challenges were not tackled in this thesis. For example, UML-Ninja does not support all metrics and rules suggested due to limitations on the collected data by the tools and services that the system depends on. For example, quantifying the number of crossing lines in a diagram (NCL) is challenging, as the tools used and data collected does not provide any data about the multiplicity of the associations. Moreover, Design pattern metrics is difficult to quantify as well, since the used tools and collected data do not provide any data about that. Besides, the aim is to automate the quality assessment process for all types of UML models. In fact, for now, we only have the technology for one type of UML models, which is a class diagram. As a result of this limitation, the system only supports class diagram metrics. The large catalog of metrics and rules supported by SDMetrics is another challenge that remains unsolved in this thesis. Furthermore, user-defined metrics is another SDMetrics feature that UML-Ninja does not support.

**SQ1.2: How to assess the quality of use of UML models in software development processes?**

To be able to address this question we need to investigate what UML process metrics that can be calculated using the data collected from FOSS repositories. From the data collected, we could implement three UML process metrics as shown in Table 4.1. For example, for UML contributor ratio we could retrieve the data about the number of people who added and updated UML models as well as the total number of project contributors. Furthermore, by retrieving all repository commits and flag the commits that contain UML files, we could calculate the UML commit ratio. Finally, by identifying all UML files and their types, we could calculate the Editable UML ratio. The 'UML commit ratio', 'Editable UML ratio' and 'UML contributor ratio' are one of the contributions of this thesis, since they were not supported by SDMetrics or other identified related work.
6. Discussion

**SQ1.3: How to provide feedback to different stakeholders with a given result of quality metrics?**

To answer the third part (SQ1.3) of the RQ1, we implemented the "Data presentation" component. The "Data presentation" component is discussed in Section 4.3. The main goal of this component is to present the data and metadata collected for the UML models. Besides, displaying the calculated values for the quality metrics and rules. The "Data presentation" component presents the repository data and metadata in a visually appealing manner to support stakeholders in assessing the quality of UML models as well as making decisions on how to improve the quality of UML models. UML-Ninja presents the calculated values for metrics and rules as a simple chart. These charts make it easier for the stakeholders to get a visualized overview of the quality of UML models. On the other hand, SDMetrics only presents the metrics information of a UML file in the form of tables and histograms. Furthermore, UML-Ninja allows the user to visualize multiple UML files simultaneously to make it easier for the user to compare them. However, this feature is not supported by SDMetrics. Additionally, we evaluated the feedback provided by UML-Ninja by conducting 15 user studies in two iterations. The feedback obtained from the evaluation iterations were used to improve the feedback supplied by UML-Ninja. During the evaluation interviews, interviewees report that UML-Ninja is useful in pointing out UML design problems, but it will be even better if it can give rewards for good quality UML models. That is why we implemented the badges feature, as discussed in Chapter 4. The badges aim to motivate stakeholders to enhance the quality of UML models.

**RQ2: Can metrics and feedback provided by UML-Ninja help the stakeholders (e.g., researchers, students, practitioners) to obtain a better assessment of the quality of UML models?**

From the data collected during the user studies, we obtained answers for RQ2. During the user studies, participants used UML-Ninja to perform a prescribed task. This task was to assess the quality of UML models using their current way of assessing the quality and with UML-Ninja. We found that one of the participants uses SDMetrics to assess the quality of UML models. The remaining participants do not use any computer software to assess the quality of UML, but they do it manually. All 15 participants who took part in the user study managed to use the feedback provided by UML-Ninja to assess the quality of UML models in the given task. All participants reported that the metrics and rules supplied by UML-Ninja are relevant and useful. Additionally, Participants reported that UML-Ninja is time efficient to use than their current way of assessing the quality of UML. The participant who uses SDMetrics found UML-Ninja time efficient over SDMetrics, because UML-Ninja automates the process of assessing the quality of UML. Moreover, SDMetrics supports only UML models in .XMI file format. However, UML-Ninja supports .XMI, .UML as well as image formats. SDMetrics has its advantages, such as the big metrics and rules catalog that SDMetrics support. However, UML-Ninja is built to be flexible and easy to extend. According to the data collected from the user studies, we see that
UML-Ninja has the potential in helping stakeholders (e.g., researchers, students, practitioners) to obtain a better assessment of UML models. The two evaluation iterations that were conducted showed the advantages of using UML-Ninja. The advantage of using UML-Ninja are shown in the mind maps in the following Figure 5.4, 5.5, 5.9, and 5.10.

**RQ3: What are stakeholders (e.g., researchers, students, practitioners) perceptions of the use of UML-Ninja in improving the quality of UML models?**

The answer to this question is obtained from the data collected during the user studies. Participants found that UML-Ninja is easy to use and time efficient over their current way of assessing the quality of UML models as addressed in RQ2. The SUS score calculated for both evaluation iterations (77.50 and 85.71, respectively) supports what the participants reported about the usability of UML-Ninja. Participants perceive UML-Ninja as a motivation to check the quality of UML. Checking the quality of UML more often will lead to improving the quality of UML. Furthermore, one of the advantages reported by participants that UML-Ninja makes it easier to detect issues. Detecting and visualizing issues in an easy way will lead to improving the quality of UML. The data collected from the user studies emerged that participants perceive that UML-Ninja could help in improving the quality of UML. As we observed during the interviews, participants see UML-Ninja as a tool that helps in assessing the quality of UML. Moreover, the majority of participants manually check UML quality, and that is not time efficient and easy to use compared to an automated tool.

Feature requests and enhancements were reported in Iteration 1 that was taken in consideration while improving the tool in Iteration 2 such as: "Display detected issues for each class in the class list view" and 'A summary for identified issues in the repository dashboard'. UML-Ninja can identify issues such as:

- Class diagrams with unused classes.
- Class diagrams with a high coupling (more than 10).
- Class diagrams with multi-defined items (classes or attributes under the same class).
- Class diagrams with DIT more than 5.
- Classes that do not follow UML naming conventions.
- God class.
- Classes with long parameter list operation.

Display a summary of identified issues will allow the user to identify areas of improvements easily. This will lead to better UML models quality. The implementation of those features was also one of the factors that lead to the significant increase of the SUS score in Iteration 2.

Implementing more metrics and rules is one of the challenges reported by the interviewees in the second evaluation round. This challenge has remained unsolved in this thesis. However, it should be taken into consideration and a future challenge. By supporting more metrics and rules, will increase UML-Ninja ability in detecting quality issues hence improve the UML models quality.
Continuous quality monitoring is a feature that was requested by an interviewee in the second evaluation round. This feature aims to assess the quality of UML models automatically after each UML commit. Thus, this will lead to quicker feedback from UML-Ninja about the quality of UML. Continuous quality monitoring is another challenge that remained unsolved in this thesis.

6.2 Threats to validity

In this section, the relevant threats to validity are discussed and how we tried to mitigate them. Four aspects of validity threats were considered.

6.2.1 Construct validity

Due to some constraints, not all interviews were in-person interviews. Some interviews were conducted over Skype. This can lead to a threat to validity. To mitigate this threat, we included a brief introduction about UML-Ninja and the purpose of the study in the invitation email. Additionally, we presented a brief introduction before each interview.

The possibility that the participants interpret the interview questions differently from each other. To mitigate this threat, we double check with each participant to insure that they understand the question correctly. Examples were used in case the participant needed more explanation.

6.2.2 Internal validity

UML-Ninja calculates quality metrics and provides feedback on basis of automated extraction of UML models from various inputs, including textual and image files. There is always a certain rate of inaccuracy in the existing automated systems/tools used by UML-Ninja. For example, from low-resolution images result in inaccurate data extraction from class diagram images. This could affect the accuracy of the feedback provided by UML-Ninja. However, we do not have much control over these threats; they are potential threats to validity and cannot be ruled out. To mitigate this threat, we tried to reduce the rate of inaccuracy during the development and the integration process.

With UML-Ninja, we aim to automate the quality assessment process for all types of UML models. In fact, for now, we only have the technology for one type of UML, which is a class diagram. To mitigate this threat, we implemented UML-Ninja using plug-in mechanisms, making it a flexible system that can easily be extended to support more UML types. For example, researchers will be able to plug-in their system for classifying and/or extracting contents of UML sequence diagrams, activity diagram, etc.

The experience and background of the participants may influence their feedback. For example, a participant who may not think UML is important may find UML-
Ninja not useful or necessary. We mitigated this threat by making sure that the prospective participants had some degree of competence regarding UML.

6.2.3 External validity

We can foresee that we will not be able to reach the opinions of all researchers, students, or practitioners. Therefore, there is always a risk of not covering needs from everybody. We mitigate this threat by choosing stakeholders that are representative to be able to get the most realistic feedback about the tool.

6.3 Research Ethics

We took two important things into account when conducting this thesis, harm that might happen to participants involved in this thesis work, and reporting everything with honesty. This section discusses the actions taken to minimize the risk of harm to the participants and to the way we report the results.

6.3.1 Informed consent

In this thesis, we had 15 participants in total involved in the evaluation interviews. To minimize the risk of harm, we provided each participant with a clear description of the study and expected time and duration of the study, as well as the expected amount of work from each participant. Furthermore, the description included the information about the author and supervisors’ names and universities. Each participant was also informed about what kind of information was needed as part of the study. In addition to that, the participants were not forced to take part in any work in the study.

6.3.2 Anonymity and confidentiality

The data collected during the interviews, including personal information, were anonymous. Before the interview, each participant was asked for permission to record the conversations. The recordings and transcripts were kept anonymous and confidential. During the data analysis process, all data that could disclose the participant’s identities were removed, to ensure that there would be no physical harm to them. Furthermore, to prevent psychological distress and discomfort they might face after giving their information as a part of this thesis work.

6.3.3 Fraud

In this thesis, fraud was also considered. The fraud may happen when collecting, analyzing, and reporting the results from the study. The data in the study are real and not made up as well as the methods we used to get them are explained clearly. Moreover, the data were not manipulated or discarded in order to get the desired outcome. To get the most benefit from the study, we report everything both
6. Discussion

negative and positive results. Most importantly, we have not taken someone else’s work without giving the credits to them.
In this chapter, we present two sections. The first section covers the conclusion of our thesis work. In the second section, we discuss the proposed future work.

7.1 Conclusion

This thesis has two main challenges. The first challenge is to build a tool that automates the quality assessment process of UML models in open source projects. The second challenge is to evaluate the usefulness of such a tool to different stakeholders (e.g., researchers, students, practitioners). To tackle these challenges, we used the design science research methodology in three iterations. As a result, we introduced UML-Ninja, which is an online web tool with a dashboard. The goal of UML-Ninja is to help stakeholders in assessing the quality of UML models in software projects.

To evaluate UML-Ninja usefulness and usability, 15 user studies were conducted across two iterations with participants from three different stakeholders category: students, developers, and researchers. The user studies were done as semi-structured interviewers in two iterations. Participants reported that UML-Ninja has the potential in helping them to obtain a better quality assessment of UML models because it is easy to use and time efficient. Furthermore, participants perceive UML-Ninja as motivation to assess the quality of UML models. The motivation, in turns, will hopefully lead to improvements in the quality of UML models. Finally, several limitations of UML-Ninja were presented and explained. These limitations were taken as inputs for future improvement of the tool across iterations of the study.

The first contribution of this thesis is UML-Ninja tool. UML-Ninja is the first attempt to automate the assessment of UML models quality in FOSS projects. UML-Ninja is implemented using plug-in mechanisms, making it a flexible system that can easily be extended to support more UML types. For example, researchers will be able to plug-in their system for classifying and/or extracting contents of UML sequence diagrams, activity diagram, etc. The second contribution is the evaluation of the usefulness of UML-Ninja in assisting users to perform the quality assessment of UML models in their projects. We found that such an automated tool could be used to motivate the users to ensure the quality of UML models within their projects.
7. Conclusion and Future work

7.2 Future work

Based on the feedback received in the last evaluation phase, UML-Ninja can be improved in many ways. From evaluation iteration 2 results, we identified a list of limitations that are good candidates for future work. Future research work includes implementing more metrics, such as source code correlation metrics and visual indicators metrics. Moreover, a new feature that allows users to create custom metrics as well as thresholds. Another possible future work is better integration with GitHub that makes easier to choose repositories. The new integration with GitHub includes continuous monitoring and quality assessment for UML models.

Text and UML models are two practices that can be used to create software architecture design documentation (SAD). With UML-Ninja, we aimed to assess the quality of UML models. We think that extending UML-Ninja to assess the quality of Text is another possible future work.

Finally, we evaluated UML-Ninja on FOSS project, we think that evaluating UML-Ninja on commercial software is another possible future work.
References


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