

# The Influence of Climate on Ozone Risk for Vegetation



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UNIVERSITY OF GOTHENBURG

Faculty of Science



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Front page photo: Mobile monitoring station at Nidingen, 2007

## Abstract

Ground-level ozone ( $O_3$ ) is a harmful air pollutant causing reduced crop yield and quality, reduced forest growth and negative effects on human health in large parts of the world.  $O_3$  is generally seen as a regional scale air pollution problem, but  $O_3$  concentration ( $[O_3]$ ) variation on a smaller geographical scale can be considerable. Knowledge of the size of this local scale variation and the underlying causes is important in environmental monitoring and assessments of  $O_3$  exposure. The local scale variation in  $[O_3]$  in Sweden was investigated and described in relation to local climate and site characteristics such as altitude, topography, vicinity to the coast and local NO emissions based on measurements of  $[O_3]$  and meteorology with a mobile monitoring station. In addition,  $[O_3]$  and  $[NO_2]$  were measured with passive diffusion samplers and  $[O_3]$  data from permanent monitoring stations were analysed. The strength of nocturnal temperature inversions was found to be crucial in determining the differences in average  $[O_3]$  and diurnal  $[O_3]$  range (DOR) at rural sites in southern Sweden. Inland low sites experienced stronger nocturnal temperature inversions, lower average  $[O_3]$  and larger DOR compared to inland high and coastal sites. In addition, the underlying surface (important for the deposition rate), advection of  $O_3$ -rich marine air and local NO emissions also influence the local scale variation of  $[O_3]$ . The negative effects of  $O_3$  on vegetation are more closely related to the plant uptake of  $O_3$  through the stomata than to the  $[O_3]$  in the ambient air. Environmental factors such as humidity, temperature and light, influence the degree of stomatal opening and thus the stomatal  $O_3$  flux into the leaf interior. The flux-based  $POD_Y$ -index (phytotoxic  $O_3$  dose above a flux threshold Y) was used to assess the  $O_3$  risk for vegetation. It allows modification of  $O_3$  uptake by climatic conditions to be incorporated in  $O_3$  risk assessment for vegetation. A large part of the local scale variation in  $[O_3]$  in southern Sweden occurs during night-time. At night the stomatal  $O_3$  uptake by vegetation is low and the risk of  $O_3$  damage is therefore not greatly influenced. Thus, plant stomatal  $O_3$  uptake and  $O_3$  risk for vegetation are less influenced by the site position in the landscape than 24-hour average  $[O_3]$ . At the coastal sites the  $[O_3]$  were higher also during daytime, which implies an increased risk of negative effects of  $O_3$  on vegetation compared to inland sites. The influence of potential future climate change on the flux-based risk of negative effects of  $O_3$  on vegetation in Europe was investigated with modelled future  $[O_3]$  from the chemistry transport model MATCH and meteorology from the regional climate model RCA3. The future plant  $O_3$  uptake and risk of  $O_3$  damage to vegetation was predicted to remain unchanged or decrease in Europe, despite substantially increased modelled  $[O_3]$  in Central and Southern Europe. The expected reduction in stomatal conductance with rising atmospheric  $[CO_2]$  is of large importance for this result. However, the magnitude of the  $CO_2$  effect is uncertain, especially for trees. If the  $CO_2$  effect will turn out to be small, future climate change has the potential to dramatically increase the flux-based  $O_3$  risk for vegetation in Northern and Central Europe.

**Keywords:** local climate, topography, nocturnal temperature inversions, ozone spring peak, passive diffusion sampler, AOT40, stomatal ozone flux, stomatal conductance, phytotoxic ozone dose, EMEP, MATCH, RCA3, climate change



# The Influence of Climate on Ozone Risk for Vegetation

Jenny Klingberg  
(née Sundberg)  
2011

This thesis is based on the following papers, which in the text are referred to by their respective Roman numerals.

- I. **Sundberg J**, Karlsson P E, Schenk L and Pleijel H (2006) *Variation in ozone concentration in relation to local climate in south-west Sweden*, Water Air and Soil Pollution 173:339-354
- II. **Klingberg J**, Björkman M, Pihl Karlsson G and Pleijel H (2009) *Observations of ground-level ozone and NO<sub>2</sub> in northernmost Sweden, including the Scandian Mountain Range*, Ambio 38:448-451
- III. **Klingberg J**, Karlsson P E, Pihl Karlsson G, Hu Y, Chen D and Pleijel H *Variation in ozone exposure in the landscape of southern Sweden with consideration of topography and coastal climate*, submitted
- IV. Piikki K, **Klingberg J**, Pihl Karlsson G, Karlsson P E and Pleijel H (2009) *Estimates of AOT ozone indices from time-integrated ozone data and hourly air temperature measurements in southwest Sweden*, Environmental Pollution 157:3051-3058
- V. **Klingberg J**, Danielsson H, Simpson D and Pleijel H (2008) *Comparison of modelled and measured ozone concentrations and meteorology for a site in south-west Sweden: Implications for ozone uptake calculations*, Environmental Pollution 155:99-111
- VI. **Klingberg J**, Engardt M, Uddling J, Karlsson P E and Pleijel H (2011) *Ozone risk for vegetation in the future climate of Europe based on stomatal ozone uptake calculations*, Tellus 63A:174-187

The papers are appended in the end of the thesis and are reproduced with the kind permission from the respective journals.

Scientific publications, co-authored by Jenny Klingberg (née Sundberg), which are not included in this thesis:

- Grundström M, Linderholm H, **Klingberg J** and Pleijel H (2011) *Urban NO<sub>2</sub> and NO pollution in relation to the North Atlantic Oscillation NAO*, Atmospheric Environment 45:883-888
- Pleijel H, **Klingberg J** and Bäck E (2009) *Characteristics of NO<sub>2</sub> pollution in the City of Gothenburg, south-west Sweden - relation to NO<sub>x</sub> and O<sub>3</sub> levels, photochemistry and monitoring location*, Water Air and Soil Pollution Focus 9:15-25
- Karlsson P E, Tang L, **Sundberg J**, Chen D, Lindskog A and Pleijel H (2007) *Increasing risk for negative ozone impacts on vegetation in northern Sweden*, Environmental Pollution 150:96-106
- Hageback J, **Sundberg J**, Ostwald M, Chen D, Yun X and Knutsson P (2005), *Climate variability and land-use change in Danangou watershed, China – Examples of small-scale farmers' adaptation*, Climatic Change 72:189-212

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## Abbreviations

A	Amplitude
$A_n$	Net photosynthetic rate
$AF_{stY}$	Accumulated stomatal flux of $O_3$ above a flux threshold of Y, currently denoted $POD_Y$ .
AOTX	Concentration accumulated over a threshold concentration of X ppb (ppm h)
C	Hourly $O_3$ concentration
CLRTAP	Convention on Long Range Transboundary Air Pollution
CR	Cooling rate
CTM	Chemistry transport model
DOR	Diurnal $O_3$ concentration range
DTR	Diurnal air temperature range
EMEP	European Monitoring and Evaluation Programme
Eq	Equation
f-functions	Functions in the stomatal conductance model that accounts for the limiting effects of various environmental factors (e.g. temperature ( $f_{temp}$ ) and irradiance ( $f_{light}$ ))
$F_{st}$	Stomatal flux of $O_3$ ( $nmol\ m^{-2}\ PLA\ s^{-1}$ )
$g_{ext}$	External leaf, or cuticular, conductance
$g_{max}$	Species-specific maximum stomatal conductance ( $mmol\ O_3\ m^{-2}\ PLA\ s^{-1}$ )
$g_s$	Stomatal conductance ( $mmol\ O_3\ m^{-2}\ PLA\ s^{-1}$ )
$h_0$	Phase displacement, defining at what time the daily $O_3$ concentration maximum and minimum occur in the trigonometric method
m a.s.l.	Meters above sea level
MATCH	Multi-scale Atmospheric Transport and Chemistry modelling system
ME	Modelling efficiency
NMAE	Normalised mean absolute error
PAR	Photosynthetically active radiation
PBL	Planetary boundary layer
PLA	Projected leaf area ( $m^2$ ), which is the total area of the sides of the leaves that are projected towards the sky
ppb	Parts per billion (the fraction of e.g. $O_3$ molecules out of a billion air molecules), at normal air pressure and temperature 1 ppb $O_3$ corresponds to $2\ \mu g\ O_3$ per $m^3$ air
PPFD	Photosynthetic photon flux density ( $\mu mol\ m^{-2}\ s^{-1}$ )
$POD_Y$	Phytotoxic $O_3$ dose expressed as the accumulated stomatal flux of $O_3$ above a flux threshold of Y $nmol\ m^{-2}\ PLA\ s^{-1}$ ( $mmol\ m^{-2}\ PLA$ )
$R^2$	Coefficient of determination
$r_a$	Aerodynamic resistance
$r_b$	Leaf boundary layer resistance
$r_c$	Leaf surface resistance

RCA3	The Rossby Centre Regional Climate model
RH	Relative humidity (%)
$r_s$	Stomatal resistance
SWP	Soil water potential (MPa)
T	Air temperature (°C)
UNECE	United Nations Economic Commission for Europe
VOC	Volatile organic compounds
VPD	Vapour pressure deficit (kPa)
WHO	World health organization
yd	Day of year
z	Height above ground (m)
$\alpha$	Conversion factor to calculate $AOT_{12h}$ from $AOT_{24h}$ used in the Gaussian method
$\Delta T$	Air temperature difference between two heights
$\mu$	Average
$\sigma$	Standard deviation

# 1. Introduction

Ground-level ozone ( $O_3$ ) is a harmful air pollutant causing reduced crop yield and quality, reduced forest growth and negative effects on human health in large parts of the world (The Royal Society, 2008). The formation, transport and destruction of  $O_3$  in the ambient air are all influenced by weather conditions and climate. Furthermore, weather and climate influence the plant  $O_3$  uptake and thereby the risk of deleterious effects of  $O_3$  on vegetation. Weather is the current state of the atmosphere, while climate summarises the characteristic weather conditions at a site, including long term averages and the frequency of extreme situations. Climate varies on a wide range of spatial scales. The climate of a south-facing slope is very different from the climate of a north-facing slope and has a large impact on the ecosystem structure and function. The climate is also very different in e.g. the Mediterranean region compared to the Nordic countries. Furthermore, climate can change in time. The work summarised in this thesis aims to show that climate influences ground-level  $O_3$  concentrations through a wide range of processes on different spatial and temporal scales. A main focus is how the variation in climate and  $O_3$  concentrations influence the present and future risk of negative impacts of  $O_3$  on vegetation.

$O_3$  is a natural constituent of the atmosphere. Most of the  $O_3$  in the atmosphere is found in the stratosphere at an altitude of approximately 10–40 km. Here,  $O_3$  protects life at the surface of Earth from the harmful ultraviolet (UV-B) radiation component of the sunlight.  $O_3$  concentrations in the stratosphere have been decreasing as a result of emissions of  $O_3$ -destroying substances, but in the troposphere, where  $O_3$  is harmful to humans and vegetation, it has been increasing since the start of the industrialisation. The ground-level  $O_3$  concentrations in Central Europe a century ago averaged 10 ppb and comparison with modern measurements indicate that the  $O_3$  levels have more than doubled (Volz and Kley, 1988) due to increased anthropogenic emissions. Current annual average  $O_3$  concentrations at rural sites in the mid-latitudes of the Northern Hemisphere range between approximately 20–45 ppb (Vingarzan, 2004). Episodes of high  $O_3$  concentrations, often in excess of 100 ppb and sometimes more than 200 ppb, occur in polluted regions under hot and sunny weather conditions (The Royal Society, 2008). A decline in  $O_3$  peak values, mostly relevant for health impacts, has been observed in Europe over the last decades as a result of reduced European emissions. However, there is no corresponding reduction in the long-term average  $O_3$  concentrations more relevant for damage to vegetation (Solberg et al., 2005b). Existing emission controls are insufficient to reduce  $O_3$  concentrations to levels acceptable for human health and environmental protection (The Royal Society, 2008).

## 1.1 O<sub>3</sub> risk for vegetation

High O<sub>3</sub> concentrations cause visible leaf injury on sensitive species, commonly in the form of small necrotic flecks or stipples on the upper leaf surface. In the absence of visible injury, chronic exposures to O<sub>3</sub> can significantly reduce crop yield, forest growth and modify species composition (Ashmore, 2005).

The negative effects of O<sub>3</sub> on vegetation are more closely related to the uptake of O<sub>3</sub> through the stomata than to the concentration in the ambient air (Emberson et al., 2000b; Pleijel et al., 2004; Karlsson et al., 2007a). The stomata are the small pores on the plant leaf where the gas exchange, of e.g. CO<sub>2</sub>, H<sub>2</sub>O and O<sub>2</sub>, between the interior of the plant and its surroundings take place. Also O<sub>3</sub> can diffuse into the plant when the stomata are open. By varying the width of the stomatal pores a plant is able to control the entry of CO<sub>2</sub> into the leaf and balance it to water loss through transpiration. For example, at a given O<sub>3</sub> concentration, the stomatal flux (O<sub>3</sub> uptake) will be greater under humid conditions since dry air and soil induce stomatal closure to minimize plant water loss through transpiration. In addition to air humidity and soil water availability, also temperature, solar radiation and plant development stage (phenology) influence the degree of stomatal opening. These factors, and not only the ambient O<sub>3</sub> concentrations, therefore have to be considered in risk assessment.

O<sub>3</sub> reduces the yield of a range of crops primarily through reducing photosynthetic rates and accelerating senescence (Ashmore, 2005). O<sub>3</sub> enters the leaf through the stomata and via the production of reactive oxygen species it impairs photosynthetic CO<sub>2</sub> fixation either by impairing the rubisco activity or stomatal functioning and/or indirectly via acceleration of senescence and thus protein (e.g. rubisco) and chlorophyll degradation (Fuhrer, 2009).

A number of O<sub>3</sub> indices have been developed to assess O<sub>3</sub> risk for vegetation. The effect of O<sub>3</sub> exposure on different kinds of vegetation has been established based on experimental data. Exposure systems such as open-top chambers enable plants to be grown under near-natural climatic conditions while the O<sub>3</sub> concentrations are increased by fumigation or decreased by charcoal filtration of the air. The effects considered significant vary between vegetation types and include reduced yield and quality for agricultural crops and reduced growth and accelerated leaf senescence for forest trees. Critical levels are defined as the “concentration, cumulative exposure or cumulative stomatal flux of atmospheric pollutants above which direct adverse effects on sensitive vegetation may occur according to present knowledge” (LRTAP Convention, 2004).

The concentration-based O<sub>3</sub> index AOT40 (concentration accumulated over a threshold concentration of 40 ppb) take into account the external O<sub>3</sub> exposure and require only records of hourly O<sub>3</sub> concentrations. The concentration-based critical levels are suitable for estimating the risk of O<sub>3</sub> damage where climatic data or more advanced models of stomatal O<sub>3</sub> flux are not available (LRTAP Convention, 2004).

The flux-based index POD<sub>Y</sub> (phytotoxic O<sub>3</sub> dose above a flux threshold of Y nmol m<sup>-2</sup> projected leaf area (PLA) s<sup>-1</sup>) has been developed within the UNECE LRTAP Convention

(LRTAP Convention, 2004; Pleijel et al., 2007), based on the concepts presented in Jarvis (1976), and Emberson et al. (2000a,b). Evaluations have shown the  $POD_Y$  index to be superior to the concentration-based index AOT40 in explaining yield reductions for wheat and potato (Pleijel et al., 2004) as well as biomass reductions and visible leaf injury for  $O_3$  sensitive tree species (Uddling et al., 2004; Karlsson et al., 2007a). Unlike the concentration-based index AOT40, the flux-based approach allows modification of  $O_3$  uptake by climatic conditions to be incorporated into the risk assessment. The flux-based critical levels are suitable for mapping and quantifying impacts of  $O_3$  at the local and regional scale, and can be used for assessing economic losses (LRTAP Convention, 2004).

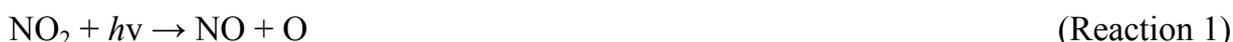
An additional simplified flux-based risk assessment method has been specifically designed for use in large-scale and integrated assessment modelling. It does not involve exceedance of critical levels, but assumes that increasing flux is equivalent to increasing risk. The simplified flux-based methods do not take into account the limiting effect of soil moisture on  $O_3$  flux and can thus be used to indicate the risk under “worst-case” conditions (LRTAP Convention, 2004). Maps of modelled flux-based  $O_3$  risk for a generic crop have been shown to better correspond with field-based evidence of adverse affects compared to AOT40 (Mills et al., 2011).

Even though the flux-based indices are considered more biologically relevant to explain  $O_3$  damage to plants, the AOT40 index is still commonly used in risk assessments because of its simplicity. For example, AOT40 is used within the EU directive (2008/50/EC) on ambient air quality and cleaner air for Europe which is implemented in the Swedish legislation with the Air Quality Ordinance (SFS 2010:477). The strengths and weaknesses of different  $O_3$  indices have been reviewed by Musselman et al. (2006) and Paoletti and Manning (2007).

## 1.2 Processes that influence $O_3$ concentrations

### 1.2.1 $O_3$ chemistry

$O_3$  is a secondary air pollutant, which means that it is not emitted as such, but produced in the troposphere from two major classes of precursors; oxides of nitrogen ( $NO_x = NO + NO_2$ ) and volatile organic compounds (VOCs). In the upper parts of the troposphere carbon monoxide (CO) is also an important precursor. Short-waved sunlight (wavelengths  $< 420$  nm) can split  $NO_2$  through photolysis, which leads to a subsequent formation of  $O_3$ :



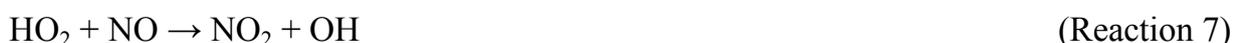
Once formed,  $O_3$  may react with NO:



Reaction 1–3 may reach a point where NO<sub>2</sub> is destroyed and reformed so fast that a steady-state cycle is established (photostationary state). To build up high O<sub>3</sub> concentrations, the presence of VOCs or CO is required. VOC and CO react with the hydroxyl radical (OH), which produces organo-peroxy (RO<sub>2</sub>) and hydro-peroxy (HO<sub>2</sub>) radicals.



RH denotes an arbitrary hydrocarbon compound e.g. for methane (CH<sub>4</sub>) the R in RH represents CH<sub>3</sub>. The peroxy radicals oxidise NO to NO<sub>2</sub> without any consumption of O<sub>3</sub> (through Reaction 3), allowing net production of O<sub>3</sub> molecules as the resulting NO<sub>2</sub> molecule photolyses:



In the remote troposphere O<sub>3</sub> formation is sustained by the oxidation of CO and CH<sub>4</sub>. In regions where human emissions significantly influence the VOC composition of the atmosphere, O<sub>3</sub> formation is driven by much shorter-lived VOCs emitted from biogenic and anthropogenic sources, such as evaporation of solvents and incomplete combustion. Important anthropogenic sources of NO<sub>x</sub> are fossil fuel combustion (e.g. traffic) and biomass burning. The amount of O<sub>3</sub> precursor emissions are of course important for the production of O<sub>3</sub>. Furthermore, the O<sub>3</sub> formation in the troposphere is dependent on weather conditions (e.g. cloud cover) and regional climate (e.g. more radiation over the Mediterranean region than the Nordic countries).

Chemical loss of O<sub>3</sub> occurs through the photolysis of O<sub>3</sub> (at wavelengths < 320 nm) to produce an excited singlet oxygen atom (O(<sup>1</sup>D)), which can collide with a water molecule and produce two OH radicals. In remote regions of the troposphere O<sub>3</sub> is also consumed by reactions with HO<sub>2</sub> and OH. A more elaborate description of the complex chemistry of O<sub>3</sub> is given in e.g. Jacob (1999) and Seinfeld and Pandis (2006).

An important process of local O<sub>3</sub> removal is referred to as NO<sub>x</sub> titration (Sillman, 1999). It is associated with high levels of NO in areas of large emission density, promoting Reaction 3. Freshly emitted NO<sub>x</sub> typically consists of ~90% NO (e.g. Pleijel et al., 2009). Removal of O<sub>3</sub> by Reaction 3 is small compared to the rate of O<sub>3</sub> production in urban and polluted rural areas during meteorological conditions favourable to O<sub>3</sub> formation. However, NO<sub>x</sub> titration has a large impact on O<sub>3</sub> concentrations in three situations; night-time, winter and locally close to large emissions sources of NO, such as dense traffic.

### **1.2.2 Advection**

The wind is responsible for horizontal transport also known as advection. Pollutants are transported with the wind from emission sources such as a traffic route, an industry or a city. The average time a pollutant will remain in the atmosphere before it is removed through chemical destruction or other removal processes determines to what extent the pollutant will affect other countries or continents through long-range transport. The lifetime of O<sub>3</sub> ranges from a few days at ground level to weeks in the upper troposphere (Jacob and Winner, 2009). O<sub>3</sub> and its precursors can be carried with the winds over long distances, due to their relatively long lifetime. Episodes of high O<sub>3</sub> concentrations in the Nordic countries are to a large extent due to transport of O<sub>3</sub> and O<sub>3</sub> precursors from elsewhere in Europe, whereas the contribution of domestic precursor emissions are believed to be rather small (Solberg et al., 2005a). Intercontinental transport has been shown to be an efficient process and O<sub>3</sub> production over North America and Asia contribute to the O<sub>3</sub> concentrations observed at surface monitoring sites across Europe (Derwent et al., 2004).

### **1.2.3 Deposition to the underlying surface**

The rate of O<sub>3</sub> destruction at the earth's surface is an important factor determining the O<sub>3</sub> concentrations in the lower atmosphere (Galbally and Roy, 1980). Vegetation and soil represent important pathways by which O<sub>3</sub> is removed from the atmosphere, while water and snow surfaces are rather inefficient O<sub>3</sub> sinks (Fowler et al., 2009).

To describe the transfer of a trace gas or particle from the atmosphere to a surface, a resistance analogue is commonly used (Monteith and Unsworth, 2008), in which the flux of e.g. O<sub>3</sub> is treated as an analogue of electrical current flowing through a network of resistances. First the O<sub>3</sub> molecule must pass the aerodynamic resistance, from the air stream above the canopy and down to leaf level. Next it must cross a thin layer of stagnant air at the leaf surface, the leaf boundary layer resistance. Thereafter the O<sub>3</sub> molecule can either enter the leaf through the stomatal apertures (stomatal resistance), or deposit on the leaf or soil surface (resistance to leaf or soil surface deposition). These two pathways constitute the surface resistance. The resistance analogue is further described in the Appendix of this thesis.

Based on measurements, Galbally and Roy (1980) estimated the median daytime surface resistance to O<sub>3</sub> uptake over grassland and bare soil to be 100 s m<sup>-1</sup>. The surface resistance of snow and water is about an order of magnitude larger than the daytime land resistance (Galbally and Roy, 1980). O<sub>3</sub> deposition to vegetated surfaces is largely controlled by the leaf area, physiological activity and associated gas exchange of the vegetation. Therefore the deposition velocities (rate of transfer, reciprocal of resistance) observed typically show diurnal and seasonal cycles (Fowler et al., 2009). The deposition velocities tend to be larger during the growing season and during daytime when the stomata are open. Although the stomatal O<sub>3</sub> uptake is an important sink over vegetated surfaces it accounts for only a fraction of the total deposition, typically one to two thirds (Fowler et al., 2009).

### 1.2.4 Vertical mixing

The lowest level of the troposphere, which is strongly influenced by the Earth surface, is called the planetary boundary layer (PBL). In this layer mixing is generated by frictional drag as the atmosphere (wind flow) moves across the rough surface of the Earth and when air parcels rise from surfaces when heated by the sun. Merely mountainous areas at high altitude are during daytime exposed to the free troposphere, above the PBL.

The degree of mixing and vertical transport of O<sub>3</sub> in the PBL is largely controlled by the prevailing stability conditions. The atmospheric stability conditions can be viewed as the tendency for an air parcel to move vertically, determined by the variation of temperature with height. The temperature of a dry air parcel moving up through the troposphere will decrease with approximately 1 °C per 100 m (dry adiabatic lapse rate), due to the decrease in air pressure with height. If the air parcel is warmer (colder) than the air around, it will be less (more) dense and continue to rise (sink). In *neutral* atmospheric conditions, the actual temperature profile above a location is the same as the dry adiabatic lapse rate and an air parcel has no inherent tendency to either rise or sink. This situation occurs under cloudy and windy conditions. Clouds restrict surface heating and cooling. Wind tends to homogenise the temperature profile towards the adiabatic through vigorous mechanical turbulence. In the *unstable* atmosphere the air temperature decreases faster with height compared to the neutral state and an air parcel which is displaced either upward or downward will tend to continue to move in this direction. These conditions are typical near the ground during sunny days, when the surface is heated by the sun. In the *stable* atmosphere, the air temperature decreases more slowly with height compared to the neutral state and vertical movements of air is inhibited. During very stable conditions the air temperature increases with altitude. This situation is defined as a temperature inversion. Inversions occur for example during clear and calm nights when the atmosphere is cooled from below as a result of surface long-wave radiative cooling. The concept of atmospheric stability is described in more detail in e.g. Oke (1987).

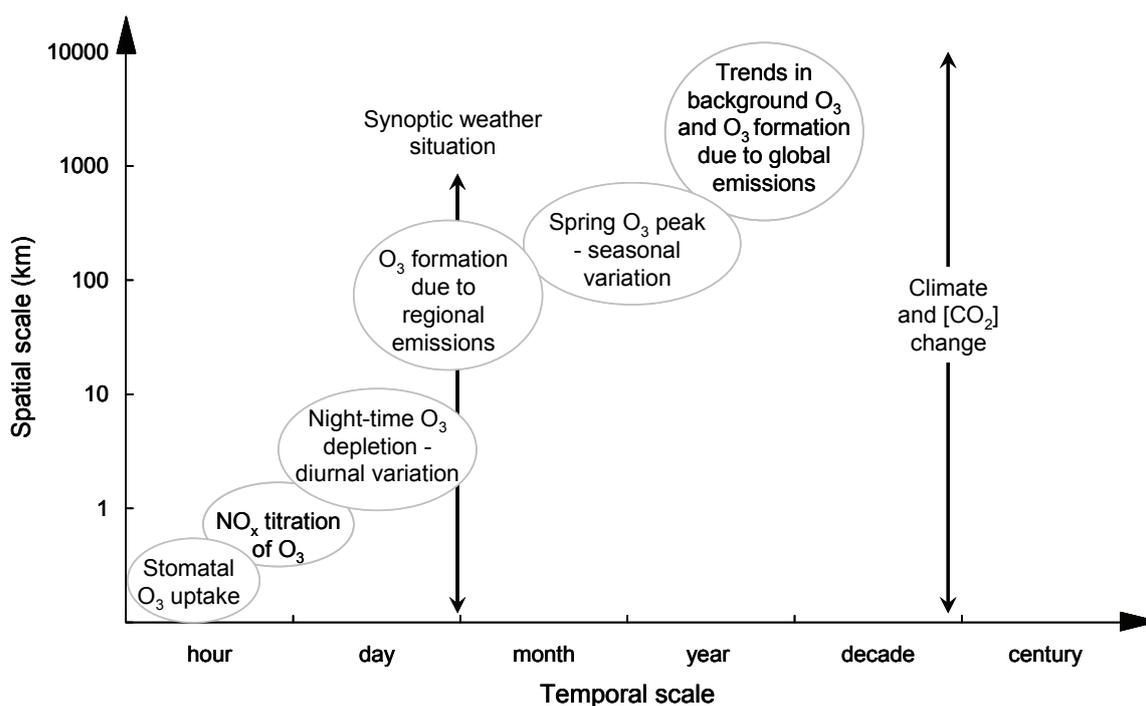
The degree to which the night-time boundary layer stabilises depends on local climate and topography. Valley sites are more prone to nocturnal temperature inversions since cold (dense) air settles in the lowest parts of the landscape (Oke, 1987; Geiger et al., 2003). Wind shelter and screening from the morning and evening irradiance by the surrounding terrain are also important in explaining the lower night-time temperatures in immersed areas compared to elevated sites (Lindqvist and Lindqvist, 1997). The synoptic weather situation is also of importance. Synoptic refers to weather systems typical of mid-latitude cyclones and anti-cyclones, with scales of 100s to 1000s km. In general, increases in cloudiness and wind cause a reduction in the daily range of temperature and reduce extremes of stability (more neutral) (Oke, 1987).

O<sub>3</sub> concentrations generally increase with altitude, mainly because of the lack of chemical loss in the upper troposphere where water vapour and hence HO<sub>x</sub> concentrations are low (Jacob, 1999). In addition, deposition to the surface has little influence on the O<sub>3</sub> concentrations in the free troposphere. Close to the ground the vertical O<sub>3</sub> concentration

gradient can be rather steep, as  $O_3$  is removed due to deposition at the surface. Therefore, vertical mixing will result in a downward transport of  $O_3$  from higher  $O_3$ -rich air layers.

### 1.3 Spatial and temporal variation of $O_3$ concentrations

The processes described in section 1.2 and the influence of climate result in  $O_3$  concentration variation over a wide range of spatial and temporal scales summarised in Figure 1. Ground-level  $O_3$  is generally considered to be a regional scale air pollution problem. However, there are indications of  $O_3$  concentration variation at a much more local scale. Knowledge of the size of the geographical variation in  $O_3$  concentrations between monitoring stations and the size of the area the measurements represent is important for risk assessment of  $O_3$  exposure. Interpolation between monitoring sites with mechanistically relevant prediction variables requires an understanding of the underlying processes causing this local scale variation. Awareness of local scale variation is also important for model validation as regional scale modelled data are compared to point measurements.



**Figure 1.** Variation in  $O_3$  concentration on different spatial and temporal scales. The local and regional climate influences the processes causing this variation. The synoptic weather situation as well as potential climate and  $CO_2$  concentration change is of importance over a wide range of scales.

The relative importance of the processes described in section 1.2 varies over the day, resulting in a diurnal cycle in  $O_3$  concentration with a daily maximum and a nightly minimum. At night no photochemical  $O_3$  production occur and the nocturnal decline of  $O_3$

concentrations can be explained by deposition to the ground and vegetation in combination with suppressed vertical mixing due to nocturnal temperature inversions (Garland and Derwent, 1979). The afternoon O<sub>3</sub> concentrations have been shown to be rather similar over wider geographical areas (Coyle et al., 2002).

Several studies have found a relationship between O<sub>3</sub> concentration and altitude, such that sites at higher altitude experience higher O<sub>3</sub> concentrations compared to sites at lower altitude (Ribas and Peñuelas, 2006; Sanz et al., 2007; Gibson et al., 2009). Very few observations have, however, been made of O<sub>3</sub> concentrations in the Scandian Mountain Range, with the exception of some measurements 1987–1994 at Mount Åreskutan, 1250 m a.s.l., in Central Sweden (Bazhanov and Rodhe, 1997).

The degree of night-time O<sub>3</sub> depletion has been found to decrease with altitude (Loibl et al., 1994; Coyle et al., 2002). Coyle et al. (2002) used this relationship when interpolating between measurement sites for O<sub>3</sub> exposure mapping of the UK. Partly in contrast to this, Loibl et al. (1994) argued that similar O<sub>3</sub> maxima was measured at monitoring stations in valleys with different altitude and therefore used relative altitude above the lowest valley ground as an important dependence criterion, when estimating the spatial distribution of O<sub>3</sub> in Austria.

Observations have also shown that the degree of night-time O<sub>3</sub> depletion is smaller at coastal sites compared to sites further inland (Entwistle et al., 1997; Ribas and Peñuelas, 2004). This is related to the low O<sub>3</sub> deposition velocity over water (Galbally and Roy, 1980; Brook et al., 1999) in combination with small diurnal variation in average mixing conditions (Laurila, 1999). The absence of a marked nocturnal decrease in O<sub>3</sub> concentration at coastal sites result in considerably higher average O<sub>3</sub> concentrations compared to inland sites. The inland extent of the enhanced coastal O<sub>3</sub> concentrations is considered to be restricted to a coastal band of only a few kilometres, based on model calculations (Entwistle et al., 1997).

The relative importance of the processes described in section 1.2 also varies over the year, resulting in a seasonal cycle in O<sub>3</sub> concentrations. Because of the photochemical nature of O<sub>3</sub> production, O<sub>3</sub> pollution is to a large extent a spring and summer phenomenon in the temperate zones of the Northern Hemisphere. A pronounced and early spring peak in ground-level O<sub>3</sub> is often observed at high latitudes, e.g. in northern Finland (Hatakka et al., 2003) and northern Sweden (Karlsson et al., 2007b). The fast increase in solar radiation in spring stimulates strong photochemical activity on the arctic winter reservoir of O<sub>3</sub> precursors (Laurila and Hakola, 1996) and enhances vertical mixing of air, compared to the more stable stratification typical of the polar winter, supporting higher ground-level O<sub>3</sub> concentrations (Rummukainen et al., 1996). In addition persistent snow cover influences the O<sub>3</sub> concentrations near the ground since the deposition velocity is very low (Galbally and Roy, 1980), but increases rapidly as the snow disappears and vegetation develops a large, physiologically active leaf area (Rummukainen et al., 1996) with substantial gas exchange, which tends to reduce ground-level O<sub>3</sub>.

Many of the processes that form or destroy O<sub>3</sub> as well as cause horizontal and vertical transport of O<sub>3</sub> and O<sub>3</sub> precursors are influenced by the synoptic weather patterns, the regional and the local climate. Climate change is inherently coupled to changes in regional and local meteorology. A change in climate has therefore the potential to influence the O<sub>3</sub> concentrations on a wide range of spatial scales.

## 1.4 Climate change and O<sub>3</sub>

The global average temperature is estimated to have increased by 0.74°C between 1906–2005 and eleven of the last twelve years (1995–2006) rank among the twelve warmest years in the instrumental record of global surface temperature (since 1850). Warming of the climate system is unequivocal and it is very likely that most of the observed increase in global average temperatures since the mid-20<sup>th</sup> century is due to the observed increase in anthropogenic greenhouse gas concentrations (IPCC, 2007).

Ground-level O<sub>3</sub> concentrations are sensitive to climate change due to the strong dependence on meteorological conditions, (Jacob and Winner, 2009). Modelling studies indicate a significant rise in global average O<sub>3</sub> concentrations in the future unless large emission reductions are implemented (Prather et al., 2003; Dentener et al., 2006; Stevenson et al., 2006). Regional air quality models, simulating the conditions during future climate, generally show increasing O<sub>3</sub> concentrations in Europe despite constant anthropogenic precursor emissions (Meleux et al., 2007; Andersson and Engardt, 2010). The increase is mainly explained by increased temperature, decreased cloudiness (Meleux et al., 2007) and reduced dry deposition (Andersson and Engardt, 2010). Thus, climate change has the potential to counteract emission reductions aimed to limit surface O<sub>3</sub> concentrations.

Elevated CO<sub>2</sub> concentrations have been shown to reduce the stomatal conductance (Ainsworth and Rogers, 2007). Plants do not maximise the CO<sub>2</sub> uptake, but rather optimise the water use efficiency to lose as little water as possible per CO<sub>2</sub> molecule taken up (Jones, 1992). In elevated CO<sub>2</sub> concentrations, the optimum water use efficiency tends to be achieved with smaller stomatal opening. In a case study for winter wheat, Harmens et al. (2007) assumed a 35% reduction in stomatal conductance due to elevated CO<sub>2</sub> concentrations. Current (1997) meteorological conditions and O<sub>3</sub> concentrations was modified and used as input data to POD<sub>6</sub> calculations for five grid squares in the EMEP model of the European Monitoring and Evaluation Programme. The results showed that with a 3°C increase in temperature and constant absolute humidity, the absorbed O<sub>3</sub> dose decreased, despite an assumed 5 ppb increase in O<sub>3</sub> concentrations. The result is, however, based on simplified assumptions of the future climate.

Already in present climate O<sub>3</sub> is considered the most important regional-scale air pollutant causing risks for vegetation and human health in large parts of the world (Fuhrer, 2009), including many developing countries (Emberson et al., 2001). O<sub>3</sub> risks are projected to increase most dramatically in regions with rapid industrialisation and population growth

and with little regulatory action, causing negative impacts on major staple crops and, consequently, on food security (Fuhrer, 2009). In assessing future O<sub>3</sub> risk for vegetation it is important to consider the influence of climate change. Factors such as warming, changes in amount and distribution of precipitation, shifts in growing season and elevated CO<sub>2</sub> concentrations can affect the stomatal uptake of O<sub>3</sub> into the leaves (Harmens et al., 2007). The flux-based POD<sub>Y</sub> index allows climatic conditions to modify the estimated plant stomatal uptake rates of O<sub>3</sub>, in line with important physiological mechanisms.

## 2. Aims and hypotheses

The present thesis focused on the spatial and temporal variation of ground-level O<sub>3</sub> concentrations and the impact of present and future climate on the risk of deleterious effects of O<sub>3</sub> on vegetation. O<sub>3</sub> is generally seen as a regional scale air pollution problem, but variation on a smaller scale is considerable. Knowledge of the size of this local scale geographical variation in O<sub>3</sub> concentrations and an understanding of the underlying processes are important in assessments of O<sub>3</sub> exposure. The first aim of the thesis was to describe the variation in O<sub>3</sub> concentration dynamics in Sweden in relation to local scale climate and site characteristics such as altitude, topography, vicinity to the coast and local NO<sub>x</sub> emissions.

Due to local scale O<sub>3</sub> concentration variation, permanent O<sub>3</sub> monitoring stations are not always representative for all types of sites within the neighbouring area. A methodology to estimate AOT40 (which is the O<sub>3</sub>-index used in the current EU air quality legislation, for the protection of vegetation) from average O<sub>3</sub> concentrations received from e.g. simple and inexpensive passive diffusion samplers could improve the areal coverage of O<sub>3</sub> monitoring and complement existing permanent stations. The second aim was to test two methods of estimating the AOT-index from average O<sub>3</sub> concentrations in combination with information about the O<sub>3</sub> concentration variability.

Site specific meteorological conditions influence both the O<sub>3</sub> concentrations in the ambient air as well as the plant stomatal O<sub>3</sub> uptake. The flux-based approach (POD<sub>Y</sub>-index) incorporates the modification of O<sub>3</sub> uptake by climatic conditions into the O<sub>3</sub> risk assessment for vegetation, unlike the still commonly used concentration-based approach (AOT40-index). The third aim was to assess the implications of the local scale O<sub>3</sub> concentration variation in southern Sweden on the flux-based risk of negative effects of O<sub>3</sub> on vegetation.

Already in present climate O<sub>3</sub> is considered to be one of the most important air pollutants in terms of impacts to vegetation and human health. O<sub>3</sub> concentrations are projected to increase in the future in large parts of the world. In combination with rapid population growth and consequently increasing demand for natural resources and food, the future risk for O<sub>3</sub> damage to crops and forests becomes an issue of utmost importance. In assessments of future O<sub>3</sub> risk for vegetation it is especially important to use an approach which considers the influence of climate change, such as the POD<sub>Y</sub>-index. The fourth aim was to assess the influence of potential future climate change and elevated CO<sub>2</sub> concentrations on the flux-based risk of O<sub>3</sub> damage to vegetation in Europe.

More specifically the investigated hypotheses were:

1. The O<sub>3</sub> concentration dynamics differ between inland low, inland high and coastal sites in the landscape of southern Sweden. Average O<sub>3</sub> concentrations and diurnal O<sub>3</sub> concentration range (DOR) correlate with site position in the landscape.
2. O<sub>3</sub> concentrations at the alpine site Latnjajaure, in the Scandian Mountain Range, are higher than at northern sites with lower elevation. The spring peak in O<sub>3</sub> is earlier and more pronounced at sites in northern compared to southern Sweden.
3. There is a correlation between DOR and diurnal temperature range (DTR). Differences in both DTR and DOR can to a large degree be explained by the strength of nocturnal temperature inversions.
4. The AOT-index can be estimated from average O<sub>3</sub> data in combination with information on the O<sub>3</sub> concentration variability. The O<sub>3</sub> concentration variability can be estimated from hourly air temperature measurements.
5. The flux-based risk of O<sub>3</sub> damage to vegetation is larger at inland high sites and coastal sites compared to inland low sites.
6. Modelled O<sub>3</sub> concentrations and meteorology for a 50×50 km<sup>2</sup> grid bear stronger agreement with observations at a single site within the grid in well mixed weather conditions compared to situations with calm nocturnal conditions.
7. Climate change can significantly modify the flux-based O<sub>3</sub> risk and the plant stomatal response to elevated CO<sub>2</sub> concentrations has the potential to significantly reduce the O<sub>3</sub> risk.

## 3. Methods

The spatial variation of O<sub>3</sub> concentrations in the landscape of southwest Sweden was described based on measurements from several field campaigns during the summers 2004–2007 (**Paper I, III, IV**). O<sub>3</sub> concentrations and meteorological parameters were measured using a mobile monitoring station in combination with O<sub>3</sub> and NO<sub>2</sub> concentration measurements with passive diffusion samplers. O<sub>3</sub> and NO<sub>2</sub> concentration measurements with passive diffusion samplers were also performed at an alpine site in the Scandian Mountain Range (**Paper II**) and in the City of Gothenburg. In addition, O<sub>3</sub> concentration data from permanent monitoring stations were analysed.

The risk of deleterious effects of O<sub>3</sub> on vegetation was estimated with the flux-based index POD<sub>Y</sub> using an existing method, described in the UNECE CLRTAP Mapping Manual (LRTAP Convention, 2004), to model the stomatal O<sub>3</sub> uptake (**Paper III, V, VI**). The simpler concentration-based index AOT40 is used in current legislation and **Paper IV** investigated methods to estimate AOT40 from average O<sub>3</sub> concentrations, e.g. measured with passive diffusion samplers.

Regional scale chemistry transport models are often used in risk assessments. Modelled O<sub>3</sub> concentrations and meteorological parameters from an EMEP 50×50 km<sup>2</sup> grid-cell were compared to observations from a single site within the grid, to investigate within grid variation of O<sub>3</sub> concentrations and the implications for stomatal uptake of O<sub>3</sub> (**Paper V**). The influence of potential future climate change and increased atmospheric CO<sub>2</sub> concentrations on the flux-based risk of negative effects of O<sub>3</sub> on vegetation in Europe was investigated with modelled future O<sub>3</sub> concentrations from the chemistry transport model MATCH and meteorology from the regional climate model RCA3 (**Paper VI**).

### 3.1 Measurements and measurement sites

To assess the spatial scale at which O<sub>3</sub> concentration dynamics operates, an exceptionally dense network of monitors is needed (Diem, 2003). The relevant scale to resolve variation in O<sub>3</sub> concentrations depends on the geographic complexity of the area and may change with weather situation. Several studies have indicated a smallest scale of O<sub>3</sub> concentration variation around 3–4 km (Tilmes and Zimmermann, 1998) or 5 km (Diem, 2003). In a study in northern Italy, Gottardini et al. (2010) suggested that a resolution of 1 × 1 km<sup>2</sup> would be appropriate for O<sub>3</sub> concentration modelling. Tabony (1985) concluded that for

temperature the optimum horizontal resolution was rather insensitive to the exact value, but the drop in altitude 3 km from the station, following the valley, was used.

Based on the results from these studies the topography in the vicinity of the sites were characterised by altitude and average altitude within a 3 km radius. Elevation data with  $50 \times 50 \text{ m}^2$  resolution received from the Digital Map Library was used (**Paper III**). The position in the landscape was represented by the relative altitude, defined as the altitude of the site subtracted by the average altitude within a circle with radius of 3 km centred at the site. There is of course not a clear dividing line between low and high sites, but a continuous range from pronounced valley sites to pronounced hilltop sites. However, for practical purposes the sites where the relative altitude was below 2 are referred to as *inland low* in this thesis. The sites with a relative altitude above 17 m are referred to as *inland high*. Model calculations have indicated that the inland extent of the enhanced coastal  $\text{O}_3$  concentrations is restricted to a coastal band of only a few kilometres (Entwistle et al., 1997). Therefore, sites within 4 km from the coastline were defined as *coastal* sites. Location and site characteristics of the rural and urban measurement sites are described in Table 1 and 2.

### 3.1.1 Mobile monitoring station

A mobile monitoring station was used (**Paper III and IV**) to measure hourly  $\text{O}_3$  concentrations and meteorology at sites in southern Sweden (Table 1) with different characteristics such as high (Sandhult, Brobacka) or low (Hedared, Alafors, Lanna) position in relation to the surrounding landscape and vicinity to the coast (Rönnäng, Nidingen, Backåkra). The monitoring station was placed approximately one month at each site during the summers of 2005–2007.  $\text{O}_3$  concentrations were measured with an UV-absorption instrument (Thermo Environmental, Model 49) at 5 m height. Air temperature and relative humidity were measured at 1 m (Rotronic Hygroclip S3 RH/T) as well as the temperature difference between 5 and 1 m ( $\Delta T_{5-1}$ , Thermocouples type K). The sensors were placed in radiation shields with forced ventilation. Also wind speed (Young Wind Sentry Anemometer) and photosynthetically active radiation (PAR, LICOR, Model Li-190SA) were measured at 1 m height. Wind speed and direction was measured at 5 m (Young Wind Sentry Anemometer & Vane).

### 3.1.2 Passive diffusion samplers

Measurements of  $\text{O}_3$  and  $\text{NO}_2$  concentrations were also performed using duplicate passive diffusion samplers (Figure 2) of the Swedish Environmental Research Institute type (Ferm, 2001; Sjöberg et al., 2001) at the same sites as the mobile monitoring station was located (**Paper III, IV**), to compare the  $\text{O}_3$  samplers with continuous measurements, extend the  $\text{O}_3$  monitoring period and to examine the degree of  $\text{NO}_2$  pollution at the respective sites. During the summer of 2004  $\text{O}_3$  concentrations were measured at the inland low site Klevsjön and the inland high site Grytebergen in south-west Sweden 10 approximately one week long measurement periods (**Paper I, III**). During spring and summer 2006–2008,  $\text{O}_3$  and  $\text{NO}_2$  concentrations were measured at the alpine site Latnjajaure (980 m a.s.l.) in the northernmost part of Sweden (**Paper II**).

**Table 1.** Coordinates and characteristics of the rural measurement sites. Relative altitude is defined as the altitude of the site subtracted by the average altitude within a circle with radius of 3 km. Values of relative altitude were not available (n.a.) for the sites not included in **Paper III**. MMS = mobile monitoring station, PMS = permanent monitoring station, PS = passive diffusion samplers, met = meteorological conditions.

Site	Latitude	Longitude	Altitude (m a.s.l)	Relative altitude (m)	Characteristics	Measurements
Alafors	57° 56.1' N	12° 7.2' E	37	-2	Inland low, agricultural	MMS; O <sub>3</sub> + met, PS; O <sub>3</sub> + NO <sub>2</sub>
Asa	57° 9.9' N	14° 47.0' E	179	-20	Inland low	PMS; O <sub>3</sub>
Backåkra	55° 23.3' N	14° 7.9' E	20	n.a.	Coastal	MMS; O <sub>3</sub> + met, PS; O <sub>3</sub> + NO <sub>2</sub>
Brobacka	57° 58.8' N	12° 27.6' E	167	46	Inland high, forest	MMS; O <sub>3</sub> + met, PS; O <sub>3</sub> + NO <sub>2</sub>
Estrange	67° 52.7' N	21° 3.7' E	485	n.a.	Boreal	PMS; O <sub>3</sub>
Grimstö	59° 43.7' N	15° 28.1' E	105	-13	Inland low	PMS; O <sub>3</sub>
Grytebergen	57° 58.6' N	12° 21.9' E	176	43	Inland high, forest	PS; O <sub>3</sub>
Hedared	57° 48.5' N	12° 44.9' E	191	-11	Inland low, agricultural	MMS; O <sub>3</sub> + met, PS; O <sub>3</sub> + NO <sub>2</sub>
Klevsjön	57° 58.8' N	12° 22.7' E	120	-9	Inland low, forest	PS; O <sub>3</sub>
Latnjajaure	68° 21.6' N	18° 29.7' E	980	n.a.	Alpine	PS; O <sub>3</sub> + NO <sub>2</sub>
Lanna	58° 20.7' N	13° 7.4' E	74	2	Inland low, agricultural	MMS; O <sub>3</sub> + met, PS; O <sub>3</sub> + NO <sub>2</sub>
Myrberg	66° 3.8' N	20° 37.3' E	190	n.a.	Boreal	PS; O <sub>3</sub> + NO <sub>2</sub>
Nidingen	57° 18.2' N	11° 54.3' E	1	1	Coastal	MMS; O <sub>3</sub> + met, PS; O <sub>3</sub> + NO <sub>2</sub>
Nikkaluokta	67° 51.7' N	19° 3.6' E	475	n.a.	Subalpine, near high mountains	PS; O <sub>3</sub> + NO <sub>2</sub>
Norra Kvill	57° 48.7' N	15° 33.9' E	251	62	Inland high	PMS; O <sub>3</sub>
Norr Malma	59° 49.9' N	18° 37.9' E	16	0	Inland low	PMS; O <sub>3</sub>
Palovaara	68° 13.4' N	22° 53.5' E	320	n.a.	Boreal	PS; O <sub>3</sub> + NO <sub>2</sub>
Råö	57° 23.6' N	11° 54.9' E	3	6	Coastal	PMS; O <sub>3</sub>
Rönnäng	57° 56.2' N	11° 35.0' E	23	11	Coastal	MMS; O <sub>3</sub> + met, PS; O <sub>3</sub> + NO <sub>2</sub>
Sandhult	57° 45.6' N	12° 50.5' E	296	38	Inland high, agricultural	MMS; O <sub>3</sub> + met, PS; O <sub>3</sub> + NO <sub>2</sub>
Vavihill	56° 1.7' N	13° 9.0' E	168	17	Inland high, forest	PMS; O <sub>3</sub>
Vindeln	64° 15.0' N	19° 46.1' E	270	n.a.	Boreal	PMS; O <sub>3</sub>
Östad	57° 57.2' N	12° 24.2' E	63	-23	Inland low, agricultural	PMS; O <sub>3</sub> + met

O<sub>3</sub> and NO<sub>2</sub> concentrations were also measured in the urban landscape of Gothenburg (Table 2) during five 5-day periods in February 2005 at eight different locations. Six sites were located close to a major traffic route with varying distance to the heavy traffic, one site was co-located with a permanent urban rooftop monitoring station (Femman) and one site was a rural reference situated about 25 km north-east of the Gothenburg City centre. O<sub>3</sub> and NO<sub>2</sub> concentrations were also measured during five one-week periods 24 July to 28 August 2007 at five locations. In addition to the urban rooftop site Femman and the site closest to the major traffic route, measurements were also performed in an urban park and at two suburban sites.



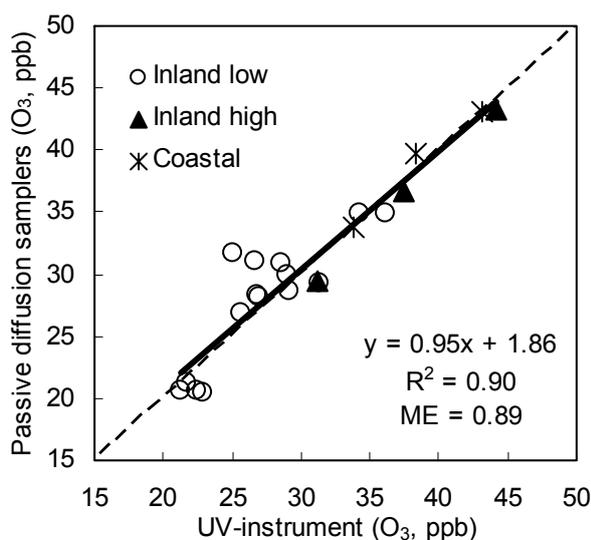
**Figure 2.** O<sub>3</sub> passive diffusion sampler of the Swedish Environmental Research Institute type.

**Table 2.** Coordinates and characteristics of the urban measurement sites (PS = passive diffusion sampler, PMS = permanent monitoring station, met = meteorological conditions).

Site	Coordinates	Measurements	Characteristics
Femman	57° 42.52' N 11° 58.24' E	PMS; O <sub>3</sub> + NO <sub>2</sub> + met PS; O <sub>3</sub> + NO <sub>2</sub> , Feb 2005 + July-Aug 2007	Rooftop monitoring site (30 m above street level)
Skansen Lejonet	57° 42.85' N 11° 59.35' E	PS; O <sub>3</sub> + NO <sub>2</sub> , Feb 2005	15 m above ground, ~200 m west of traffic route
Mast	57° 42.94' N 11° 59.55' E	PS; O <sub>3</sub> + NO <sub>2</sub> , Feb 2005	~100 m west of traffic route
Road	57° 42.96' N 11° 59.57' E	PS; O <sub>3</sub> + NO <sub>2</sub> , Feb 2005 + July-Aug 2007	Closest (~8 m) to traffic route
Olskroken	57° 42.83' N 11° 59.70' E	PS; O <sub>3</sub> + NO <sub>2</sub> , Feb 2005	~15 m east of traffic route
Railroad	57° 42.71' N 11° 59.83' E	PS; O <sub>3</sub> + NO <sub>2</sub> , Feb 2005	~20 m east of traffic route and ~400 m south
Lunden	57° 42.70' N 12° 00.03' E	PS; O <sub>3</sub> + NO <sub>2</sub> , Feb 2005	Vegetated hillslope, ~200 m east of traffic route
Annekärr	57° 51.90' N 12° 19.08' E	PS; O <sub>3</sub> + NO <sub>2</sub> , Feb 2005	Rural reference
Slottsskogen	57° 41.02' N 11° 56.15' E	PS; O <sub>3</sub> + NO <sub>2</sub> , July-Aug 2007	Large urban park
Frölunda	57° 39.37' N 11° 55.99' E	PS; O <sub>3</sub> + NO <sub>2</sub> , July-Aug 2007	Urban residential area
Björkekärr	57° 43.03' N 12° 2.58' E	PS; O <sub>3</sub> + NO <sub>2</sub> , July-Aug 2007	Suburban residential area

The passive diffusion sampler technique is based on molecular diffusion of gases. The gas molecules diffuse into the sampler to a sorbent where they are quantitatively collected. Analysing the sorbent (in this case at the Swedish Environmental Research Institute accredited laboratory) gives a concentration value integrated over time. Turbulent diffusion inside the sampler is avoided by using a membrane at the inlet. Passive diffusion sampling has been used in Sweden (Ferm and Svanberg, 1998; Svanberg et al., 1998), UK (Stevenson et al., 2001), Canada (Gilbert et al., 2003) and many other parts of the world (Ferm and Rodhe, 1997; Ayers et al., 1998; Carmichael et al., 2003). Passive diffusion samplers are small, light, cost-efficient, soundless, do not require electricity and therefore geographically flexible. The technique is used to increase the spatial resolution of measurements, to complement permanent monitoring stations and to evaluate monitoring site locations accordingly, at low cost. The drawback of passive diffusion samplers is the limited time resolution (approximately 1 week to 1 month, depending on the degree of pollution). Several studies have described and evaluated the passive diffusion sampling technique in detail (e.g. Ferm and Svanberg, 1998; Ferm, 2001; Yu et al., 2008; Vardoulakis et al., 2009).

Comparison between the parallel measurements of O<sub>3</sub> concentrations with passive diffusion samplers and continuously measuring UV-absorption instruments performed in this study showed that the passive diffusion samplers satisfactorily represented the average concentration during the measurement periods (Figure 3). Due to deposition towards the earth surface there is a steep vertical gradient in O<sub>3</sub> concentration close to the ground. The passive samplers were placed at 2 or 3 m height while the air inlet for the UV-instrument was at 5 m. The difference in measurement height was corrected for according to a method in Mapping Manual (LRTAP Convention, 2004), suggesting that the O<sub>3</sub> concentrations at 3 m was 98% of the 5 m concentrations and that the O<sub>3</sub> concentrations at 2 m was 96% of the 5 m concentrations.



**Figure 3.** Comparison of O<sub>3</sub> concentrations measured with passive diffusion samplers of Swedish Environmental Research Institute type and parallel measurements with UV-absorption instruments (the mobile monitoring station or at Östad). Solid black line is linear regression, dashed black line is y = x and ME is modelling efficiency. N=21 measurement periods.

### 3.1.3 Permanent monitoring stations

In addition to the above described measurements, data from several permanent monitoring stations have also been analysed (Table 1). A key location was the monitoring site Östad, which was used as a reference site. Östad is situated 45 km northeast of Gothenburg, in southwest Sweden. It is an agricultural site, characterised by large areas of low vegetation, situated in a broad valley (ca 1 km) surrounded by a forested, hilly landscape. At Östad the hourly O<sub>3</sub> concentrations were measured with an UV-absorption instrument (Thermo Environmental, Model 49) at 5 m height, temperature and relative humidity (Rotronic Hygroclip) at 1 m height enclosed in reflective radiation shields with forced ventilation, as well as the temperature difference between 9 and 1 m ( $\Delta T_{9-1}$ , Thermocouples type K). Wind speed (Young Wind Sentry Anemometer & Vane) was measured at 9 m height and PAR (LICOR, Model Li-190SA) at 1 m height.

Furthermore, hourly O<sub>3</sub> concentration data was received from several rural permanent monitoring stations in Sweden. Esrange, Vindeln Grimsö, Norra Kvill, Råö and Vavihill are operated within the Swedish national O<sub>3</sub> monitoring network and are part of the European Monitoring and Evaluation Programme (EMEP, data available at [www.ivl.se](http://www.ivl.se)). Norr Malma is part of Stockholm and Uppsala County Air Quality Management Association (data available at [slb.nu/lvf](http://slb.nu/lvf)). Asa is an experimental forest and research station at the Swedish University of Agricultural Sciences ([asa.esf.slu.se](http://asa.esf.slu.se)) also financed by the Regional Air Quality Protection Associations in Kronoberg and Jönköping Counties. Measurements of O<sub>3</sub> concentrations and meteorology at the urban site Femman in Gothenburg City centre were also used. The Femman site is situated at the roof of a 30 m tall building (the general height of the taller buildings in the city centre) and is operated by the Environmental Administration in the City of Gothenburg.

At Nikkaluokta, Palovaara and Myrberg monthly measurements of O<sub>3</sub> and NO<sub>2</sub> with passive diffusion samplers are performed as part of the Swedish Throughfall Monitoring Network (SWETHRO, data available at [www.ivl.se](http://www.ivl.se)).

## 3.2 Assessment of O<sub>3</sub> risk for vegetation

### 3.2.1 The AOT40 index

The concentration-based O<sub>3</sub> index AOTX (accumulated O<sub>3</sub> above a concentration threshold of X ppb) is calculated as the sum of the difference between the hourly O<sub>3</sub> concentrations at vegetation height and the threshold concentration X ppb for all daylight hours within a specified time period.

In **Paper IV**, two different methods to estimate AOT-indices with different threshold concentrations from the average O<sub>3</sub> concentrations in combination with information about the O<sub>3</sub> concentration variability were tested. The O<sub>3</sub> concentration variability was

calculated from parallel hourly air temperature measurements in combination with a statistical relationship between O<sub>3</sub> and temperature variability.

The Gaussian method was developed by Tuovinen (2002) and assumes that the hourly O<sub>3</sub> concentrations (C) have a Gaussian probability density function f(C) with mean  $\mu$  and standard deviation  $\sigma$ . The AOT-index with threshold concentration X ppb can then be calculated based on the average O<sub>3</sub> concentrations from e.g. a passive diffusion sampler in combination with an estimate of  $\sigma$ . 24-hour AOT values are yielded from the Gaussian method. However, 12-hour daytime AOT values are more relevant for risk assessment of O<sub>3</sub> damage to vegetation since plant O<sub>3</sub> uptake is considerably lower during night compared to daytime. 12-hour AOT can be calculated from 24-hour AOT by the use of a conversion factor ( $\alpha$ ).

The trigonometric method is similar to the method developed by Loibl et al. (1994) and later applied by Gerosa et al. (2007). The diurnal variation in O<sub>3</sub> concentrations is represented by a sine function with amplitude (A) that is half the DOR, oscillating around the average O<sub>3</sub> concentration. The sine-function is synchronised with the O<sub>3</sub> concentration diurnal pattern by a phase displacement ( $h_0$ ), defining at what time the O<sub>3</sub> concentration minimum and maximum occur. Essentially, AOT is calculated for one average day and then multiplied by the number of days the monitoring was in progress.

The measurements at Nidingen, Rönnäng, Backåkra, Sandhult, Brobacka, Lanna, Hedared, Alafors and Östad were randomly divided between a parameterisation dataset and a validation dataset and split into weekly periods. The parameterisation dataset was used to calculate the site-type specific parameter  $\alpha$  in the Gaussian method and  $h_0$  in the trigonometric method. For each weekly period in the validation dataset, the AOT-index was estimated from the weekly average (mimicking the result of a passive diffusion sampler) using the two described methods and calculated from hourly O<sub>3</sub> concentration data as conventional. To quantify the fit between the estimated and measured values, modelling efficiency (ME) and normalized mean absolute error (NMAE), both described by e.g. Janssen and Heuberger (1995), were used. The ME value is a measure of the relative improvement of the estimates compared to the average of the observed values. The NMAE can be interpreted as the average overestimation (positive or negative), given in relation to the average of measured AOT. A perfect fit would have all data points arranged on the 1:1 line, an ME value of 1 and a NMAE of 0%. The calculation of the concentration-based AOTX-index with the Gaussian and trigonometric methods are further described in **Paper IV** and in the Appendix of this thesis.

### 3.2.2 The POD<sub>Y</sub> index

The stomatal O<sub>3</sub> flux was calculated using the multiplicative algorithm given in the UNECE CLRTAP Mapping Manual (LRTAP Convention, 2004). It includes functions accounting for the limiting effects of various factors, such as O<sub>3</sub> concentrations, plant development stage (phenology), irradiance, temperature, water vapour pressure deficit (VPD) and soil water potential (SWP) on stomatal O<sub>3</sub> uptake. The functions ( $f_{O_3}$ ,  $f_{phen}$ ,

$f_{\text{light}}$ ,  $f_{\text{temp}}$ ,  $f_{\text{VPD}}$  and  $f_{\text{SWP}}$ ) are expressed in relative terms (take values between 0 and 1) as a proportion of the species-specific maximum stomatal conductance, thereby regulating the  $\text{O}_3$  flux into the plant leaf. The phytotoxic  $\text{O}_3$  dose above a flux threshold  $Y \text{ nmol m}^{-2} \text{ PLA s}^{-1}$  ( $\text{POD}_Y$ ) is then accumulated over a period of time corresponding to the growing season, when the plant is considered to be sensitive to  $\text{O}_3$ . The multiplicative algorithm and its parameterisation are described in detail in the UNECE CLRTAP Mapping Manual (LRTAP Convention, 2004) and in the Appendix of this thesis.

Models of stomatal conductance have been parameterised for a limited number of crop and tree species. In **Paper V**, the phytotoxic  $\text{O}_3$  dose was calculated for wheat and potato ( $\text{POD}_6$ ). However, soil water potential was assumed not to be limiting in the area considered in this study, where droughts are rare and irrigation of arable land not common practice.

A simplified multiplicative stomatal conductance model was used to indicate the degree of risk for  $\text{O}_3$  damage to a generic crop ( $\text{POD}_{3\text{crop}}$ , **Paper III, VI**) and generic deciduous tree species ( $\text{POD}_{1.6\text{tree}}$ , **Paper VI**) (LRTAP Convention, 2004; Simpson and Emberson, 2006). The simplified flux method is intended to be used within large-scale modelling. Important simplifications are that  $\text{O}_3$ -induced premature senescence is assumed to have no effect on stomatal conductance (i.e.  $f_{\text{O}_3} = 1$ ) and a lower threshold is used for the generic crop than recommended for specific crops, making the method numerically more robust (Tuovinen et al., 2007). For a generic crop a three month time-window for stomatal  $\text{O}_3$  flux accumulation is used to bypass the uncertainty in the timing of the relevant time-interval, which, especially for crops, is rather short. Due to uncertainties caused by difficulties in modelling a plant-relevant SWP, the potentially large variations in soil moisture within a model grid and possible irrigation practices, soil moisture is assumed not to limit stomatal conductance (i.e.  $f_{\text{SWP}} = 1$ ). However, in one  $\text{POD}_{1.6\text{tree}}$  calculation a SWP function was included to estimate the potential influence of this factor on the stomatal  $\text{O}_3$  flux (**Paper VI**). It was assumed that water was freely available from 0 to -0.05 MPa SWP with a linear decrease in water availability (and stomatal conductance) below -0.05 MPa down to a minimum at -1.5 MPa SWP (Hall et al., 1977).

In **Paper VI**, a  $\text{CO}_2$  response function ( $f_{\text{CO}_2}$ ) for a generic crop and a generic deciduous tree was parameterised based on experiments found in the literature where crops and trees grown in elevated  $\text{CO}_2$  concentrations responded with reduced stomatal conductance. The influence of increasing  $\text{CO}_2$  on stomatal conductance was assumed to linearly decrease between 360 and 560 ppm  $\text{CO}_2$  concentration from 1 to 0.66 for a generic crop and to 0.8 for a generic deciduous tree, with no further reductions in stomatal conductance above 560 ppm  $\text{CO}_2$ . There is however, a large uncertainty with respect to the effect of elevated  $\text{CO}_2$  on stomatal conductance in closed forest stands (Uddling et al., 2008; Uddling et al., 2009).  $\text{POD}_{1.6\text{tree}}$  was therefore calculated both with and without the inclusion of the stomatal  $\text{CO}_2$  response function. This was done also for the generic crop in order to separate effects of climatic changes from effects of rising  $\text{CO}_2$  concentrations on stomatal  $\text{O}_3$  flux.

## 3.3 Chemistry transport models

### 3.3.1 EMEP

To investigate within grid variation of O<sub>3</sub> concentrations and the implications for stomatal uptake of O<sub>3</sub>, measurements at Östad were compared to the corresponding data from the grid in the EMEP photo-oxidant model in which Östad is situated (56, 61; official EMEP coordinates, **Paper V**). The EMEP model is the Eulerian chemistry transport model (CTM) of the European Monitoring and Evaluation Programme (EMEP). It is primarily designed for large-scale modelling of O<sub>3</sub> over the whole of Europe and is used as a tool within the European air pollution abatement strategy and legislation work (Berge and Jakobsen, 1998; Simpson et al., 2003a; Fagerli et al., 2004).

An O<sub>3</sub> dry deposition module (DO<sub>3</sub>SE; Deposition of O<sub>3</sub> and Stomatal Exchange) has been incorporated within the EMEP model to calculate O<sub>3</sub> fluxes (Emberson et al., 2000a; Simpson et al., 2001, 2003a,b). For deposition modelling, each grid is divided into a number of land use categories (e.g. temperate crops, temperate coniferous forests). The vegetation characteristics such as height and leaf area index of each sub-grid land use are used to calculate “local” values of atmospheric stability and near-surface humidity. These values are used to calculate canopy conductance, vertical O<sub>3</sub> concentration gradients and deposition flux of O<sub>3</sub> to both stomata and non-stomatal surfaces (Simpson et al., 2001, 2003b). The procedure aims to capture some of the characteristics of different vegetation types within a grid, but is of course limited by the 50 km grid-size of the original data.

### 3.3.2 MATCH

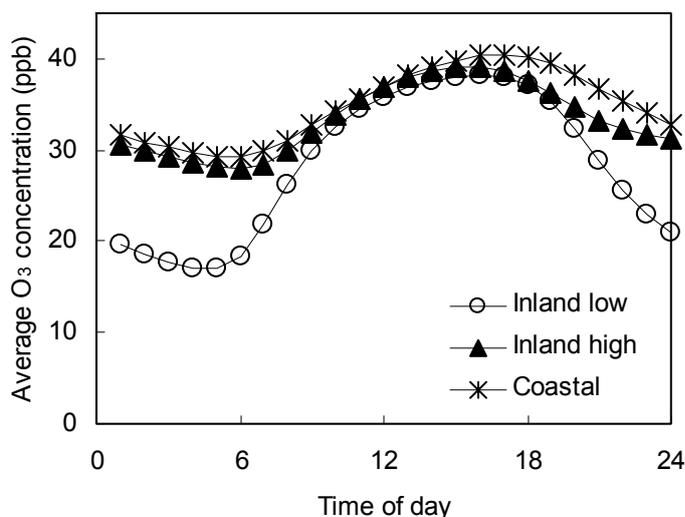
To assess the influence of climate change and elevated CO<sub>2</sub> concentrations on the flux-based risk of O<sub>3</sub> damage to vegetation in Europe, O<sub>3</sub> concentrations from the regional chemistry transport model MATCH were used (**Paper VI**). MATCH is described in detail by Robertson et al. (1999). MATCH was driven by meteorology from the Rossby Centre’s regional climate model (RCA3), which is described in Kjellström et al. (2005) and Jones et al. (2011). RCA3 was forced with climate data from the ECHAM4/OPYC3 global model on its boundaries, simulating the IPCC SRES A2 and B2 emission scenarios (Nakicenovic et al., 2000). A2 is one of the more pessimistic greenhouse gas emission scenarios. Anthropogenic emissions and boundary trace concentrations were held constant, representing the year 2000, in order to limit the investigation to the influence of climate change (and not changes in emission patterns). Emissions of biogenic isoprene are calculated online in MATCH, but natural emissions of other VOCs, sulphur or nitrogen containing compounds are not included in the present set-up. Hence, changes in photochemistry, transport patterns, emissions and uptake by vegetation affect modelled future O<sub>3</sub> concentrations. Three 30-year periods were simulated: reference (1961–1990), near future (2021–2050) and far future (2071–2100). The set-up of the model system is further described in Andersson and Engardt (2010).

The canopy-scale O<sub>3</sub> dry deposition to vegetation is a function of temperature, air humidity, soil moisture, and irradiance in MATCH. For risk assessment purposes it is the stomatal O<sub>3</sub> flux to the sunlit leaf level of specified vegetation types that is important and not the canopy-scale flux. Ten monitoring sites within the European Monitoring and Evaluation Programme (EMEP) were selected in transect from northeast (northern Finland, FI22) to southwest (southern Spain, ES07) to represent different climatic conditions in Europe. The flux-based O<sub>3</sub> risk (POD<sub>Y</sub>) was calculated off-line for a generic crop and a generic deciduous tree at the ten sites based on modelled data from the corresponding grid-cell in MATCH (O<sub>3</sub>) and RCA3 (meteorology). The location and characteristics of the sites are further described in **Paper VI**. To test if average POD<sub>Y</sub> for the far future (A2 emission scenario) was significantly different compared the reference period, a two-sided Student's t-test was applied, assuming unequal variances.

## 4. Results

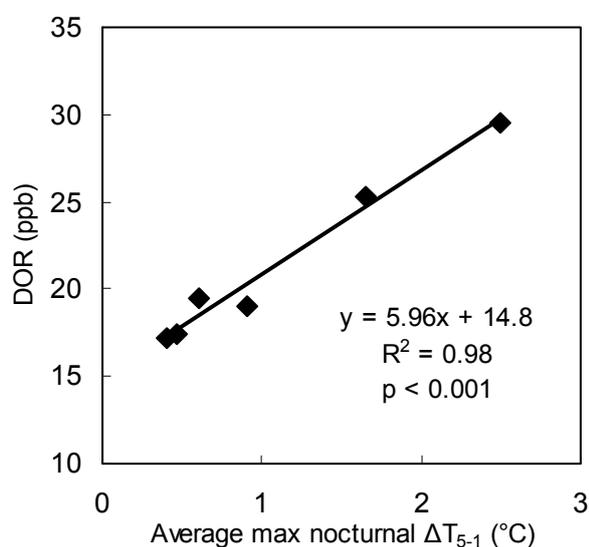
### 4.1 O<sub>3</sub> concentration variation in Sweden

Average O<sub>3</sub> concentrations were found to vary considerably over small distances. As an example, a 5 ppb difference in average summer O<sub>3</sub> concentration was measured between two sites (Grytebergen and Östad) only 3 km apart, but with high or low position in relation to the surrounding local terrain (**Paper I**). Also the diurnal dynamics of O<sub>3</sub> differed substantially between sites situated low or high in the inland or in the vicinity of the coast (**Paper I, III, IV**). Figure 4 shows an example of the average diurnal O<sub>3</sub> concentrations at two inland low sites (Östad and Asa), two inland high sites (Vavihill and Norra Kvill) and one coastal site (Råö). Figure 4 is based on hourly O<sub>3</sub> concentration measurements at permanent monitoring stations in southern Sweden during April to September 2007–2009 (on average 1.1% data loss, maximum 3.1% at Vavihill). The inland low sites had a more marked nocturnal O<sub>3</sub> decrease resulting in nearly twice as large diurnal O<sub>3</sub> concentration range (DOR, 21.3 ppb) compared to the inland high and coastal sites (11.0 and 11.3 ppb, respectively). The average daytime (hour 8–20) O<sub>3</sub> concentrations were similar between sites, however largest at the coastal site (38.0 ppb at the coastal site, 35.6 ppb at the inland low sites and 36.7 ppb at the inland high sites).



**Figure 4.** Average diurnal O<sub>3</sub> concentrations at the inland low sites Östad and Asa, the inland high sites Vavihill and Norra Kvill and the coastal site Råö during April–September 2007–2009 (local time).

Based on the measurements with the mobile monitoring station at Lanna, Hedared, Brobacka, Sandhult, Nidingen and Rönning, the largest diurnal variation in both O<sub>3</sub> concentrations and temperature was measured at the inland low sites (on average 27 ppb and 12°C) while inland high and coastal sites had smaller DOR and DTR (on average 19 ppb and 8°C at the inland high sites; 17 ppb and 5°C at the coastal sites). A statistically significant relationship between DOR and DTR was found (p=0.001), where DTR explained 39% of the variance in DOR (**Paper III**). Since the daytime difference in O<sub>3</sub> concentrations between sites are smaller than those at night, night-time conditions, particularly nocturnal temperature inversions, which in turn limit vertical O<sub>3</sub> transport, may explain a large part of the relationship between DTR and DOR. Site average DOR was strongly correlated with site average maximum nocturnal  $\Delta T_{5-1}$  ( $R^2=0.98$ ,  $p<0.001$ ), as can be seen in Figure 5 (**Paper III**). A statistically significant non-linear relationship ( $R^2 = 0.74$ ,  $p<0.001$ ) was also found between DTR and maximum nocturnal  $\Delta T_{5-1}$  at six sites (N = 212 days).



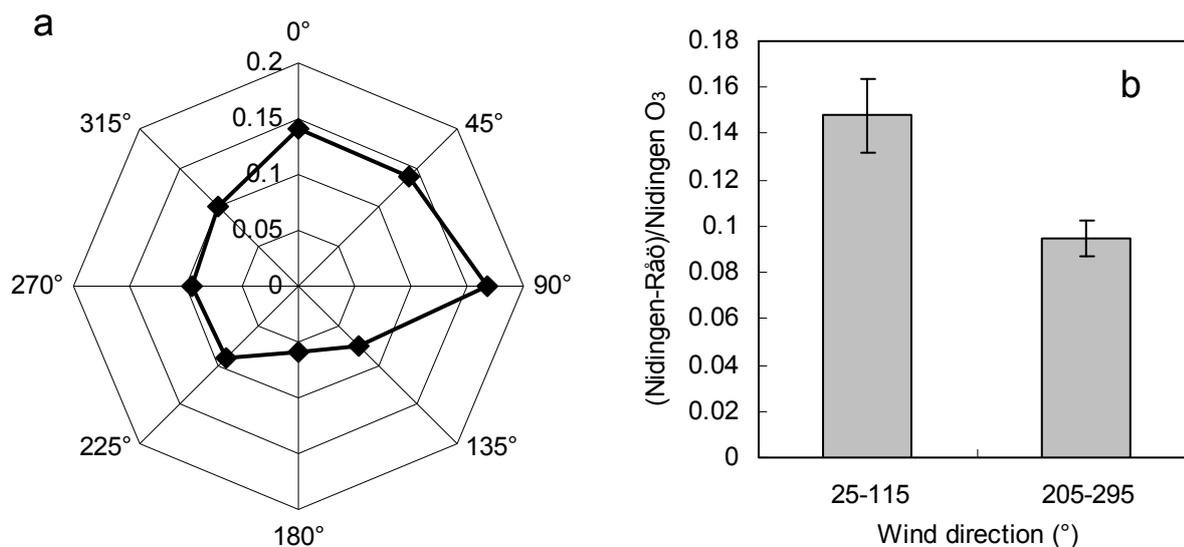
**Figure 5.** Average diurnal O<sub>3</sub> concentration range (DOR) in relation to the average maximum nocturnal  $\Delta T_{5-1}$  for the sites Nidingen, Rönning, Brobacka, Sandhult, Lanna and Hedared.

#### 4.1.1 Coastal climate

Nidingen is an isolated, small and low island situated 6 km from the west coast of Sweden. During an approximately six week long measurement period (15 April to 7 June 2007) the average diurnal curves at Nidingen, showed a difference of up to 5 ppb compared to the inland low site Östad in daytime, and much larger differences during night-time (**Paper III**).

To investigate the influence of advection of marine O<sub>3</sub>-rich air, Nidingen was compared to the coastal permanent monitoring station Råö in relation to wind direction (Figure 6a). Råö is located approximately 10 km from Nidingen on the west coast of Sweden. The difference in O<sub>3</sub> concentrations between Nidingen and Råö was calculated as (Nidingen-Råö)/Nidingen. The difference was clearly largest in easterly wind directions, with air coming from the inland. The largest average difference in O<sub>3</sub> concentration was 17% for the 45° wind sector centred around 90° (east). The difference was smaller in south and

westerly wind directions, when the air came from the open sea. The lowest average difference was 6% for the 45° wind sector centred around 180° (south). Based on the direction of the coastline it was assumed that the open sea would have most influence on the O<sub>3</sub> concentrations at both sites in wind directions of 205–295° (from the open sea). In wind directions of 25–115° (from the inland) Råö is likely more influenced by inland air while the island Nidingen is still characterised by marine air. The average difference in O<sub>3</sub> concentrations between Nidingen and Råö was 15% during north-easterly winds and 9% during south-westerly winds (Figure 6b). The difference in O<sub>3</sub> concentrations between the two wind sectors was statistically significant ( $p < 0.001$ ).



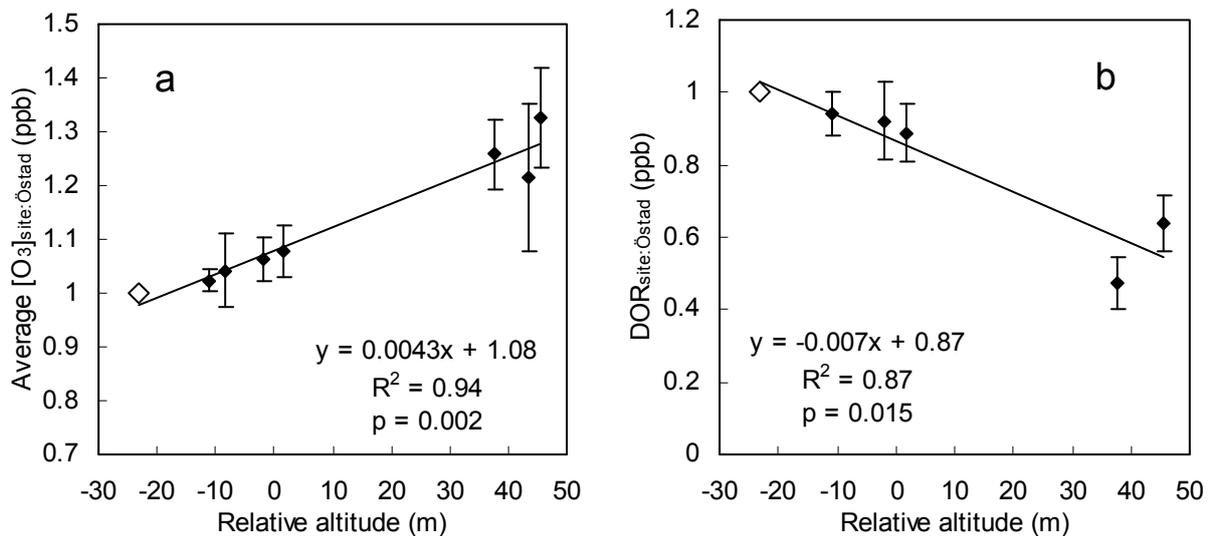
**Figure 6.** (a) The difference in O<sub>3</sub> concentrations between Nidingen and Råö ((Nidingen-Råö)/Nidingen) in relation to wind direction. (b) The difference in O<sub>3</sub> concentrations between Nidingen and Råö ((Nidingen-Råö)/Nidingen) between wind directions of 25–115° (from the inland) and wind directions of 205–295° (from the open sea). Error bars show 95% confidence intervals of the mean, N = 222 and 342 hours respectively.

#### 4.1.2 Topography and altitude

Focusing only on inland sites, topography is important for the formation of nocturnal temperature inversions. In **Paper III** the average O<sub>3</sub> concentrations and DOR was therefore related to relative altitude. Since the mobile monitoring station could only measure at one site at the time, the O<sub>3</sub> concentration measurements from each of the different sites were normalised by dividing with the simultaneous measurements from the permanent monitoring station Östad, hence used as a reference site for all measurement periods. The normalised values are denoted  $[O_3]_{\text{site:Östad}}$  and  $DOR_{\text{site:Östad}}$ .

Figure 7a shows a statistically significant relationship between the average  $[O_3]_{\text{site:Östad}}$  and relative altitude ( $R^2=0.94$ ,  $p=0.002$ ). Sites located high compared to the surrounding landscape experienced higher average O<sub>3</sub> concentrations than sites positioned low. The corresponding relationship between average  $[O_3]_{\text{site:Östad}}$  and altitude was not statistically significant ( $R^2=0.38$ ). To increase the number of sites, Grytebergen and Klevsjön were included in Figure 7a. Only site average O<sub>3</sub> concentrations and not DOR could be

calculated at these two sites, since the O<sub>3</sub> concentrations were measured with passive diffusion samplers. Therefore Grytebergen and Klevsjön were not included in Figure 7b, which shows that the average DOR<sub>site:Östad</sub> correlated with relative altitude (R<sup>2</sup>=0.87, p=0.015). Sites positioned high compared to the surrounding landscape had a smaller diurnal variation in O<sub>3</sub> concentrations than sites with a low position. The corresponding relationship between average DOR<sub>site:Östad</sub> and altitude was not statistically significant (R<sup>2</sup>=0.64).



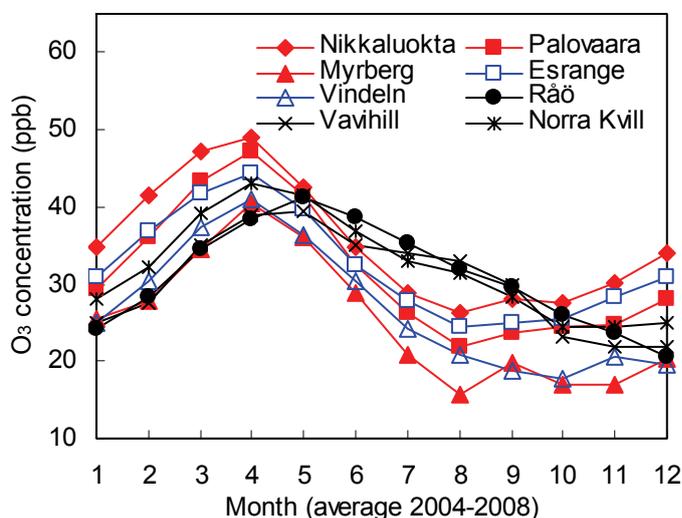
**Figure 7.** Average O<sub>3</sub> concentrations (a) and average diurnal O<sub>3</sub> concentration range (DOR) (b) at each site, normalised by dividing with the simultaneous measurements from the continuous monitoring station Östad, in relation to relative altitude. Error bars show 95% confidence intervals of the mean, N = 24–42 days. The sites are Hedared, (Klevsjön), Alafors, Lanna, Sandhult, (Grytebergen) and Brobacka. The open diamond represents the reference site Östad.

Very few measurements of O<sub>3</sub> concentrations have been made at high altitude in the Scandian Mountain Range. O<sub>3</sub> concentration was therefore measured with passive diffusion samplers at the alpine site Latnjajaure (980 m a.s.l.) in northernmost Sweden and compared to the closest permanent monitoring station with hourly O<sub>3</sub> concentration measurements, Estrate. Estrate is located approximately 120 km southeast of Latnjajaure at lower altitude (485 m a.s.l.). The O<sub>3</sub> concentrations were higher at Latnjajaure compared to Estrate during thirteen out of fifteen measurement periods. The difference was significant (p<0.01) based on a nonparametric sign test. The largest difference between Latnjajaure and Estrate was 4.9 ppb and occurred during a two-week measurement period in the end of August 2007.

#### 4.1.3 Seasonal variation in O<sub>3</sub> concentrations

Figure 8 shows the yearly cycle in ground-level O<sub>3</sub> concentrations at five sites in northern Sweden compared to three sites in southern Sweden (**Paper II**). Each point represents a five-year average for the respective months of the year. All the sites in the north exhibited a seasonal cycle with a pronounced, high and early peak in O<sub>3</sub> concentration. Maximum O<sub>3</sub> concentrations were observed in April at all the northern sites, while the maximum

was in May at Råö and Vavihill in the south of Sweden. During summer (June–August) O<sub>3</sub> concentrations were significantly higher at the southern sites than at the northern sites. The spring peak (monthly average) was higher at three of the northern sites compared to Råö with a maximum of 41 ppb. The highest concentrations were obtained in Nikkaluokta with 49 ppb as the April average and, unlike the other sites, a monthly maximum well above 60 ppb. The lowest spring peak in Norrbotten County, 40 ppb in April and similar in magnitude to the May maximum at Råö, was observed at Myrberg. The seasonal cycle of ground-level O<sub>3</sub> at Vindeln was similar to Myrberg with a maximum in April of 41 ppb. The summer O<sub>3</sub> concentrations at Norra Kvill were similar to the other two sites in southern Sweden (Råö and Vavihill). The spring peak did however occur in April, but was less pronounced compared to the three northernmost sites. Compared to Råö and Vavihill, Norra Kvill is situated at higher altitude and most likely experiences more frequent snow cover during winter.

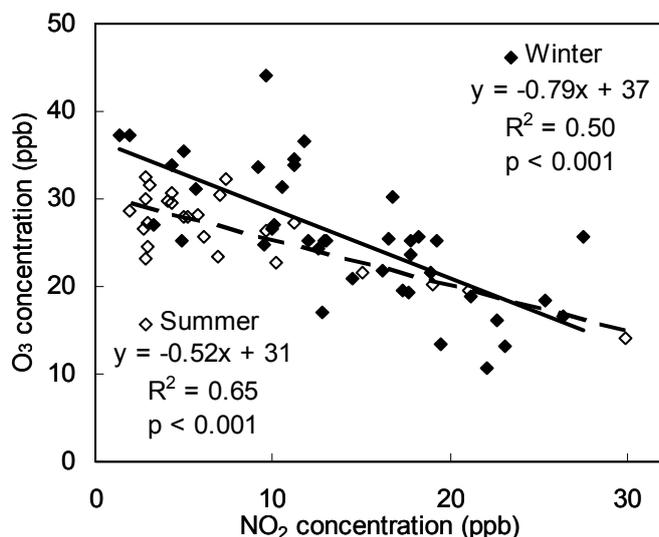


**Figure 8.** Seasonal variation in O<sub>3</sub> concentration at five sites in northern Sweden and three sites in southern Sweden. The monthly values represent the average for each month of the year during the period 2004–2008.

#### 4.1.4 O<sub>3</sub> in urban air

Measurements of O<sub>3</sub> and NO<sub>2</sub> concentrations with passive diffusion samplers in the urban landscape revealed a large variation in concentration. The 25-day winter average of NO<sub>2</sub> was 13.1 ppb at the urban rooftop monitoring site Femman, while 24.7 ppb at a site directly beside a major traffic route. The NO<sub>2</sub> concentration at a rural site was much lower compared to the urban sites, only 3.1 ppb. The O<sub>3</sub> concentrations were approximately 30% lower at the most NO<sub>2</sub> polluted site compared to Femman (18.5 ppb compared to 28.7 ppb). The average O<sub>3</sub> concentrations during the five weeks of summer measurements were relatively low, ranging from 19.7 ppb at the most NO<sub>2</sub> polluted site close to the traffic route (19.0 ppb NO<sub>2</sub>) to 29.5 ppb at the urban park site (4.0 ppb NO<sub>2</sub>). The weather conditions during the summer measurements were dominated by low air pressure, low temperatures (on average 17 °C) and rain. These weather conditions do not promote high O<sub>3</sub> concentrations and are most likely the explanation to the measured low O<sub>3</sub> concentrations during the summer compared to the winter.

The NO<sub>2</sub> concentrations were largest at the site closest to the major traffic route, a large emission source of NO<sub>x</sub>, and decreased with distance from the heavy traffic. The O<sub>3</sub> concentration variation in the urban landscape had the opposite pattern with a marked reduction in concentration at the sites with high NO<sub>2</sub> concentrations. Figure 9 shows a statistically significant negative linear relationship between the O<sub>3</sub> and NO<sub>2</sub> concentrations ( $p < 0.001$ ) during both the summer and winter measurements. The reduction in O<sub>3</sub> concentrations at NO<sub>2</sub> polluted sites can be attributed to NO titration.



**Figure 9.** O<sub>3</sub> concentrations in relation to NO<sub>2</sub> concentrations during 5 five-day measurement periods at eight locations in February 2005 and 5 seven-day measurement periods at five locations in July–Aug 2007. The O<sub>3</sub> and NO<sub>2</sub> concentrations were measured with passive diffusion samplers.

#### 4.1.5 Synoptic weather situation

The degree of spatial variation in O<sub>3</sub> concentrations depends to a large degree on synoptic weather situation. The largest difference in O<sub>3</sub> concentrations between sites generally occurred under high pressure weather situations (**Paper III**). The difference in weather conditions between situations with large and small site differences in O<sub>3</sub> concentrations were demonstrated in **Paper I**. The rate of temperature change with time at Grytebergen, Klevsjön and Östad as well as wind speed and  $\Delta T_{9-1m}$  at Östad for three days with small difference in O<sub>3</sub> concentrations between sites were compared to three days with large difference in O<sub>3</sub> concentrations. During the time period with small differences in O<sub>3</sub> concentrations between sites the winds speed also varied little and never approached zero. The  $\Delta T_{9-1m}$  was small and the rate of hourly temperature change small and similar between the sites. In contrast to this, during the time period with large differences in O<sub>3</sub> concentrations between sites the diurnal variation of temperature and  $\Delta T_{9-1m}$  was very pronounced at Östad. Also, wind speed approached zero during large parts of the night. In the evening and early night the rate of temperature change revealed a much faster cooling at the inland low sites Östad and Klevsjön compared to the inland high site Grytebergen, indicating a fast development of temperature inversions at the inland low sites.

In **Paper V** the agreement of O<sub>3</sub> concentrations and temperature between the EMEP model and measurements at Östad was investigated in relation to synoptic weather situation. The data were divided into days with low ( $< 0.5 \text{ m s}^{-1}$ ) and higher ( $> 0.5 \text{ m s}^{-1}$ )

measured average nocturnal wind speed (21:00–4:00 local time). Significant differences between modelled and measured O<sub>3</sub> concentrations and temperature were not found during daytime regardless of weather situation. During calm nights the O<sub>3</sub> concentrations and temperature were clearly overestimated by the EMEP model. In calm nocturnal conditions, most likely associated with anti-cyclonic weather situations local, site-specific conditions at Östad are most pronounced and the measurement site not representative of the grid taken as a whole.

## 4.2 Estimation of AOT40 from average O<sub>3</sub>

The strength of nocturnal temperature inversions influences the diurnal dynamics of both O<sub>3</sub> concentrations and air temperature. Based on the 22 weekly periods in the parameterisation dataset, linear statistically significant relationships between the standard deviations in O<sub>3</sub> concentration and air temperature ( $y=1.88x+3.04$ ,  $R^2=0.73$ ,  $p<0.001$ , to be used in the Gaussian method) and between the weekly averages of DOR and DTR ( $y=1.75x+8.86$ ,  $R^2=0.76$ ,  $p<0.001$ , to be used in the trigonometric method) were found (**Paper IV**).

Both the Gaussian and trigonometric methods estimated 24-hour AOT with low threshold concentrations with high accuracy. As the threshold concentrations were increased above the average O<sub>3</sub> concentration (34 ppb) there was a rapid decline in modelling efficiency. However, for AOT40, the modelling efficiency was still above 90% and average error (NMAE) 20–21%. The 12-hour daytime AOT values could not be estimated with as high accuracy. The abilities of the methods to estimate 7-day AOT40 from average O<sub>3</sub> concentrations and measurement of air temperature variability are shown in Table 3 and in **Paper IV**.

**Table 3.** The ability of the Gaussian and trigonometric methods to estimate 7-day AOT40 from average O<sub>3</sub> concentrations and air temperature measurements.  $R^2$  = coefficient of determination, ME = modelling efficiency and NMAE = normalized mean absolute error.

Method	Index	Linear regression	R <sup>2</sup>	ME	NMAE
Gaussian	24-hour AOT40	$y=0.92x+57$	0.96	0.96	21%
Gaussian	12-hour AOT40	$y=1.33x-15$	0.89	0.61	44%
Trigonometric	24-hour AOT40	$y=0.91x+26$	0.95	0.95	20%
Trigonometric	12-hour AOT40	$y=1.24x-2$	0.92	0.77	40%

## 4.3 Local scale variation in stomatal O<sub>3</sub> uptake

Both average O<sub>3</sub> concentrations and DOR were found to differ considerably between the inland low, inland high and coastal sites. A relevant question in this context is if the relative risk of negative effects on vegetation due to O<sub>3</sub> exposure was different at the different site types. In addition to the O<sub>3</sub> concentrations in the ambient air, temperature,

humidity and irradiance also influence the uptake of O<sub>3</sub> through the plant stomata. Differences in local climate between sites could result in different risk of negative effects on vegetation.

Based on O<sub>3</sub> concentrations and meteorological condition measured with the mobile monitoring station the stomatal O<sub>3</sub> uptake was calculated. The generic crop (POD<sub>3crop</sub>) parameterisation of the stomatal conductance model was used (**Paper III**). Since the mobile monitoring station was only placed one month at each site, data were not available to calculate the stomatal uptake of O<sub>3</sub> accumulated over the whole growing season (three months for POD<sub>3crop</sub>). The calculated stomatal O<sub>3</sub> uptake from each of the different sites was compared to the reference site Östad. A consistent relationship between relative altitude (or altitude) and phytotoxic O<sub>3</sub> dose was not found for the one month periods (data not shown) at the inland sites. The light response function, which is largely an on-off function, was very similar between sites. According to the model parameterisation of POD<sub>3crop</sub>, VPD was predicted to seldom limit the stomatal conductance at these sites ( $f_{VPD}$  seldom below 1), reflecting a relatively humid climate. The largest difference found between sites, besides the differences in O<sub>3</sub> concentrations, was in the temperature limitation of the stomatal conductance. At midday the average  $f_{temp}$  was less limiting at Östad, due to higher temperatures, compared to the other sites.

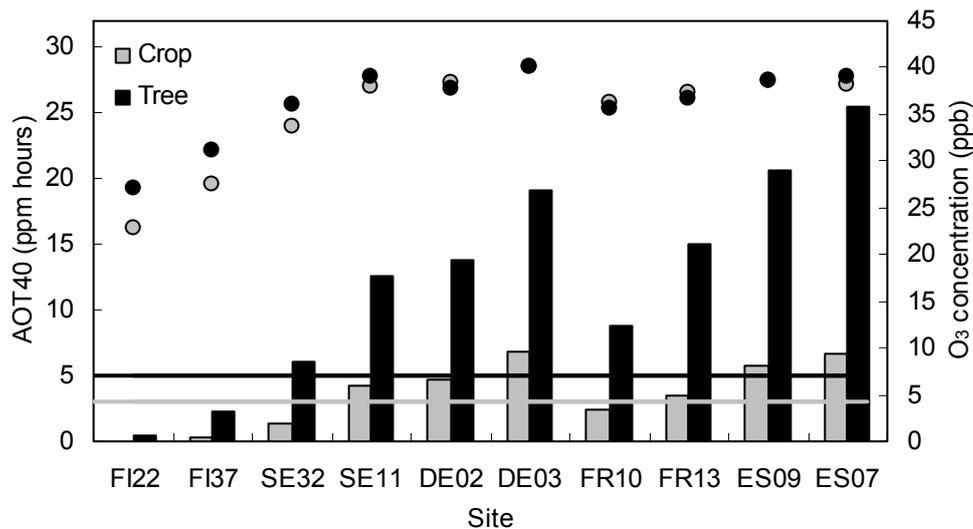
The calculated accumulated stomatal O<sub>3</sub> uptake was 28% larger at the coastal site Nidingen compared to Östad based on the 48 days of measurements. The difference was mainly attributed to the higher O<sub>3</sub> concentrations at Nidingen. At the other coastal site Rönnäng, only 8 rather cold days of complete measurements were available, which was not enough to show any difference in calculated stomatal O<sub>3</sub> uptake compared to Östad.

In **Paper V** the stomatal O<sub>3</sub> uptake of wheat and potato was calculated both based on measurements at Östad and based on EMEP model output from the 50×50 km<sup>2</sup> grid-cell in which Östad is situated. The EMEP model output correlated well with observed O<sub>3</sub> concentrations and meteorological conditions at Östad. Deviations between model and measurements were considerably larger during night-time than during day. However, it did not result in significant differences in calculated O<sub>3</sub> uptake. The calculated phytotoxic O<sub>3</sub> dose (POD<sub>6</sub>) obtained using modelled or measured O<sub>3</sub> concentrations and meteorological conditions agreed rather well (within 10–20%).

## 4.4 O<sub>3</sub> risk for vegetation in the future climate of Europe

Future climate change has the potential to affect both the O<sub>3</sub> concentrations in the ambient air and the stomatal O<sub>3</sub> uptake. The resulting change in O<sub>3</sub> risk for vegetation was investigated in **Paper VI**. Figure 10 shows the average O<sub>3</sub> concentrations and AOT40 at ten EMEP sites based on modelled data from the corresponding grid-cell in the MATCH model in reference climate (1961–1990). The concentration-based O<sub>3</sub> risk for vegetation, estimated with the AOT40 index, follow the same geographical pattern as the O<sub>3</sub>

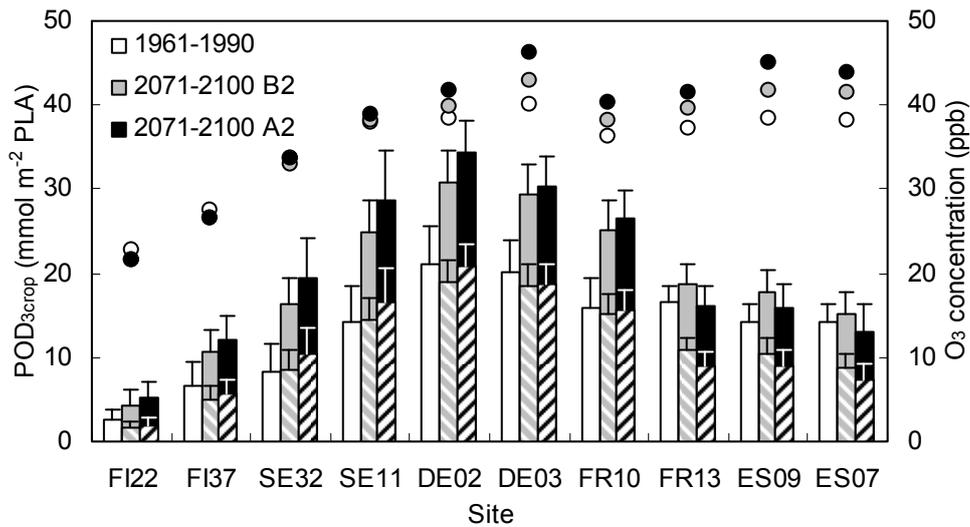
concentrations, indicating largest risk in Southern Europe. The current critical levels are an AOT40 of 3 ppm h during three months for agricultural crops and 5 ppm h during the growing season for forests (LRTAP Convention, 2004). Exceedance of the critical level may cause reduced yield for agricultural crops and reduced growth for forest trees. As shown in Figure 10 the critical level for agricultural crops was exceeded at six out of ten monitoring stations whereas the forest critical level was exceeded at eight out of ten monitoring stations. Note however, that the growing seasons in this study are based on latitude and differs from the time periods used in e.g. EU directives for vegetation risk assessment.



**Figure 10.** Average daylight AOT40 (bars, left y-axis) and average O<sub>3</sub> concentrations (circles, right y-axis) calculated for the generic crop and generic deciduous tree growing season based on modelled O<sub>3</sub> data from the MATCH model 1961–1990. Grey line is current critical level for crops (3 month time interval) and black line is current critical level for forests (April–September).

At six of the ten sites investigated, there is a large increase in future AOT40, especially in the 2071–2100 A2 emission scenario, where five sites (DE03, FR10, FR13, ES09 and ES07) will experience more than a doubling of AOT40. The four Nordic sites (FI22, FI37, SE32 and SE11) exhibit small changes in AOT40. Since anthropogenic emissions of O<sub>3</sub> precursors were held constant during the simulations, the increase in O<sub>3</sub> concentrations is entirely due to changes in the climate.

The geographical pattern of  $POD_{3crop}$  is considerably different compared to that of AOT40 (Figure 11). The stomatal O<sub>3</sub> flux is largest in Central Europe in both reference and future climate. The assumed reduction in stomatal conductance is 34% in the 2071–2100 A2 period due to CO<sub>2</sub> concentrations above 550 ppm. As a result, the sites with the largest increase in AOT40 by 2071–2100 in the A2 emission scenario show non-significant changes in  $POD_{3crop}$  (DE03 and FR10) or a large decrease in  $POD_{3crop}$  (statistically significant with  $p < 0.0001$  for FR13, ES09 and ES07). The two Swedish sites show a small but significant increase in  $POD_{3crop}$  ( $p = 0.012$  for SE32 and  $p = 0.027$  for SE11) during the same period.



**Figure 11.** Average phytotoxic O<sub>3</sub> dose for a generic crop (POD<sub>3crop</sub>) during reference climate (1961–1990), 2071–2100 following the SRES B2 emission scenario and 2071–2100 following the SRES A2 emission scenario (bars, left y-axis). The striped part of the bars show average POD<sub>3crop</sub> when the stomatal conductance response function for CO<sub>2</sub> ( $f_{CO_2}$ ) is included in the calculation. Error bars show standard deviation (N=30 years). Circles are average O<sub>3</sub> concentrations (right y-axis) for the same time periods as POD<sub>3crop</sub> is accumulated.

The O<sub>3</sub> concentrations are low and the temperature function significantly limits the stomatal conductance (average  $f_{temp}$  substantially below 1) at the northern sites. Without the inclusion of  $f_{CO_2}$ , POD<sub>3crop</sub> increase significantly at the Nordic sites in the 2071–2100 A2 period compared to the reference period ( $p < 0.0001$  for FI22, FI37, SE32 and SE11). Higher temperatures and, for SE11, to some extent higher O<sub>3</sub> concentrations explain the increase. For example, without the influence of  $f_{CO_2}$ , POD<sub>3crop</sub> more than double at FI22 while the average O<sub>3</sub> concentration decrease by approximately 1 ppb. In Central Europe, the O<sub>3</sub> concentrations are high and climatic conditions favour stomatal O<sub>3</sub> uptake. When  $f_{CO_2}$  is not included in the calculation, the large increase in O<sub>3</sub> concentrations by 2071–2100 A2, together with considerably higher  $f_{temp}$ , but only slightly lower  $f_{VPD}$  result in a significantly increased stomatal O<sub>3</sub> flux compared to reference climate ( $p < 0.0001$  for DE02, DE03 and FR10). At the three southernmost sites (FR13, ES09 and ES07) the stomatal conductance is, according to the model, substantially limited by  $f_{VPD}$  already in reference climate. Average  $f_{VPD}$  at the southernmost site (ES07) is for example 0.75 compared to 1.00 at the site with largest POD<sub>3crop</sub> (DE02). Despite a large increase in O<sub>3</sub> concentration (5 ppb on average) by 2071–2100 A2, the modelled stomatal O<sub>3</sub> flux does not increase in the future climate, due to increased VPD.

The general pattern in O<sub>3</sub> risk for vegetation is similar for the A2 and B2 emission scenarios. However, at the five southernmost sites the increase in O<sub>3</sub> concentration is smaller in the B2 emission scenario compared to A2 (2.7 ppb difference between 2071–2100 B2 and the reference period, compared to 5.3 ppb in A2). In addition,  $f_{VPD}$  does not limit the stomatal conductance at the southernmost sites to the same extent in the B2

emission scenario and the increase in stomatal conductance due to increasing temperature at the northernmost sites is not as large.

The geographical pattern of the generic deciduous tree  $POD_{1.6tree}$  following the A2 scenario is similar to that for a generic crop ( $POD_{3crop}$ ), with largest stomatal  $O_3$  flux in Central Europe. Due to the assumed reduction of stomatal conductance by 20% with  $CO_2$  concentrations above 550 ppm  $POD_{1.6tree}$  was significantly reduced in the 2071–2100 A2 period compared to the reference period at all sites ( $p < 0.0001$ ).

When the influence of  $f_{CO_2}$  is not included in the calculation, low and decreasing  $O_3$  concentrations as well as low average  $f_{temp}$  result in small  $POD_{1.6tree}$  at the two northernmost sites (FI22 and FI37), and no significant change by 2071–2100 A2. SE32, SE11 and DE02 experience increased stomatal  $O_3$  flux in the 2071–2100 A2 period ( $p < 0.0001$ ) compared to the reference period, explained by increases in  $O_3$  concentration and average  $f_{temp}$ . At the southernmost sites (FR13, ES09 and ES07) high temperatures limit the stomatal conductance in addition to the dry air conditions. It results in a significantly reduced stomatal  $O_3$  flux ( $p < 0.0001$ ) despite a large increase in average  $O_3$  concentrations. The inclusion of a SWP function in one  $POD_{1.6tree}$  calculation indicated that  $f_{SWP}$  mainly limit  $O_3$  uptake at the southern sites.



## 5. Discussion

### 5.1 Local climate and O<sub>3</sub> concentration variation

Even though ground-level O<sub>3</sub> is generally seen as a regional scale air pollutant, the results presented in this thesis clearly show that there is considerably local scale variation in O<sub>3</sub> concentrations. Two important sources of O<sub>3</sub> concentration variation in the landscape of south Sweden were identified (**Paper I, III**). Firstly, topography was found to be a very important factor explaining the diurnal dynamics of O<sub>3</sub> for inland sites. Inland low sites experienced stronger nocturnal temperature inversions, larger DOR and, due to the more marked nocturnal minima, lower average O<sub>3</sub> concentrations compared to inland high sites. Secondly, the coastal climate strongly influenced the O<sub>3</sub> concentrations and sites located in the vicinity of the coast experienced smaller DOR and higher average O<sub>3</sub> concentrations compared to inland sites, in agreement with other observations (e.g. Entwistle et al., 1997; Ribas and Peñuelas, 2004). Nocturnal temperature inversions, which in turn limit vertical O<sub>3</sub> transport, were found crucial in determining the differences in average O<sub>3</sub> concentrations and DOR between sites (**Paper III**). The nocturnal temperature inversions were weaker and the air mixing stronger at the inland high and coastal sites compared to the inland low sites. The importance of local meteorological conditions is emphasized by the similar diurnal variation in O<sub>3</sub> concentrations and air temperature between coastal and inland high sites, regardless of the different deposition velocities of O<sub>3</sub> over land and water (Galbally and Roy, 1980; Brook et al., 1999).

At the coastal sites advection of O<sub>3</sub>-rich maritime air is also important for the enhanced O<sub>3</sub> concentrations. The vertical gradients of O<sub>3</sub> concentrations in the maritime boundary layer are small due to the low deposition velocity of O<sub>3</sub> over water. As this O<sub>3</sub>-rich air crosses a coast and moves inland the larger deposition rates result in larger vertical gradients and a drop in O<sub>3</sub> concentrations near the surface (Entwistle et al., 1997). During on-shore wind directions the comparison of the off-shore island Nidingen and the coastal site Råö showed similar O<sub>3</sub> concentrations as both sites were influenced by the O<sub>3</sub>-rich maritime air. During air flow from the inland the difference between the sites was larger, implying that the 6 km of open sea between the sites was sufficient to enhance the O<sub>3</sub> concentrations at Nidingen, while Råö was mostly influenced by inland air.

For purposes such as environmental monitoring it can be useful to relate average O<sub>3</sub> concentrations and DOR to DTR and topography, despite the large importance of

nocturnal temperature inversions. Measurements of DTR require less equipment (e.g. no mast) and topographical information for large areas (digital elevation maps) is also easier accessible compared to perform extensive measurements of the vertical temperature gradient. Relative altitude was superior to absolute altitude in explaining the landscape variation of average O<sub>3</sub> concentrations and DOR in south Sweden (**Paper III**). This was shown by e.g. the lower R<sup>2</sup> values using altitude compared to relative altitude. Relative altitude is more mechanistically relevant than altitude in explaining the diurnal dynamics of O<sub>3</sub> concentrations for inland sites. Local topography, as well as vicinity to the coast is therefore important to include when modelling O<sub>3</sub> concentrations in complex terrain.

The measurements with the mobile monitoring station represent different locations as well as different time periods (**Paper III, IV**). Spatial variation in O<sub>3</sub> concentrations and temperature is therefore confounded with temporal variation. However, different years and seasons (early, high, late summer) are well represented for the different site types. Thus, differences in O<sub>3</sub> concentrations and temperature dynamics among sites rather than among the measurement periods contribute to the relationships shown in Figure 5 and 7, **Paper III** and **Paper IV**. Furthermore, additional analyses of simultaneous O<sub>3</sub> concentration measurements were made in **Paper III**, which further supported the reliability of the results.

On a larger scale, the measurements performed at alpine Latnjajaure clearly indicated that this high-altitude site had higher O<sub>3</sub> concentrations than the permanent monitoring station Esrange, in the forested landscape below the mountain range (**Paper II**). A similar pattern, with higher O<sub>3</sub> at higher altitude, was also observed in northern Finland when Pallas (mountain) was compared with Oulanka (coniferous forest). It was explained by a smaller diurnal variation of O<sub>3</sub> at higher altitude and stronger coupling with the free troposphere, where the chemical lifetime of O<sub>3</sub> is longer (Rummukainen et al., 1996). The differences in the duration of the winter snow cover are probably also important.

## 5.2 Methodology to estimate AOT40 from average O<sub>3</sub>

Based on measurements of O<sub>3</sub> concentrations and air temperature performed during the years 2005–2007 in the southwest of Sweden, it was shown that the diurnal ranges in O<sub>3</sub> concentrations and air temperature covariate (**Paper III, IV**), both temporally (at a specific site, days with a large DTR also have a large DOR) and spatially (sites with a large average DTR also have a large average DOR). The relationship between DOR and DTR can be used to estimate AOT40 from average O<sub>3</sub> concentrations in combination with air temperature measurements (**Paper IV**). This approach has now been applied for routine monitoring of O<sub>3</sub> risk for vegetation within the Ozone Monitoring Network in southern Sweden.

Both the Gaussian distribution method and the trigonometric method performed well in 24-hour AOT-estimations. Modelling efficiencies was higher the lower the threshold

concentration. Modelling efficiencies for 12-hour AOT-estimations were lower than for estimations of 24-hour AOT, indicating that the empirically derived parameters  $\alpha$  and  $h_0$  for different site types may be a too simple approach to estimate 12-hour AOT. If passive diffusion samplers could be exposed only during daytime, 12-hour AOT could be estimated with the same accuracy as 24-hour AOT (**Paper IV**). Equipment that would allow exposure of the passive diffusion samplers only during daytime are under development, but so far it has turned out to be difficult to apply for long-term, regular use (unpublished observations at the Swedish Environmental Research Institute).

### 5.3 The flux-based O<sub>3</sub> risk for vegetation

The O<sub>3</sub> concentration dynamics differed considerably between the inland low, inland high and coastal sites in southern Sweden, to a large part explained by differences in the local climate. Site specific environmental factors such as temperature, humidity and irradiance also influence the uptake of O<sub>3</sub> through the plant stomata. A relevant question in this context is if the relative risk of negative effects on vegetation due to O<sub>3</sub> exposure was different at the different site types.

A substantial difference in O<sub>3</sub> concentrations between inland and coastal sites during the day (e.g. between Råö and Östad in **Paper I** and between Nidingen and Östad in **Paper III**) imply an increased risk of negative effects on vegetation at coastal sites. The vegetation on the small island Nidingen is very sparse, but similar O<sub>3</sub> concentration patterns are likely to prevail at all sites in the vicinity of the coast, including those with sensitive vegetation.

Focusing on inland sites, relative altitude was not found to significantly influence the stomatal O<sub>3</sub> uptake (**Paper III**). However, the short measurement periods (approximately one month) and the limited number of parallel observations were found insufficient to determine to what extent relative altitude influences the risk of O<sub>3</sub> damage to vegetation. Only large differences between sites would be disclosed in the limited data set available. The insignificant difference in O<sub>3</sub> risk between inland low and inland high sites is also influenced by the choice to use the POD<sub>3crop</sub> parameterisation of the stomatal conductance model. The use of a species-specific parameterisation with different sensitivity to e.g. VPD could change the result.

Relative altitude is mainly important in explaining differences in O<sub>3</sub> concentrations and temperature between inland high and low sites during night-time, due to the influence of topography on the formation of nocturnal temperature inversions (**Paper III**). Vegetation is most sensitive to the deleterious effects of O<sub>3</sub> during daytime, which is also when humans are mostly exposed. Many O<sub>3</sub> indices used to estimate O<sub>3</sub> risk for vegetation are not sensitive to nocturnal differences between sites. Relative altitude is therefore not necessarily as important in explaining differences in O<sub>3</sub> risk for vegetation between sites, as it is for average O<sub>3</sub> concentrations and DOR. However, nocturnal O<sub>3</sub> concentrations

cannot be completely neglected for plant O<sub>3</sub> uptake, in particular for trees (Uddling et al., 2004). In addition, differences in morning and evening O<sub>3</sub> concentrations can be of importance, especially at northern latitudes with a large number of daylight hours during summer and high O<sub>3</sub> concentrations extending far into the evening. Possible differences in stomatal O<sub>3</sub> uptake and O<sub>3</sub> risk for vegetation between sites due to relative altitude are probably small, but need further investigation.

The EMEP model and observations at Östad both gave similar estimates of O<sub>3</sub> uptake to plants, despite some differences in O<sub>3</sub> concentrations and meteorology (**Paper V**). The land-use in the EMEP grid-cell mainly consists of coniferous forest (73%) and differs substantially from the condition at the agricultural site Östad, situated in a broad valley with low vegetation. Despite the lower roughness characterising the Östad area compared to the surrounding forested landscape, the discrepancies between modelled and observed O<sub>3</sub> concentrations, temperature and VPD were only significant during night when the calculated uptake of O<sub>3</sub> by plants was limited by darkness.

Regional climate has a clear influence on the flux-based risk of O<sub>3</sub> damage to vegetation, as shown for Europe in **Paper VI**. The flux-based POD<sub>V</sub> index allows climatic conditions and the CO<sub>2</sub> concentrations to modify stomatal uptake rates of O<sub>3</sub>, in line with important physiological processes and especially important in risk assessment of ground-level O<sub>3</sub> in a future with potential climate change. The AOT40 index only reflects changes in the ambient O<sub>3</sub> concentrations. In agreement with earlier studies (Simpson and Emberson, 2006; Emberson et al., 2007; Simpson et al., 2007), the spatial flux-based risk pattern for O<sub>3</sub> damage differed substantially from the AOT40-based risk in Europe (**Paper VI**).

Emberson et al. (2000b) found VPD to be the most important environmental factor limiting stomatal flux of O<sub>3</sub> in Europe. Based on the results in **Paper III, V, VI** the importance of  $f_{VPD}$  at the northern European sites appears to be restricted compared to the sites in Southern Europe. Instead temperature seems to be an important limiting factor, based on the current temperature functions for stomatal conductance in the UNECE Mapping Manual. The large importance of  $f_{temp}$  compared to  $f_{VPD}$  in limiting stomatal conductance in Northern Europe could be questioned, since minimizing water loss is a main function of the stomata. Furthermore, most physiological responses are known to acclimatize to the prevailing temperature (e.g. Larcher, 2003; Körner, 2006), indicating that both spatial (cold north vs. warm south) and temporal (during climate change) acclimation of the stomatal temperature response are likely. In a model simulation of O<sub>3</sub> flux for Norway spruce in northern Sweden, Karlsson et al. (2007b) found  $f_{VPD}$  to limit stomatal conductance to a greater extent at high compared to low O<sub>3</sub> concentrations. In O<sub>3</sub> concentrations above 40 ppb the stomatal conductance was limited approximately to the same extent by  $f_{VPD}$  and  $f_{temp}$ . It was explained by the association of high O<sub>3</sub> concentrations with sunny weather conditions, high air temperatures and high VPD. However, a certain degree of stomatal closure in response to low temperatures can be expected in colder conditions, due to the decrease in photosynthesis at cool, suboptimal temperatures. Further measurements and evaluation of the stomatal temperature response

function is required to improve the predictions of the effect of climate on stomatal O<sub>3</sub> flux.

## 5.4 O<sub>3</sub> risk and climate change

The strong and early (compared to southern Sweden) spring peak in O<sub>3</sub> was observed at all sites in northern Sweden, where year-round measurement was performed (**Paper II**). During summer the O<sub>3</sub> concentrations in the north were much lower than those observed in the south. A number of studies have shown an earlier onset of spring and a lengthening of the growing season in middle and high latitudes associated with global warming (Linderholm, 2006; Menzel et al., 2006), including northern Sweden (Karlsson et al., 2007b). At the present time the spring peak in northern Sweden occur before the start of the growing season, but an earlier onset of the growing season in future climate could result in an overlap. Karlsson et al. (2007b) showed that there is a substantial and increasing risk of negative impacts of O<sub>3</sub> on vegetation in northern Sweden, related mainly to increasing spring O<sub>3</sub> concentrations and an earlier onset of the growing season.

In agreement with the results of Harmens et al. (2007), the future flux-based risk of O<sub>3</sub> damage to vegetation was predicted to remain unchanged or decrease at most sites, despite substantially increased modelled O<sub>3</sub> concentrations in Central and Southern Europe. The expected reduction in stomatal conductance with rising atmospheric CO<sub>2</sub> concentrations is of large importance for this result. However, the magnitude of the CO<sub>2</sub> effect is uncertain, especially for trees, for which the long-term effects of elevated CO<sub>2</sub> concentrations on plant growth and stand structure may be more important than the primary stomatal closure response (Uddling et al., 2009). If the CO<sub>2</sub> effect will turn out to be small, many areas in Europe will experience an increasing risk of vegetation damage due to O<sub>3</sub> (**Paper VI**).

There are large uncertainties in both climate projections and parameters in models for O<sub>3</sub> risk assessment. O<sub>3</sub> uptake calculations may only be accurate when applied under conditions representative of those under which the parameterisation was performed since leaf properties determining the rate of O<sub>3</sub> uptake and the biochemical defence capacity can be modified by changes in temperature, air humidity, soil moisture and increasing CO<sub>2</sub> concentrations (Fuhrer, 2009). Notwithstanding the uncertainties, we believe that there is high confidence in the general pattern and directions of change in the presented results of how future climatic conditions will influence the O<sub>3</sub> risk for vegetation in Europe.

## 5.5 Concluding remarks

Climate has been shown to influence ground-level O<sub>3</sub> concentrations through a wide range of processes on different spatial and temporal scales. The results presented in this

thesis contribute to an increased understanding of the processes that cause local scale variation in O<sub>3</sub> exposure in Sweden. In particular, nocturnal temperature inversions which inhibit vertical mixing, advection of O<sub>3</sub>-rich marine air, deposition rates to water, soil and vegetation, the duration of snow cover and local NO<sub>x</sub> emission causing NO<sub>x</sub> titration of O<sub>3</sub>, are processes that result in significant local scale variations in O<sub>3</sub> concentrations. These processes strongly depend on meteorological conditions and are therefore highly sensitive to climate change.

Knowledge of the underlying processes causing local scale variation in O<sub>3</sub> concentrations is important. For purposes such as routine environmental monitoring the applicability under realistic conditions are just as important. The O<sub>3</sub> concentration variation was therefore related to site specific characteristics, such as site position in relation to the surrounding landscape and vicinity to the coast, resulting in different local climate. Previous studies have used altitude as such a dependence criterion of O<sub>3</sub> concentrations (e.g. Coyle et al., 2002), but the results presented in this thesis clearly show that topography, represented by relative altitude, is more mechanistically relevant than altitude in explaining the diurnal dynamics of O<sub>3</sub> for inland sites.

The variation in O<sub>3</sub> concentrations in the landscape of south-west Sweden mainly occurs during night-time. The degree of night-time O<sub>3</sub> depletion can be difficult to estimate, as reflected in e.g. the differences between modelled and observed night-time O<sub>3</sub> concentrations in **Paper V** and the difficulty in estimating 12-hour daytime AOT values in **Paper IV**. Since vegetation is most sensitive to the deleterious effects of O<sub>3</sub> during daytime, the use of long-term average O<sub>3</sub> concentrations and 24-hour AOT40 for risk assessment purposes should be viewed critically. Long-term average O<sub>3</sub> concentrations and 24-hour AOT40 are contaminated by nocturnal O<sub>3</sub> concentrations resulting in large deviations from the more relevant daytime concentrations at many locations, especially inland low sites.

Site specific meteorological conditions also influence the plant stomatal O<sub>3</sub> uptake. The flux-based approach (POD<sub>Y</sub>-index) incorporates the modification of O<sub>3</sub> sensitivity by climatic conditions into the risk assessment. It is especially important to use the flux-based approach in assessing the future O<sub>3</sub> risk for vegetation, when potential climate change and elevated CO<sub>2</sub> concentrations need to be taken into account. With the exception of the study by Harmens et al. (2007), based on very simplified assumptions of the future climate, **Paper VI** is to my knowledge the first published study in which the flux-based approach has been used to assess the future O<sub>3</sub> risk for vegetation with consideration of climate change. Despite the uncertainties described in section 5.4, the use of the flux-based approach in this thesis is considered to be a large improvement compared to studies based on the still commonly used concentration-based approach (e.g. Van Dingenen et al., 2009 and Avnery et al., 2011).

## 6. Key findings

Ground-level O<sub>3</sub> concentrations vary on both a regional and local spatial scale. The differences in average O<sub>3</sub> concentrations, diurnal O<sub>3</sub> concentration range (DOR) and diurnal temperature range (DTR) between rural sites in southern Sweden are to a large degree explained by the strength of nocturnal temperature inversions. In addition, the underlying surface (important for the deposition rate), advection of O<sub>3</sub>-rich marine air and local NO emissions were also important in determining the local scale variation of O<sub>3</sub> concentrations.

Average O<sub>3</sub> concentrations and DOR correlated with site position in the landscape in southern Sweden (high or low in relation to the surrounding terrain), represented by the relative altitude. Inland low sites experienced stronger nocturnal temperature inversions, larger DOR and lower average O<sub>3</sub> concentrations compared to inland high and coastal sites.

O<sub>3</sub> concentrations at the alpine site Latnjajaure, in the Scandian Mountain Range, were higher compared to the permanent monitoring station below the mountain range. The spring peak in O<sub>3</sub> was earlier and more pronounced at sites in northern compared to southern Sweden.

There is a correlation between DOR and DTR. This relationship makes it possible to estimate 24-hour AOT40 from average O<sub>3</sub> concentrations (measured with e.g. passive diffusion samplers) in combination with hourly air temperature measurements. The 12-hour daytime AOT40 values could not be estimated with as high accuracy. Complementing the permanent monitoring stations with the rather simple O<sub>3</sub> measurements based on passive diffusion samplers, result in a better geographical resolution of the AOT40-based risk for vegetation.

There is an increased flux-based risk of negative effects of O<sub>3</sub> on vegetation at coastal sites compared to inland sites mainly attributed to higher O<sub>3</sub> concentrations in the vicinity of the coast. However, significant differences in modelled stomatal O<sub>3</sub> uptake were not found between inland low and inland high sites. Hence, the fifth hypothesis was only partly corroborated.

A large part of the variation in O<sub>3</sub> concentrations at inland sites in south-west Sweden occurs during night-time. At night the stomatal O<sub>3</sub> uptake by vegetation is low and the risk of O<sub>3</sub> damage is therefore not greatly influenced. Thus, plant O<sub>3</sub> uptake and daytime

AOT40 are less influenced by the site position in the landscape than 24-hour average O<sub>3</sub> concentrations. The use of long-term average O<sub>3</sub> concentrations and 24-hour AOT40 for risk assessment purposes should be viewed critically since the inclusion of nocturnal O<sub>3</sub> concentrations result in geographical variation which is not reflected to the same extent in the more relevant daytime concentrations.

When evaluating regional scale models with measurements it is important to keep within grid variation and the representativeness of the measurement site in mind. The local site-specific differences are most pronounced in synoptic weather situations dominated by anti-cyclonic weather.

The future flux-based risk of O<sub>3</sub> damage to vegetation was predicted to remain unchanged or decrease in Europe, despite substantially increased modelled O<sub>3</sub> concentrations in Central and Southern Europe. The expected reduction in stomatal conductance with rising atmospheric CO<sub>2</sub> concentrations is of large importance for this result. However, the magnitude of the CO<sub>2</sub> effect is uncertain, especially for trees. If the CO<sub>2</sub> effect will turn out to be small, future climate change has the potential to dramatically increase the flux-based O<sub>3</sub> risk for vegetation in Northern and Central Europe.

## 7. Outlook

The pronounced, high and early peak in O<sub>3</sub> concentrations in northern compared to southern Sweden deserves further investigation. Actually, there is still no overarching consensus on the mechanisms that lead to the formation of a spring O<sub>3</sub> maximum. Understanding the appearance of the spring peak in O<sub>3</sub> and the mechanisms that lead to its formation therefore remains a fundamental issue that has implications for both policy (e.g. abatement strategies) and atmospheric science (Monks, 2000). Further analysis of the data presented in **Paper II** in relation to snow cover might contribute to the increased understanding of this phenomenon. In addition to low deposition velocity (Galbally and Roy, 1980), the high albedo of snow, not only for visible light, but also for the UV-A radiation promotes the photolysis of NO<sub>2</sub> and thus O<sub>3</sub> formation (Simpson et al., 2002; Schnell et al., 2009). The importance of this aspect depends on to what extent local photochemistry, in contrast to long-range transported pollutants, is responsible for the spring peak in O<sub>3</sub>. Furthermore, the role of vegetation deserves investigation. An earlier onset of the growing season in the future could lead to a decrease in the O<sub>3</sub> spring peak, since vegetation with a large, physiologically active leaf area and substantial gas exchange, tends to reduce ground-level O<sub>3</sub> concentrations through increased deposition.

Mechanistic models of stomatal conductance do not yet exist due to the incomplete understanding of the complex physiological mechanisms of stomatal response to combinations of environmental parameters such as light, air temperature, VPD and SWP. In addition to the (semi-)empirical multiplicative algorithm used in this thesis (**Paper III, V, VI**) to predict stomatal conductance (and O<sub>3</sub> risk by the POD<sub>Y</sub>-index) a semi-mechanistic type of model has also been developed (Büker et al., 2007). It is based on the evidence of a close relationship between stomatal conductance and net photosynthetic rate (A<sub>n</sub>) and calculates stomatal conductance as a function of A<sub>n</sub>, CO<sub>2</sub> concentrations and relative humidity at the leaf surface. Stomatal responses to CO<sub>2</sub>, light and temperature are included in the A<sub>n</sub> model and act synergistically in contrast to the multiplicative algorithm. Büker et al. (2007) compared the two types of algorithms with observed stomatal conductance for wheat, grapevine and birch. The performance of the algorithms was similar, but the higher input requirements of the photosynthesis-based algorithm, because of the need to model net photosynthesis, were noted. However, an urgent need to include the influence of CO<sub>2</sub> under climate change conditions could favour the photosynthesis-based approach in the future.

The O<sub>3</sub> risk for vegetation depends on exposure, leaf uptake and the plant's defence capacity (Fuhrer, 2009). O<sub>3</sub> risk can therefore not be claimed to be understood based solely on O<sub>3</sub> uptake, but is co-determined by the plant's sensitivity per unit of O<sub>3</sub> uptake, i.e. the plant ability to handle oxidative stress (Matyssek et al., 2008). Tausz et al. (2007) argue that since global change effects may modify the physiological susceptibility to O<sub>3</sub>, a flux-concept weighted by defence capacity should be tested. Musselman et al. (2006) also emphasized the need to consider detoxification mechanisms in flux-based models, but noted the large uncertainty in quantifying the various defence mechanisms in plants. Tuzet et al. (2011) presented a new model of O<sub>3</sub> deposition and detoxification, which accounts for diurnal variability of detoxification processes. Simulations with the model point out the pool of ascorbate located in the mesophyll cell wall to play a significant role in the detoxification of O<sub>3</sub>.

The flux-based approach to estimate O<sub>3</sub> risk was considered to be the currently best available method and more biologically relevant to explain O<sub>3</sub> damage to plants compared to the concentration-based approach. It is however clear that much future work is left in the development of more mechanistic O<sub>3</sub> risk assessment methodologies.

O<sub>3</sub> flux is not only important for risk assessment. The stomatal O<sub>3</sub> flux is one of the processes determining the gradient in O<sub>3</sub> concentration near the ground. O<sub>3</sub> concentrations at different heights will not be modelled correctly, without accurate estimation of stomatal O<sub>3</sub> flux in the overall mass balance calculation. In a CTM sensitivity study, Andersson and Engardt (2010) found that changes in dry deposition could explain up to 80% of the of the modelled ground-level O<sub>3</sub> change from 1961–1990 to 2071–2100. In **Paper VI** the canopy-scale O<sub>3</sub> dry deposition to vegetation in MATCH is a function of soil moisture, air humidity, temperature and irradiance. The stomatal flux of O<sub>3</sub> to the sunlit leaf level of specified vegetations types (POD<sub>Y</sub>) was however calculated off-line. It means that the calculated O<sub>3</sub> uptake by vegetation does not affect the modelled ambient air O<sub>3</sub> concentrations in each time-step. It will most likely not affect the general pattern and directions of change in the calculated future O<sub>3</sub> risk for vegetation in Europe. However, an important way forward is to incorporate the stomatal O<sub>3</sub> flux calculations into the MATCH model and calculate the O<sub>3</sub> uptake by vegetation online.

O<sub>3</sub> is not only an issue for air quality. Based on direct radiative forcing tropospheric O<sub>3</sub> is ranked as the third most important greenhouse gas, after CO<sub>2</sub> and CH<sub>4</sub> (IPCC, 2007). Many processes and feedbacks come into play when considering the interactions between climate and O<sub>3</sub>. For example, vegetation is an important carbon sink in the climate system, due to the uptake of CO<sub>2</sub> and carbon assimilation in plants. In a model study, Sitch et al. (2007) quantified the indirect effect whereby ground-level O<sub>3</sub> pollution can decrease the ability of plants to remove CO<sub>2</sub> from the atmosphere. Net photosynthesis was modified by a factor that accounts for plant O<sub>3</sub> uptake and plant-specific sensitivities to O<sub>3</sub> uptake. The result showed that the negative effects of O<sub>3</sub> on plant productivity have the potential to significantly suppress the global land-carbon sink. The CO<sub>2</sub>-induced stomatal closure was found to offset the O<sub>3</sub> suppression of gross primary production by more than one third. It emphasises that the combined effects of climatic conditions and elevated CO<sub>2</sub>

concentrations on future O<sub>3</sub> risk for vegetation are important for future crop and forest production and should be accounted for in coupled biosphere-climate models.

Ground-level O<sub>3</sub> has the potential to become a very serious threat to human health, food security and ecosystems later this century if insufficient control measures are implemented (The Royal Society, 2008). Major research gaps still remain in understanding basic processes and feedbacks between climate, O<sub>3</sub> concentration variation and ecosystems. This thesis adds a few pieces in the puzzle and, in the course of time, hopefully contributes to a better air quality and an improved environment.



## Populärvetenskaplig sammanfattning

Marknära ozon ( $O_3$ ) är en luftförorening som orsakar skördebortfall för grödor, minskad skogstillväxt och har negativa effekter på människors hälsa i stora delar av världen.  $O_3$  bildas i luften när kväveoxider ( $NO_x$ ) och flyktiga organiska kolväten (VOC) reagerar under inverkan av solljus. Eftersom mänskliga utsläpp av  $NO_x$  och VOC har ökat stort sedan industrialiseringen har även halten  $O_3$  ökat. Idag räknas  $O_3$  till ett av de allvarligaste luftföroreningsproblemen i stora delar av världen. Väderförhållanden och klimat påverkar hur hög halten av  $O_3$  är på en rad olika sätt. Till exempel är den kemiska produktionen av  $O_3$  i atmosfären störst under varmt och soligt väder och vindar kan transportera  $O_3$  och  $O_3$ -bildande ämnen långa sträckor från områdena där utsläppen sker.

Marknära  $O_3$  brukar ses som ett regionalt luftföroreningsproblem, där  $O_3$ -koncentrationen är ungefär densamma över ett större geografiskt område, som till exempel södra Sverige. Dock varierar  $O_3$ -koncentrationen också på lokal skala och kan skilja sig mellan platser på bara några kilometers avstånd. Det är viktigt att förstå hur stor den lokala variationen är och vilka som är de bakomliggande processerna för att kunna uppskatta risken för att människor och växter exponeras för skadliga nivåer av  $O_3$ . Jag har undersökt hur  $O_3$ -koncentrationen varierar i sydvästra Sverige och kopplat det till skillnader i det lokala klimatet mellan höglänta och låglänta platser i inlandet samt kustnära platser.  $O_3$ -koncentration och meteorologi mättes med en mobil mätstation som placerades ca en månad vardera på olika platser under sommaren 2005–2007. Dessutom mättes  $O_3$  och  $NO_2$ -koncentrationen med passiva diffusionsprovtagare och  $O_3$ -data från permanenta miljöövervakningsstationer analyserades.

Vid kusten och högt belägna platser så var skillnaden mellan dag och natt både i temperatur och  $O_3$ -koncentration mindre och medelhalten av  $O_3$  högre jämfört med lågt liggande platser. Skillnader mellan platser var störst på natten och kunde till stor del förklaras av nattliga inversioner. Vid en inversion ökar temperaturen i atmosfären med höjden, vilket leder till en stabil skiktning där kall luft (högre densitet, ”tung”) befinner sig under varm luft (lägre densitet, ”lättare”). Då dämpas alla vertikala luft rörelser. I sådana förhållanden kan  $O_3$ -koncentrationen i luftlagren närmast marken minska markant eftersom  $O_3$  förbrukas genom att avsättas (deponeras) på markytan och vegetationen, men inget nytt  $O_3$  tillförs från luftlager högre upp och ingen kemisk bildning av  $O_3$  kan ske på natten. Inversioner inträffar framför allt klara och vindstilla nätter. De är vanligare och starkare på låglänta platser eftersom den kalla (”tunga”) luften ansamlas i dalgångar och svackor. Vid kusten påverkas också  $O_3$ -halten av att depositionen av  $O_3$  mot vattenytor är mycket mindre än vad den är mot växter och mark.

Växter har små öppningar på bladen där gasutbytet med den omgivande luften sker, till exempel av koldioxid ( $CO_2$ ), vattenånga ( $H_2O$ ) och syre ( $O_2$ ). Det är när  $O_3$  kommer in i växten genom dessa så kallade klyvöppningar som det ställer till skada. Risken för  $O_3$ -skador på växter beror alltså inte bara på halten  $O_3$  i den omgivande luften utan också på hur mycket  $O_3$  som upptas genom klyvöppningarna. Växter kan reglera gasutbytet genom

att öppna och stänga klyvöppningarna, något som starkt påverkas av väderförhållanden och klimat. Till exempel stängs klyvöppningarna när det är torrt för att växten inte ska förlora vatten genom avdunstning. Med hjälp av modeller kan O<sub>3</sub>-upptaget genom klyvöppningarna beräknas utifrån O<sub>3</sub>-koncentrationen i den omgivande luften och meteorologiska faktorer som temperatur, luftfuktighet och solljus. Därigenom uppskattas risken för att O<sub>3</sub> ska skada växterna.

I sydvästra Sverige tyder beräkningarna på att det är inte är någon större skillnad i risk för O<sub>3</sub>-skador på växterna mellan höglänta och låglänta platser i inlandet. Det beror på att skillnaden i O<sub>3</sub>-koncentration mellan höglänta och låglänta platser är störst på natten, men ungefär lika över ett större område under dagen. På natten är upptaget av O<sub>3</sub> genom klyvöppningarna litet eftersom de stängs när det är mörkt och ingen fotosyntes kan ske. Däremot leder höga O<sub>3</sub>-koncentrationer vid kusten till att det där är högre risk för O<sub>3</sub>-skador på växterna.

De senaste 100 åren har den globala medeltemperaturen ökat med 0.74 °C. Jordens klimat håller på att förändras, till stor del på grund av mänskliga utsläpp av växthusgaser. Samtidigt har jordens befolkning ökat kraftigt och efterfrågan på naturresurser och mat ökar. Mot den bakgrunden är det viktigt att förstå hur risken för O<sub>3</sub>-skador på jordbruksgrödor och träd kommer att förändras i ett förändrat klimat. Jag har uppskattat risken för O<sub>3</sub>-skador i Europa genom att beräkna upptaget av O<sub>3</sub> genom klyvöppningarna baserat på O<sub>3</sub>-koncentrationer från en atmosfärskemimodell (MATCH) och meteorologi från en regional klimatmodell (RCA3). Beräkningarna har gjorts för två framtida globala scenarion för hur utsläppen av växthusgaser förändras (IPCC SRES scenario A2 och B2). Mänskliga utsläpp av ozonbildande ämnen (NO<sub>x</sub> och VOC) var desamma som dagens i modellen för att hålla isär effekten av ändrat klimat och ändrade utsläpp.

Både i nuvarande och framtida klimat är risken för O<sub>3</sub>-skador störst i centrala Europa. Där är O<sub>3</sub>-koncentrationen hög och klimatförhållandena främjar växternas upptag av O<sub>3</sub> genom klyvöppningarna. I norra Europa är risken jämförelsevis liten, dels för att O<sub>3</sub>-koncentrationerna är låga och dels för att växternas upptag av O<sub>3</sub> enligt modellen är begränsat av låga temperaturer. I södra Europa är O<sub>3</sub>-koncentrationerna höga, men torra begränsar enligt modellen kraftigt växternas upptag av O<sub>3</sub>.

Enligt modellberäkningarna kommer risken för O<sub>3</sub>-skador på grödor och lövträd att vara densamma eller minska under i framtiden (2071–2100) jämfört med dagens klimat. Det beror framför allt på antagandet att växternas klyvöppningar är mindre öppna när koncentrationen av CO<sub>2</sub> i atmosfären ökar. Växterna kan då ta upp samma mängd CO<sub>2</sub>, men förlora mindre vatten. Det är osäkert hur stor den effekten kommer att bli, speciellt för träd. Om det visar sig att CO<sub>2</sub>-effekten på klyvöppningarna blir liten så har det framtida klimatet i norra och centrala Europa potential att kraftigt öka risken för O<sub>3</sub>-skador i slutet av detta århundrade. I södra Europa ändras inte risken trots ökade O<sub>3</sub>-halter eftersom mycket torra förhållanden förväntas kraftigt begränsa växternas O<sub>3</sub>-upptag genom klyvöppningarna i framtida klimat.

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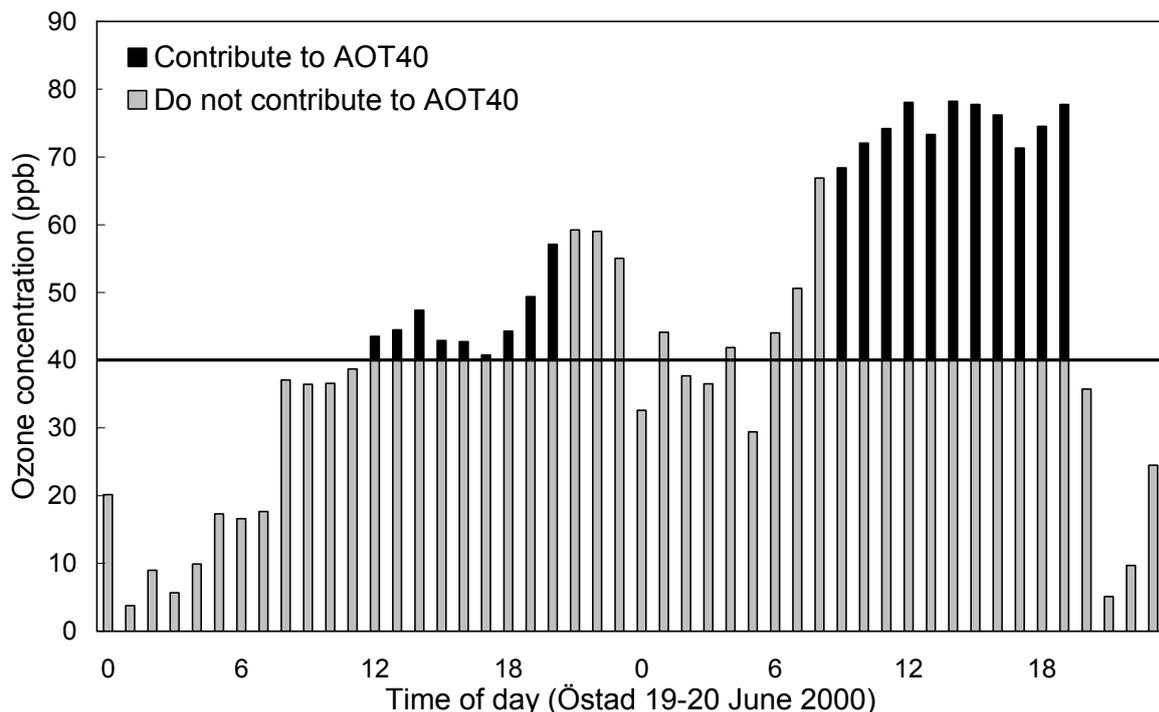
## Appendix – Calculation methods

### 1. The concentration-based O<sub>3</sub> index AOT40

AOTX is the sum of the difference between the hourly mean O<sub>3</sub> concentration at the top of the canopy ( $C(z)$ ) and the threshold concentration  $X$  ppb for all daylight hours within a specified time period (unit: ppb hours):

$$AOTX = \int \max(C(z) - X, 0) dt \quad (\text{Eq. 1})$$

The time period for which AOTX is calculated should be three months for agricultural crops and the timing should reflect the period of active growth. The AOT40 index is used within the EU directive (2008/50/EC) on *Ambient Air Quality and Cleaner Air for Europe*, which is implemented in the Swedish legislation with the *Ordinance on Environmental Quality Standards for Ambient Air* (SFS 2010:477). In order to protect vegetation AOT40 should be strived not to exceed 9000 ppb hours as a five-year average. From 2020 and forward an AOT40 above 3000 ppb hours should be endeavoured not to occur in ambient air. Within the Swedish legislation, AOT40 is calculated during the period 1 May to 31 July and for daily hours 8–20. In the example shown in Figure A1, AOT40 is calculated for 19–20 June 2000, two days with high O<sub>3</sub> concentrations, at the reference site Östad. Only hours between 8 and 20 o'clock and when the O<sub>3</sub> concentration exceeds 40 ppb contribute to AOT40. In this particular case the AOT40 was calculated to be 434 ppb hours.



**Figure A1.** Calculation of AOT40 (O<sub>3</sub> concentrations accumulated over a threshold concentration of 40 ppb) during hours 8 to 20 for Östad 19–20 June 2000.

### **Gaussian method to estimate the AOT-index**

In the Gaussian method it was assumed that the hourly O<sub>3</sub> concentration ( $C$ ) has a Gaussian probability density function ( $f(C)$ ) with a mean  $\mu$  and standard deviation  $\sigma$ . AOT values with different threshold concentrations ( $c_0$ ) can be calculated based on time-integrated O<sub>3</sub> concentration from a passive diffusion sampler ( $\mu$ ) in combination with an estimate of the O<sub>3</sub> standard deviation ( $\sigma$ ):

$$AOT_{c_0} = t \int_{c_0}^{\infty} (C - c_0) f(C) dc \quad (\text{Eq. 2})$$

The variable  $t$  is the duration of the monitoring period in hours. The derivation of the expanded formulae directly applicable for numerical determination of AOTX is:

$$AOT_{c_0} = t \left[ \sigma \phi \left( \frac{\mu - c_0}{\sigma} \right) + (\mu - c_0) \Phi \left( \frac{\mu - c_0}{\sigma} \right) \right] \quad (\text{Eq. 3a})$$

where

$$\phi(x) = \frac{1}{\sqrt{2\pi}} e^{-x^2/2} \quad (\text{Eq. 3b})$$

i.e. the standard Gaussian distribution having  $\mu = 0$  and  $\sigma = 1$ .  $\Phi(x)$  is the corresponding cumulative density function.

24-hour AOT values are given from Equation 2 and 3. 12-hour daytime AOT values are more relevant in risk assessment of O<sub>3</sub> damage on vegetation. It can be calculated from 24-hour AOT by the use of a conversion factor ( $\alpha$ , Equation 4).

$$AOT_{24h} = \alpha \times AOT_{12h} \quad (\text{Eq. 4})$$

### **Trigonometric method to estimate the AOT-index**

In the trigonometric method the diurnal O<sub>3</sub> concentration is represented by a sine function with amplitude  $A$  that is half the diurnal O<sub>3</sub> concentration range and a frequency of 1 day<sup>-1</sup>, oscillating around the average O<sub>3</sub> concentration. AOT values with different thresholds ( $c_0$ ) are calculated for one average day and then multiplied by the number of days the monitoring was in progress ( $D$ ).

$$AOT_{c_0} = D \times \int_{h_1}^{h_2} (g(h) - c_0) dh \quad (\text{Eq. 5a})$$

where

$$g(h) = \mu + A \times \sin \left( \frac{2\pi(h - h_0)}{24} \right) \quad (\text{Eq. 5b})$$

Equation 5 can be expanded and simplified into Equation 6.

$$AOT_{c_0} = D \left( (\mu - c_0)(h_2 - h_1) + \frac{12A}{\pi} \left( \cos \left( \frac{\pi(h_1 - h_0)}{12} \right) - \cos \left( \frac{\pi(h_2 - h_0)}{12} \right) \right) \right) \quad (\text{Eq. 6a})$$

where

$$h_1 = h_0 + \frac{12}{\pi} \sin^{-1} \left( \frac{c_0 - \mu}{A} \right) \quad (\text{Eq. 6b})$$

and

$$h_2 = 2h_0 + 12 - h_1 \quad (\text{Eq. 6c})$$

In Equation 6,  $h$  is the time in hours since midnight and  $h_0$  is a phase displacement which synchronises the sine function with the O<sub>3</sub> concentration diurnal variation with an afternoon maximum and night-time minimum. For example, if  $h_0$  is set to 12,  $g(h)$  will reach its minimum at 6:00 in the morning ( $h=6$ ) and its maximum at 18:00 in the afternoon. The integration limits  $h_1$  and  $h_2$  are the values of  $h$  when the modelled O<sub>3</sub> concentration intercepts with the threshold value ( $g(h)=c_0$ ). For calculation of 12-hour AOT (8:00–20:00) values of  $h_1 < 8$  are set to 8 and values of  $h_2 > 20$  are set to 20.

## 2. The flux-based O<sub>3</sub> index POD<sub>Y</sub>

The phytotoxic O<sub>3</sub> dose (POD<sub>Y</sub>) is expressed as the accumulated stomatal flux of O<sub>3</sub> per unit projected sunlit leaf area (PLA) above a flux threshold of  $Y \text{ nmol m}^{-2} \text{ PLA s}^{-1}$  (unit:  $\text{mmol m}^{-2} \text{ PLA}$ ). In this thesis the stomatal flux of O<sub>3</sub> is modelled according to the methodology described in the UNECE CLRTAP Mapping Manual (LRTAP Convention, 2004). The stomatal O<sub>3</sub> flux model has been parameterised for a limited number of crop and tree species. In addition, a simplified flux-based method has been developed, recommended to indicate the risk for O<sub>3</sub> damage to a generic crop and a generic deciduous tree in large-scale modelling (LRTAP Convention, 2004; Simpson and Emberson, 2006). Parameterisation of the stomatal flux algorithms for the species used in this thesis can be found in Table A1. A lower threshold is used for the generic crop than recommended for specific crops, making the method numerically more robust (Tuovinen et al., 2007).

POD<sub>Y</sub> is accumulated over a period of time corresponding to the part of the growing season when the plant is considered to be sensitive to O<sub>3</sub>. Only hours when the stomatal flux of O<sub>3</sub> ( $F_{st}$ ) exceeds a threshold ( $Y \text{ nmol m}^{-2} \text{ s}^{-1}$ ) contributes to POD<sub>Y</sub>.

$$POD_Y = \int \max(F_{st} - Y, 0) dt \quad (\text{Eq. 7})$$

### **The resistance analogue**

A resistance analogue is used to calculate the stomatal flux of O<sub>3</sub> ( $F_{st}$ ). The O<sub>3</sub> molecule must overcome a series of resistances to gaseous flux on its way from the air above the canopy into the leaf (Figure A2). First, the O<sub>3</sub> molecule must pass from the air stream overhead down to the leaf (aerodynamic resistance). The aerodynamic resistance is determined by e.g. the wind speed and the roughness of the surface. Next the O<sub>3</sub> molecule must cross a thin layer of stagnant air at the leaf surface (leaf boundary layer resistance). The leaf boundary layer resistance is determined by e.g. wind speed and leaf dimensions. Thereafter the O<sub>3</sub> molecule can either enter the leaf through the stomatal apertures (stomatal resistance) or deposit on the leaf surface (resistance to leaf surface deposition).

In the  $F_{st}$  calculation, the O<sub>3</sub> concentration at the top of the canopy ( $C(z)$ ) is assumed to be a reasonable estimate of the concentration at the surface of the laminar leaf boundary layer near the sunlit upper canopy leaves. Then  $F_{st}$  is given by:

$$F_{st} = C(z) \times \frac{1}{r_b + r_c} \times \frac{g_s}{g_s + g_{ext}} \quad (\text{Eq. 8})$$

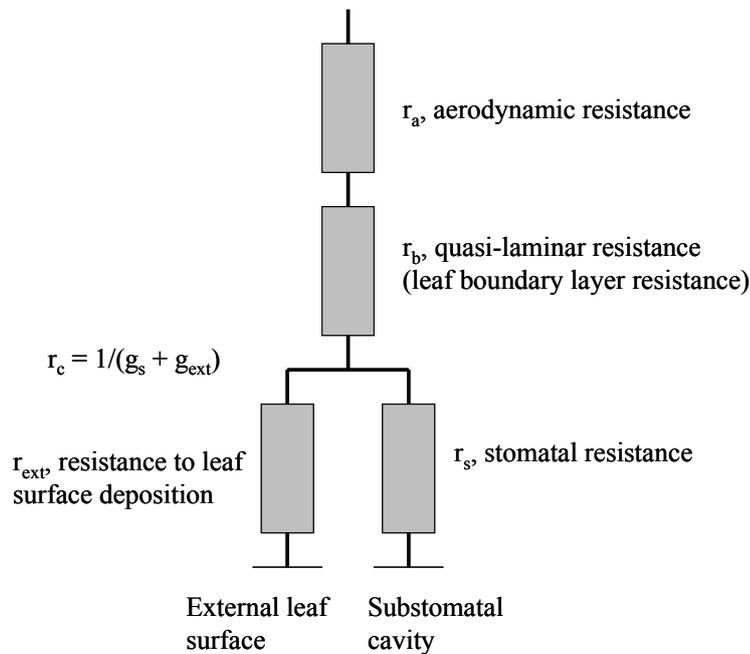
where  $g_s$  is the stomatal conductance ( $r_s^{-1}$ ,  $\text{mmol O}_3 \text{ m}^{-2} \text{ PLA s}^{-1}$ ). The  $1/(r_b+r_c)$  term is the deposition rate to the leaf determined by the leaf boundary layer resistance ( $r_b$ ) and the leaf surface resistance ( $r_c$ ).  $g_s/(g_s+g_{ext})$  represent the fraction of  $\text{O}_3$  taken up through the stomata, where  $1/g_{ext}$  is the external leaf resistance.  $r_c$  is given by  $1/(g_s + g_{ext})$  and  $r_b$  is estimated from the cross-wind leaf dimension ( $L$ ) and the wind speed at height  $z$  ( $u(z)$ ):

$$r_b = 1.3 \times 150 \times \sqrt{\frac{L}{u(z)}} \quad (\text{Eq. 9})$$

The core of the stomatal  $\text{O}_3$  flux model is the stomatal conductance multiplicative algorithm, which is presented in Jarvis (1976) and further developed specifically to model  $\text{O}_3$  uptake to plants (Emberson et al. 2000a,b). It includes functions accounting for the limiting effects of various abiotic factors on  $g_s$ , thereby regulating the  $\text{O}_3$  flux into the plant leaf:

$$g_s = g_{\max} \times [\min(f_{\text{phen}}, f_{\text{O}_3})] \times f_{\text{light}} \times \max\{f_{\min}, (f_{\text{temp}} \times f_{\text{VPD}} \times f_{\text{SWP}})\} \quad (\text{Eq. 10})$$

where  $g_{\max}$  is the species-specific maximum  $g_s$ . The functions  $f_{\text{phen}}$ ,  $f_{\text{O}_3}$ ,  $f_{\text{light}}$ ,  $f_{\text{temp}}$ ,  $f_{\text{VPD}}$  and  $f_{\text{SWP}}$  are expressed in relative terms (take values between 0 and 1) as a proportion of  $g_{\max}$ . These parameters allow for the influence of phenology,  $\text{O}_3$  and the environmental variables (irradiance, temperature, water vapour pressure deficit (VPD) and soil water potential (SWP)) on  $g_s$  to be estimated.



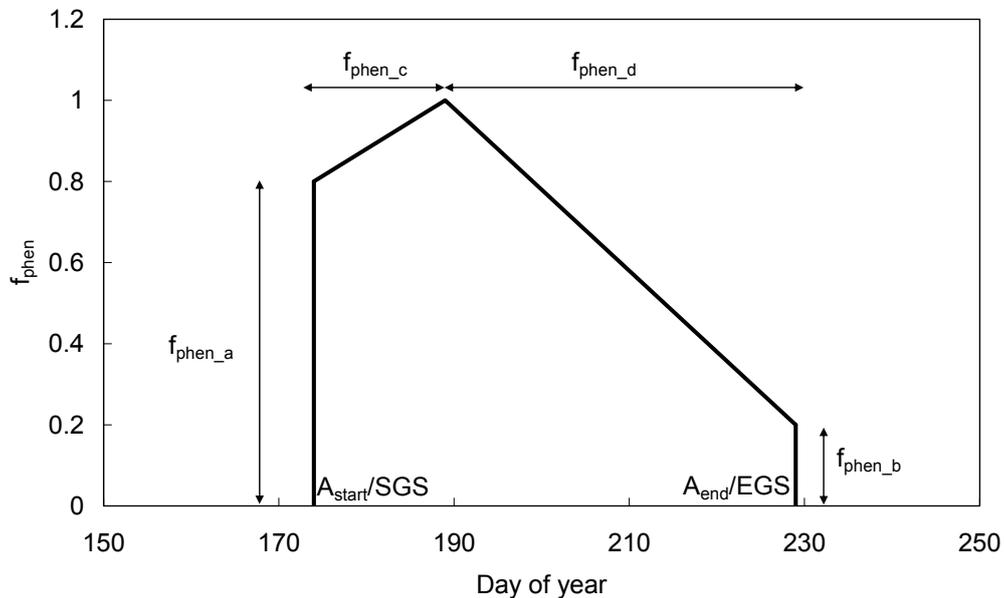
**Figure A2.** The resistance analogue on which the stomatal  $\text{O}_3$  flux calculations are based.

### Phenology and ozone

The phenology function (Figure A3) for crops can be based on a fixed time interval or effective temperature sum accumulation. The effective temperature sum accumulation takes into account the temperature dependence of growth and is generally accepted to describe plant development more accurately. However, in **Paper V** it was decided to use a fixed time interval to guarantee that the same period of time was used when comparing measurements and model output. The start ( $A_{\text{start}}$ ) and end ( $A_{\text{end}}$ ) of the accumulation period for wheat was calculated using a latitude model to estimate mid-anthesis. For potato,  $A_{\text{start}}$  was estimated using local information on sowing date. For a generic crop (**Paper VI**) a three month time-window for stomatal  $O_3$  flux accumulation (with  $f_{\text{phen}}=1$ ) is used to bypass the uncertainty in the timing of the relevant time-interval, which, especially for crops, is rather short. The time interval is centred around mid-anthesis, which is estimated using the latitude model. The start (SGS) and end (EGS) of the generic deciduous tree stomatal  $O_3$  accumulation period (**Paper VI**) is based on latitude and altitude.

$$\begin{aligned} \text{Mid-anthesis} &= (2.57 * \text{latitude}) + 40 \\ \text{SGS} &= 105 + (\text{latitude} - 50) * 1.5 + (\text{altitude}/1000) * 10 \\ \text{EGS} &= 297 - (\text{latitude} - 50) * 2 - (\text{altitude}/1000) * 10 \end{aligned}$$

$$\begin{aligned} f_{\text{phen}} & \text{ if } A_{\text{start}} \leq yd < (A_{\text{start}} + f_{\text{phen\_c}}) \\ & = (1 - f_{\text{phen\_a}}) * ((yd - A_{\text{start}})/f_{\text{phen\_c}}) + f_{\text{phen\_a}} \\ & \text{ if } (A_{\text{start}} + f_{\text{phen\_c}}) \leq yd \leq (A_{\text{end}} - f_{\text{phen\_d}}) \\ & = 1 \\ & \text{ if } (A_{\text{end}} - f_{\text{phen\_d}}) < yd \leq A_{\text{end}} \\ & = (1 - f_{\text{phen\_b}}) * ((A_{\text{end}} - yd)/f_{\text{phen\_d}}) + f_{\text{phen\_b}} \end{aligned}$$



**Figure A3.** The  $f_{\text{phen}}$  function, here exemplified with wheat at the latitude where Östad is situated.

The part of Equation 10 related to  $f_{\text{phen}}$  and  $f_{O_3}$  is a most limiting factor approach; i.e.  $g_s$  is limited by either senescence due to normal aging or premature senescence induced by  $O_3$ . The  $f_{O_3}$  function only comes into operation if it has a stronger senescence-promoting effect than

normal senescence. The  $f_{O_3}$  function is assumed to never limit the stomatal conductance for the generic crop and generic deciduous tree (i.e.  $f_{O_3}=1$ ).

$$\begin{aligned} f_{O_3} &= (1 + (AOT_0/40)^5)^{-1} && \text{(potato)} \\ &= (1 + (POD_0/11.5)^{10})^{-1} && \text{(wheat)} \\ &= (1 + (POD_0/14)^8)^{-1} && \text{in the 2010 update of the Mapping Manual} \end{aligned}$$

### **Light**

The  $f_{light}$  function is approximately an on-off function. The stomatal conductance increases rapidly as light levels increase and saturates to a large extent already at low light levels in the morning.

$$f_{light} = 1 - \text{EXP}((-light_a) * \text{PPFD})$$

### **Temperature**

The  $f_{temp}$  function is a bell-shaped optimum curve. The stomatal conductance gradually increases as temperature increases, reaching a maximum and then gradually declines as temperature increases beyond the optimum.

$$\begin{aligned} f_{temp} &\quad \text{if } T_{min} < T < T_{max} \\ &= \max(f_{min}, [(T - T_{min})/(T_{opt} - T_{min})] * [(T_{max} - T)/(T_{max} - T_{opt})]^{bt}) \\ &\quad bt = (T_{max} - T_{opt})/(T_{opt} - T_{min}) \\ &\quad \text{if } T_{min} > T > T_{max} \\ &= f_{min} \end{aligned}$$

### **Air and soil humidity**

High VPD levels result in stomatal closure to reduce the high rate of water transpiring out of the leaf under such conditions. The  $f_{VPD}$  function reduces stomatal conductance linearly between a non-limiting plateau and a strongly limiting plateau.

$$f_{VPD} = \min(1, \max[f_{min}, ((1-f_{min}) * (VPD_{min} - VPD)/(VPD_{min} - VPD_{max})) + f_{min}])$$

Air temperature is typically decreasing in the afternoon, which is normally followed by declining VPD. However, if the plant has experienced substantial water loss during the day, re-opening of the stomata in the afternoon does generally not occur. This is taken into account in the model by calculating the VPD sum ( $\Sigma VPD$ , the sum of hourly VPD values since dawn). If the  $\Sigma VPD$  exceeds a critical value, then stomatal re-opening in the afternoon does not occur. The  $\Sigma VPD$  routine is not yet included for forest trees.

$$\begin{aligned} &\text{if } \Sigma VPD \geq \Sigma VPD_{crit} \\ &g_{s\_hour\_n+1} \leq g_{s\_hour\_n} \end{aligned}$$

The  $f_{SWP}$  function is similar to the  $f_{VPD}$  function. Dry soil results in stomatal closure to reduce water loss. The  $f_{SWP}$  function is assumed to never limit the stomatal conductance for the generic crop and generic deciduous tree (i.e.  $f_{SWP}=1$ ).

$$f_{SWP} = \min(1, \max[f_{min}, ((1-f_{min}) * (SWP_{min} - SWP)/(SWP_{min} - SWP_{max})) + f_{min}])$$

**Table A1.** Parameterisation of the stomatal flux algorithms for wheat and potato (**Paper V**), a generic crop (**Paper III, VI**) and a generic deciduous tree (**Paper VI**) according to UNECE CLRTAP Mapping Manual (LRTAP Convention, 2004).

Parameter	Unit	Wheat	Potato	Generic crop	Generic deciduous tree
Y	nmol O <sub>3</sub> m <sup>-2</sup> PLA s <sup>-1</sup>	6	6	3	1.6 (1)*
g <sub>max</sub>	mmol O <sub>3</sub> m <sup>-2</sup> PLA s <sup>-1</sup>	450 (500)*	750	450 (500)*	150
f <sub>min</sub>	(fraction)	0.01	0.01	0.01	0.1
A <sub>start</sub> /SGS	yearday	174	156	Latitude model	Latitude model
A <sub>end</sub> /EGS	yearday	229	226	Latitude model	Latitude model
f <sub>phen_a</sub>	(fraction)	0.8 (0.7)*	0.4		0
f <sub>phen_b</sub>	(fraction)	0.2 (0.3)*	0.2		0
f <sub>phen_c</sub>	days	15	20		15
f <sub>phen_d</sub>	days	40	50		20
light <sub>a</sub>	constant	0.0105	0.005	0.0105	0.006
T <sub>min</sub>	°C	12	13	12	0
T <sub>opt</sub>	°C	26	28	26	21
T <sub>max</sub>	°C	40	39	40	35
VPD <sub>max</sub>	kPa	1.2	2.1	1.2	1
VPD <sub>min</sub>	kPa	3.2	3.5	3.2	3.25
ΣVPD <sub>crit</sub>	kPa	8	10	8	
g <sub>ext</sub>	m s <sup>-1</sup>	1/2500	1/2500	1/2500	1/2500
Leaf dimension	m	0.02	0.04	0.02	0.07

\* values in brackets represent the revised parameterisation from the 2010 update of the Mapping Manual

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